

## **FY 2011 ANNUAL REPORT**

Harlem Success Academy Charter School 3  
(HSA 3)

School Information and Cover Page

School Name: Harlem Success Academy Charter School 13  
School Leader: Richard Seigler  
School email address: jenny.sedlis@successcharters.org  
Primary address: 141 East 111<sup>th</sup> Street 3rd Floor New York, NY 10029  
School website: www.successcharters.org  
School email: mainoffice3@harlemsuccess.org  
Telephone: 646-747-6700 Fax: 646-478-9192  
BEDS # 310 900 800 922 (FTE as of 6/30/2011)  
District/CSD of Location: 04  
Charter authorizer: CSI  
Chair, Board of Trustees: Doug Hirsch  
Date school first opened for instruction: August 25, 2008  
2010-11 Enrollment: 458 (FTE as of 6/30/2011)  
2010-11 Grades Served: K-3

Our signatures below attest that all of the information contained herein is truthful and accurate.

Richard Seigler  
Print Name, Head of Charter School

[Redacted]  
Print Name, Board of Trustees

[Redacted] 7/26/2011  
Signature and Date

Douglas Hirsch, July 27, 2011  
Signature and Date

## II. Table of Contents

I.	School Information and Cover Page	
II.	Table of Contents	
III.	New York State Report Cards.....	4
IV.	Key Focus Area Requests.....	5
	1. Progress Toward Goal Achievement.....	5
	2. Financial Information.....	6
	3. Charter Revisions.....	7
	4. Board of Trustees.....	8

### Required Forms: Appendices

Appendix A: Progress Toward Charter Goals.....	5
Appendix C: Total Expenditures & Administrative Expenditures Per Child..	6
Appendix F: Disclosure of Financial interest Form.....	8

<b>III. New York State Report Card</b>
--

Harlem Success Academy Charter School 3

<https://www.nystart.gov/publicweb/School.do?county=NEW%20YORK&district=&school=310400860922&year=2010>

<b>IV. Key Focus Area Requests</b>
------------------------------------

**1. Progress Toward Goal Achievement*****Appendix A: Progress Toward Charter Goals***

Per guidance from SED & CSI on 7/15/2011, Appendix A will be submitted following the release of 2011 state test scores.


### 3. Financial Information

#### *Appendix C: Total Expenditures and Administrative Expenditures Per Child*

##### **Total Expenditures per Pupil** (as of 6/30/2011):

Total Expenditures	Total Enrolled Students	Expenditures per Pupil
\$6,021,616	458	\$13,143

##### **Administrative Expenditures per Pupil** (as of 6/30/2011):

		<b>Charter Schools Institute</b> <i>The State University of New York</i>
<b>Annual Report Requirement</b> <i>for SUNY Authorized Charter Schools</i>		
<b>Harlem Success Academy 3</b>		
Administrative expenditures per pupil:	<b>\$2,031.81</b>	
Per NYS Statute	Administrative expenditures per pupil: the sum of all general administration salaries and other general administration expenditures divided by the total number of enrolled students. Employee benefit costs or expenditures should not be reported here.	

#### **4. Charter Revisions**

##### ***Amendment to Attachment 22:***

The amended attachment includes all contents of original charter with a few additions. The amended attachment states that SCN is now “taking specific actions to attract and retain a comparable or greater enrollment of limited English proficient students when compared to the enrollment figures for such students in the school district in which the charter school will be located.”

The amendment outlines procedures for identifying ELL’s and how SCN plans to reach out to bilingual families.

##### ***Amendment to Attachment 23:***

The amendment outlines the new admissions policy to give an at-risk designation to applicants that are deemed English Language Learners (ELLs).

The amendment also outlines how the ELL designation would be determined.

##### ***Amendment to Attachment 34:***

The amendment outlines intentions to now admit students kindergarten through third grade (instead of second) as space permits.

##### ***Amendment to Paragraph 2.2 (a):***

- i) Total enrollment does not exceed ~~120%~~ 125% of the Projected Total Enrollment, ii) the total enrollment is not less than ~~80%~~ 85% of the Projected Total Enrollment of 50 students, whichever is greater.

##### ***Amendment to Paragraph 5.2***

The amendment outlines the policies for financial statements for each year (instead of only the first year) of operation. It also makes financial statements available “in such form and electronic format as prescribed and disseminated by the Trustees and currently available through the website of the Institute.”

##### ***Amendment to Paragraph 5.5***

Annual budget and cash flow projections shall be available “in such and electronic format as prescribed and disseminated by the Trustees”, which will be available on the website.

## **5. Board of Trustees**

### ***Appendix F: Disclosure of Financial Interest Forms***



Appendix F: Disclosure of Financial Interest Form

Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11

*\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.*

1. Trustee Name (print) Douglas Hirsch
2. Charter School Name Harlem Success Academy Charter School 3
3. Charter Authorizer Entity \_\_\_\_\_
4. Home Address\* [REDACTED], New York, NY 10075
5. Business Address\* [REDACTED], New York NY 10022
6. Daytime Phone [REDACTED]
7. E-Mail Address\* [REDACTED]
8. List all positions held on board (e.g., chair, treasurer, parent representative)  
Chair

9. Is the trustee an employee of the school? \_\_\_Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? \_\_\_Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
Please write "None" if applicable. Do not leave this space blank.			
None			

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
Please write "None" if applicable. Do not leave this space blank.				
None				

Signature

Date

July 27, 2011

Appendix F: Disclosure of Financial Interest Form

Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11

*\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.*

1. Trustee Name (print) Benjamin S. Appen
2. Charter School Name Harlem Success Academy 3
3. Charter Authorizer Entity CS1/KSUNY
4. Home Address\* [REDACTED] New York, NY 10014
5. Business Address\* [REDACTED] NYC 10022
6. Daytime Phone\* [REDACTED]
7. E-Mail Address\* [REDACTED]
8. List all positions held on board (e.g., chair, treasurer, parent representative)

Director

9. Is the trustee an employee of the school? \_\_\_ Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? \_\_\_ Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
Please write "None" if applicable. Do not leave this space blank. <b>NONE</b>			

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
Please write "None" if applicable. Do not leave this space blank. <b>NONE</b>				

S

Date

7-13-11

**Appendix F: Disclosure of Financial Interest Form**

**Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11**

*\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.*

1. Trustee Name (print) ROBERT AZEKE
2. Charter School Name Harlem Success Charter School 3
3. Charter Authorizer Entity \_\_\_\_\_
4. Home Address\* [REDACTED] NY, NY 10026
5. Business Address\* [REDACTED] NY NY 10005
6. Daytime Phone\* [REDACTED]
7. E-Mail Address\* [REDACTED]
8. List all positions held on board (e.g., chair, treasurer, parent representative)  
Secretary

9. Is the trustee an employee of the school? Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
<i>Please write "None" if applicable. Do not leave this space blank.</i>			
None	None	None	None

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
None	None	None	None	None

Signature

Date

July 20, 2011

**Appendix F: Disclosure of Financial Interest Form**

**Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11**

*\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.*

1. Trustee Name (print) BRYAN B. NDEL
2. Charter School Name HARLEM SUCCESS ACADEMY 3
3. Charter Authorizer Entity \_\_\_\_\_
4. Home Address\* \_\_\_\_\_ NY NY 10023
5. Business Address\* \_\_\_\_\_ NY NY 10022
6. Daytime Phone\* \_\_\_\_\_
7. E-Mail Address\* \_\_\_\_\_
8. List all positions held on board (e.g., chair, treasurer, parent representative)  
Treasurer

9. Is the trustee an employee of the school? ☐ Yes ☒ No

If **Yes**, please provide a description of the position you hold and your responsibilities, your salary and your start date.

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? ☐ Yes ☒ No

If **Yes**, please provide a description of the position you hold and your responsibilities, your salary and your start date.



11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
<i>Please write "None" if applicable. Do not leave this space blank.</i>			
None			

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
None				

Signature

Date

7-26-11



Appendix F: Disclosure of Financial Interest Form

Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11

\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.

1. Trustee Name (print) KATHRYN CROFT
2. Charter School Name Harlem Success Academy 3
3. Charter Authorizer Entity Success Charter Network
4. Home Address\* [REDACTED] Brooklyn NY 11201
5. Business Address\* " " " " "
6. Daytime Phone\* [REDACTED]
7. E-Mail Address\* [REDACTED]
8. List all positions held on board (e.g., chair, treasurer, parent representative)

N/A

9. Is the trustee an employee of the school? \_\_\_ Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? \_\_\_ Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
<i>Please write "None" if applicable. Do not leave this space blank.</i>			
— NONE —			

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
— NONE —				

Signature

Date

7/26/11

**Appendix F: Disclosure of Financial Interest Form****Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11**

*\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.*

1. Trustee Name (print) DIANA ELGHANAYAN
2. Charter School Name HARLEM SUCCESS ACADEMY 3
3. Charter Authorizer Entity CS1
4. Home Address\* [REDACTED] NYC 10017
5. Business Address\* NA
6. Daytime Phone\* [REDACTED]
7. E-Mail Address\* [REDACTED]
8. List all positions held on board (e.g., chair, treasurer, parent representative)  
TRUSTEE

9. Is the trustee an employee of the school? \_\_\_ Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? \_\_\_ Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered Yes to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
<i>Please write "None" if applicable. Do not leave this space blank.</i>			
	NONE		

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
		NONE		

Sig

Date

JULY 11, 2011

**Appendix F: Disclosure of Financial Interest Form**

**Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11**

*\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.*

1. Trustee Name (print) Scott J. Friedman
2. Charter School Name HSA 3
3. Charter Authorizer Entity \_\_\_\_\_
4. Home Address\* [REDACTED] New York, NY 10028
5. Business Address\* [REDACTED] New York, NY 10022
6. Daytime Phone\* [REDACTED]
7. E-Mail Address\* [REDACTED]
8. List all positions held on board (e.g., chair, treasurer, parent representative)  
board member

9. Is the trustee an employee of the school? \_\_\_Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? \_\_\_Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
Please write "None" if applicable. Do not leave this space blank.			
NONE			

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
Please write "None" if applicable. Do not leave this space blank.				
NONE				

Signature

Date

07/25/2011

Appendix F: Disclosure of Financial Interest Form

Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11

\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.

1. Trustee Name (print) JOEL GREENBLATT
2. Charter School Name HARLEM SUCCESS ACADEMY 3
3. Charter Authorizer Entity CSI
4. Home Address\* [REDACTED] / SANDS POINT / NY 11050
5. Business Address\* [REDACTED] WYNY 10022
6. Daytime Phone\* [REDACTED]
7. E-Mail Address\* [REDACTED]
8. List all positions held on board (e.g., chair, treasurer, parent representative)  
HSA 1 - CHAIR, 2006 - PRESENT HSA3 chair 5/2008 - 3/2011  
SUCCESS CHARTER NETWORK, 12/13/06 - PRESENT HSA3-member 3/2011-present
9. Is the trustee an employee of the school? Yes ☒ No  
If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.  

---
10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? Yes ☒ No  
If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.  

---

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
Please write "None" if applicable. Do not leave this space blank.			
NONE			

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
Please write "None" if applicable. Do not leave this space blank.				
NONE				

Signature

Date 07/25/2011



**Appendix F: Disclosure of Financial Interest Form****Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11**

*\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.*

1. Trustee Name (print) Jagan M. Peralta
2. Charter School Name Harlem Success Academy 3
3. Charter Authorizer Entity Charterschool institution
4. Home Address\* [REDACTED] Bx NY 10469
5. Business Address\* [REDACTED]
6. Daytime Phone\* [REDACTED]
7. E-Mail Address\* [REDACTED]
8. List all positions held on board (e.g., chair, treasurer, parent representative)  
Parent Representative

9. Is the trustee an employee of the school? Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
<i>Please write "None" if applicable. Do not leave this space blank.</i>			
None	None	None	None

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
None	None	None	None	None

Signature

Date

7/29/11

Appendix F: Disclosure of Financial Interest Form

Disclosure of Financial Interest by a New York Charter School Board of Trustees Member  
Annual Report 2010-11

*\*Note: This Disclosure is a public record, but asterisked data fields will be redacted.*

1. Trustee Name (print) JOHN RENNIE
2. Charter School Name HARLEM SUCCESS ACADEMY 3
3. Charter Authorizer Entity \_\_\_\_\_
4. Home Address\* \_\_\_\_\_, NEW YORK, NY 10022
5. Business Address\* \_\_\_\_\_
6. Daytime Phone\* \_\_\_\_\_
7. E-Mail Address \_\_\_\_\_
8. List all positions held on board (e.g., chair, treasurer, parent representative)  
\_\_\_\_\_  
\_\_\_\_\_

9. Is the trustee an employee of the school? \_\_\_ Yes ☒ No

If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.  
\_\_\_\_\_

10. Is the trustee an employee or agent of the management company or institutional partner of the charter school? \_\_\_ Yes ☒ No


If Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.  
\_\_\_\_\_

11. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
<i>Please write "None" if applicable. Do not leave this space blank.</i>			
	NONE		

12. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

Organization conducting business with the school	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
	NONE			

Signature  Date 7/12/11