



Renewal Recommendation Report Success Academy Charter School – Harlem 1

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TABLE OF CONTENTS

INTRODUCTION AND REPORT FORMAT	1
RENEWAL RECOMMENDATION	3
SCHOOL BACKGROUND AND EXECUTIVE SUMMARY	6
ACADEMIC PERFORMANCE	8
ORGANIZATIONAL PERFORMANCE	14
FISCAL PERFORMANCE	17
FUTURE PLANS	20
APPENDICES	
A-SCHOOL OVERVIEW	23
B-SCHOOL PERFORMANCE SUMMARIES	31
C-SCHOOL DISTRICT COMMENTS	35
D-SCHOOL FISCAL DASHBOARD	37
E-EDUCATION CORPORATION OVERVIEW	43
F -EDUCATION CORPORATION FISCAL DASHBOARD	93

INTRODUCTION AND REPORT FORMAT

INTRODUCTION

This report is the primary means by which the SUNY Charter Schools Institute (the “Institute”) transmits to the State University of New York Board of Trustees (the “SUNY Trustees”) its findings and recommendations regarding an education corporation’s Application for Charter Renewal, and more broadly, details the merits of an education corporation’s case for renewal. The Institute has created and issued this report pursuant to the *Policies for the Renewal of Not-For-Profit Charter School Education Corporations and Charter Schools Authorized by the Board of Trustees of the State University of New York* (the “SUNY Renewal Policies”) (revised September 4, 2013 and available at: www.newyorkcharters.org/wp-content/uploads/SUNY-Renewal-Policies.pdf).

REPORT FORMAT

The Institute makes all renewal recommendations based on a school’s Application for Charter Renewal, evaluation visits conducted and information gathered during the charter term and a renewal evaluation visit conducted near the end of the current charter term. Additionally, the Institute has reviewed the strength and fiscal health of the not-for-profit education corporation with the authority to operate the school. Most importantly, the Institute analyzes the school’s record of academic performance and the extent to which it has met its academic Accountability Plan goals. This renewal recommendation report compiles the evidence below using the *State University of New York Charter Renewal Benchmarks* (the “SUNY Renewal Benchmarks”),¹ which specify in detail what a successful school should be able to demonstrate at the time of the renewal review. The Institute uses the four interconnected renewal questions below for framing benchmark statements to determine if a school has made an adequate case for renewal.

1. Is the school an academic success?
2. Is the school an effective, viable organization?
3. Is the school fiscally sound?
4. If the SUNY Trustees renew the education corporation’s authority to operate the school, are its plans for the school reasonable, feasible and achievable?

This report contains Appendices that provide additional statistical and organizationally related information including a largely statistical school overview, copies of any school district comments on the Application for Charter Renewal, the SUNY Fiscal Dashboard information for the school, and, if applicable, its education corporation, additional information about the education corporation and its schools, and additional evidence on student achievement of those schools.

¹ Version 5.0, May 2012, available at: www.newyorkcharters.org/wp-content/uploads/SUNY-Renewal-Benchmarks.pdf.

INTRODUCTION AND REPORT FORMAT

Additional information about the SUNY renewal process and an overview of the requirements for renewal under the New York Charter Schools Act of 1998 (as amended, the “Act”) are available on the Institute’s website at: www.newyorkcharters.org/operate/existing-schools/renewal/.

RENEWAL RECOMMENDATION

RECOMMENDATION: **FULL-TERM RENEWAL**

The Institute recommends that the SUNY Trustees approve the Application for Charter Renewal of Success Academy Charter School – Harlem 1 and renew the education corporation’s authority to operate the school for a period of five years with authority to provide instruction to students in Kindergarten through 12th grade in such configuration as set forth in the Application for Charter Renewal, with a projected total enrollment of 1,142 students.

To earn an Initial Full-Term Renewal², a school must either:

- (a) have compiled a strong and compelling record of meeting or coming close to meeting its academic Accountability Plan goals, and have in place at the time of the renewal review an educational program that, as assessed using the Qualitative Education Benchmarks,³ is generally effective; or
- (b) have made progress toward meeting its academic Accountability Plan goals and have in place at the time of the renewal review an educational program that, as assessed using the Qualitative Education Benchmarks, is particularly strong and effective.⁴

REQUIRED FINDINGS

In addition to making a recommendation based on a determination of whether the school has met the SUNY Trustees’ specific renewal criteria, the Institute makes the following findings required by the Act:

- the school, as described in the Application for Charter Renewal meets the requirements of the Act and all other applicable laws, rules and regulations;
- the education corporation can demonstrate the ability to operate the school in an educationally and fiscally sound manner in the next charter term; and,
- given the programs it will offer, its structure and its purpose, approving the school to operate for another five years is likely to improve student learning and achievement and materially further the purposes of the Act.⁵

² This is Success Academy Charter School – Harlem 1’s first renewal as a SUNY authorized school; therefore, the SUNY Trustees will consider the school’s renewal pursuant to the SUNY Renewal Policies on initial renewal outcomes. See SUNY Renewal Policies (p.12).

³ The Qualitative Education Benchmarks are a subset of the SUNY Renewal Benchmarks.

⁴ SUNY Renewal Policies (p. 12).

⁵ See New York Education Law § 2852(2).

RENEWAL RECOMMENDATION

As required by Education Law § 2851(4)(e), a school must include in its renewal application information regarding the efforts it will put in place to meet or exceed SUNY's enrollment and retention targets for students with disabilities, English language learners ("ELLs"), and students who are eligible applicants for the federal Free and Reduced Price Lunch ("FRPL") program. SUNY⁶ and the New York State Board of Regents (the "Board of Regents") finalized the methodology for setting targets in October 2012, and the Institute communicated specific targets for each school, where applicable, in July 2013. Since that time, new schools receive targets during their first year of operation.

Success Academy Charter Schools - NYC ("SACS-NYC" or the "education corporation") uses sufficient outreach efforts to meet schools' enrollment and retention targets. As noted in the Application for Charter Renewal, this outreach is critical in reaching underserved families in the communities in which its schools operate.

Enrollment and retention targets apply to all charter schools approved pursuant to any of the Institute's Request for Proposals ("RFP") processes (August 2010 – present) and to charter schools that applied for renewal after January 2011. Because Success Academy Charter School – Harlem 1 ("Success Harlem 1") received its original charter prior to the 2010 RFP process in the Act, it is not currently accountable for enrollment and retention targets. For additional information regarding the school's future targets, including a comparison of how it would have performed if it currently had targets, please reference Appendix A.

SACS-NYC submitted the following strategies to meet schools' targets:

- mailings and distributions to residents of the school's community school district ("CSD");
- mailings and distributions to residents in low-income in-district communities;
- mailings and distributions to residents in mixed-income in-district communities;
- targeted distribution of native language materials to individuals and communities within the CSD, as determined by each school;
- advertisements, flyers, and/or marketing materials posted in local newspapers, supermarkets, community centers, and/or apartment complexes; and,
- open houses at the schools and informational sessions hosted at public and private venues frequented by families of young children including daycare and nursery schools.

The SACS-NYC program is designed to support students with disabilities, ELLs, and economically disadvantaged students in general education classrooms through a rigorous curriculum and instructional model proven highly successful in preparing students to meet and exceed state performance benchmarks. Certain students requiring more intensive supports in smaller settings receive special education services at one of three locations housing the education corporation's shared self-contained, or 12:1:1, programs. SACS-NYC's English language immersion program has proven successful in helping ELLs reach English proficiency. Seventy-seven percent of SACS-NYC

⁶ SUNY Trustees' Charter Schools Committee resolution dated October 2, 2012.

RENEWAL RECOMMENDATION

students qualify as economically disadvantaged and receive breakfast, one or more snacks and lunch free of charge each day. The school employs these efforts in an attempt to meet its student retention targets.

CONSIDERATION OF SCHOOL DISTRICT COMMENTS

In accordance with the Act, the Institute notified the district in which the charter school is located regarding the school's Application for Charter Renewal. The full text of any written comments received from the district appears in Appendix C, which also includes a summary of any public comments. As of the date of this report, the Institute has received no district comments in response.

SCHOOL BACKGROUND AND EXECUTIVE SUMMARY

Success Academy Charter School – Harlem 1

BACKGROUND

Success Harlem 1 received its original charter from the Board of Regents on January 10, 2006 and opened its doors in the fall of 2006. The SUNY Trustees granted approval for the school to merge into a SUNY-authorized education corporation, the predecessor to SACS-NYC, on April 24, 2012.

The mission of Success Harlem 1 is:

To provide students in New York City with an exceptionally high-quality education that gives them the knowledge, skills, character and disposition to meet and exceed New York State Common Core Learning Standards and the resources to lead and succeed in school, college and a competitive global economy.

Success Academies NYC Schools seek to provide this exceptionally high-quality education to all of their students, including English language learners and students with special education needs, irrespective of socioeconomic, racial, ethnic and/or other status.

Success Harlem 1 is one of 38 SUNY authorized schools within the SACS–NYC education corporation. Twenty-four of these authorized schools are currently open and operating, while the remaining 14 schools are scheduled to open by the 2017-18 school year. The Act allows authorizers to grant charter school education corporations the authority to operate more than one school under Education Law § 2853(1)(b-1) through the approval of new schools as set forth in the Act, or through merger with one or more education corporations. All schools operated by the education corporation including Success Harlem 1 are managed by Success Academy Charter Schools, Inc. (“Success Academy” or the “network”), a Delaware not-for-profit charter management organization based in New York City, for comprehensive management services. The network by contract provides all Success schools with academic, operational and back-office assistance. Schools utilize the network’s curriculum and assessment materials, all of which are purchased and/or designed by network curriculum teams. The network is also responsible for managing and evaluating the performance of each school and school leaders, with network managing directors serving in supervisory roles for principals.

Success Harlem 1 implements an academic program consistent with all SACS-NYC charter schools. This program, with its emphasis on critical thinking, problem solving and oral communication has proven to be successful in meeting the needs of both general education students and students traditionally considered at risk of academic failure. The school operates in three co-located New York City Department of Education (“NYCDOE”) facilities. Grades K-4 are located at 34 West 118th St., 2nd Floor, in CSD 3. Grades 5-7 are located at 215 West 114th St., 5th Floor, also in CSD 3 and are co-located with Frederick Douglass Academy Secondary School and Wadleigh Secondary School for the Performing and Visual Arts. Grades 8-10 are located at 111 East 33rd St., 4th Floor, in CSD 2 and are co-located with Murray Hill Academy, Manhattan Academy for Arts and Language

SCHOOL BACKGROUND AND EXECUTIVE SUMMARY

and Unity Center for Urban Technology. This is the school's first renewal as a SUNY-authorized charter school. In the current school year, Success Harlem 1 serves 1,004 students in Kindergarten through 10th grade.

EXECUTIVE SUMMARY

Given that Success Harlem 1 met its Accountability Plan goals in its initial SUNY charter term, the Institute recommends Full-Term Renewal. The school posted outstanding student performance results in grades 3-8 for all three years for which SUNY data is available, far exceeding local district performance and performing in the 91st percentile statewide in English language arts ("ELA") and the 98th percentile in mathematics for all three years. As demonstrated by strong performance on state assessments, Success Harlem 1 maintains an effective educational program including rigorous curriculum, strong leadership and high quality instruction.

Based on the Institute's review of the school's performance as posted over the charter term, a review of the Application for Charter Renewal submitted by the school, a review of academic, organizational, governance and financial documentation as well as a renewal visit to the school, the Institute finds that the school is likely to continue to improve student learning and achievement in the future.

NOTEWORTHY

Success Academy is the highest performing charter network in New York City and across the state. Success Harlem 1 posted ELA proficiency rates in the top 10% of all New York State schools over the past three years. Over the same period, the school performed in the top 2% of all schools statewide on the mathematics exam. Over the charter term, the school far outperformed many of its district and state peers in both subjects. As with all SACS-NYC schools, students at Success Harlem 1 learn to play chess, ballroom dance and participate in an arts program that includes multi-media art production and both vocal and instrumental music.

IS THE SCHOOL AN ACADEMIC SUCCESS?

Success Harlem 1 is a demonstrable academic success. Throughout the Accountability Period,⁷ the school has met and exceeded its key Accountability Plan goals. Based on evidence the Institute compiled throughout the charter term and at the time of renewal, Success Harlem 1's academic program is strong, effective and supported by high quality instructional and organizational leadership.

The Act outlines the requirement that authorizers “change from rule-based to performance-based accountability systems by holding [charter] schools . . . accountable for meeting measurable student achievement results.”⁸ As described in this report, Success Harlem 1 has satisfied the requirements of the Act as well as the SUNY Renewal Policies⁹ as it has posted consistently strong outcomes as measured by performance on state assessments. This performance indicates Success Harlem 1's curriculum, assessment system, instructional design and leadership combine into a demonstrably successful implementation of Success Academy Charter Schools, Inc.'s model. The strength of that model, detailed in Appendix E, along with the strong and sustained student performance outcomes at Success Harlem 1 provide the foundation for the Institute's analysis that: 1) the school posts sufficient evidence to support the conclusion it meets the academic and organizational criteria called for in the SUNY Renewal Benchmarks; and, 2) the school's strong performance merits a five-year renewal recommendation.

At the beginning of the Accountability Period, the school developed and adopted an Accountability Plan that set academic goals in the key subjects of English Language Arts (“ELA”) and mathematics. For each goal in the Accountability Plan, specific outcome measures define the level of performance necessary to meet that goal. The Institute examines results for five required Accountability Plan measures to determine ELA and mathematics goal attainment. Because the Act requires charters be held “accountable for meeting measurable student achievement results”¹⁰ and states the educational programs at a charter school must “meet or exceed the student performance standards adopted by the board of regents”¹¹ for other public schools, SUNY's required accountability measures rest on performance as measured by state wide assessments. Historically, SUNY's required measures include measures that present schools':

- absolute performance, i.e., what percentage of students score at a certain proficiency on state exams?;
- comparative performance, i.e., how did the school do as compared to schools in the district and schools that serve similar populations of economically disadvantaged students?; and,

⁷ Because the SUNY Trustees make a renewal decision before student achievement results for the final year of a charter term become available, the Accountability Period ends with the school year prior to the final year of the charter term. For a school in an initial charter term, the Accountability Period covers the first four years the school provides instruction to students. In the case of Success Harlem 1, the Accountability Period covers the period post-merger with the SUNY authorized education corporation.

⁸ Education Law § 2850(2)(f).

⁹ SUNY Renewal Policies (pp. 12-15).

¹⁰ Education Law § 2850(2)(f).

¹¹ Education Law § 2854(1)(d).

ACADEMIC PERFORMANCE

- growth performance, i.e., how much did the school grow student performance as compared to the growth of similarly situated students?

Every SUNY authorized charter school has the opportunity to propose additional measures of success when crafting its Accountability Plan. Success Harlem 1 did not propose or include any additional measures of success in the Accountability Plan it adopted.

The Institute analyzes every measure included in the school's Accountability Plan to determine its level of academic success including the extent to which the school has established and maintained a record of high performance throughout the charter term. Since 2009, the Institute has examined but consistently de-emphasized the two absolute measures under each goal in elementary and middle schools' Accountability Plans because of changes to the state's assessment system. The analysis of elementary and middle school performance continues to focus primarily on the two comparative measures and the growth measure while also considering the two required absolute measures and any additional evidence the school presents using additional measures identified in its Accountability Plan. The analysis of high school academic performance focuses primarily on absolute and comparative measures associated with the school's graduation and (for college preparatory programs) college preparation goals. The Institute identifies the required measures (absolute proficiency, absolute Annual Measurable Objective ("AMO") attainment,¹² comparison to local district, comparison to demographically similar schools, and student growth) in the Performance Summaries appearing in Appendix B.

The Institute analyzes all measures under the school's ELA and mathematics goals while emphasizing the school's comparative performance and growth to determine goal attainment. The Institute calculates a comparative effect size to measure the performance of Success Harlem 1 relative to all public schools statewide that serve the same grade levels and that enroll students who are similarly economically disadvantaged. It is important to note that this measure is a comparison measure and therefore any changes in New York's assessment system do not compromise its validity or reliability. Further, the school's performance on the measure is not relative to the test, but relative to the strength Success Harlem 1's demonstrated student learning compared to other schools' demonstrated student learning.

The Institute uses the state's growth percentile analysis as a measure of Success Harlem 1's comparative year-to-year growth in student performance on the state's ELA and mathematics exams. The measure compares a school's growth in assessment scores to the growth in assessment scores of the subset of students throughout the state who performed identically on previous years' assessments. According to this measure, median growth statewide is at the 50th percentile. This means that to signal the school's ability to help students make one year's worth of growth in one year's time the expected percentile performance is 50. To signal a school is increasing students' performance above their peers (students statewide who scored previously at the same level), the school must post a percentile performance that exceeds 50.

¹² The state did not calculate an AMO for 2012-13. As such, the Institute will only report on the 2013-14 and 2014-15 results.

ACADEMIC PERFORMANCE

The Accountability Plan also includes science and No Child Left Behind Act (“NCLB”) goals. Please note that for schools located in New York City, the Institute uses the CSD as the local school district.

SUNY Renewal Benchmark 1A:

Has the school met or come close to meeting its Academic Accountability Plan Goals?

Success Harlem 1 met its key Accountability Plan goals in ELA and mathematics during the three years it has been authorized by SUNY. The school’s ELA and mathematics achievement is among the strongest in the state.

Success Harlem 1 met its key ELA Accountability Plan goal during the three years of authorization by SUNY. During this period, the school’s proficiency rate consistently exceeded that of the local school district. Success Harlem 1 performed higher than expected to a large degree relative to demographically similar schools across the state each year. Further, the school performed better than at least 91% of all schools in the state during its Accountability Period. The school also posted mean growth percentiles that consistently exceeded the state’s median score of 50.

Success Harlem 1 also consistently met its mathematics Accountability Plan goal. The school exceeded its growth measure in the first two years of its Accountability Period by as much as 19.5 percentile points and came close to the state median in 2014-15. Over the charter term, the school posted proficiency rates among the absolute strongest in New York State. The school’s scores were higher than CSD 3 schools on average by at least 36 percentage points each year. During all three years in the Accountability Period for which SUNY data exists, Success Harlem 1 ranked in at least the 98th percentile statewide for mathematics performance. In 2013-14, only 15 schools in the state performed higher than Success Harlem 1.

Success Harlem 1 has met its science goal throughout the Accountability Period. The school exceeded the absolute and comparative measure with 100% of students enrolled in at least their second year scoring at or above proficient each year.

Although not tied to separate goals in the school’s formal Accountability Plan, academic outcome data about the school’s students receiving special education services and ELLs are presented below for informational purposes.

ACADEMIC PERFORMANCE

Although not tied to separate goals in the school's formal Accountability Plan, academic outcome data about the school's students receiving special education services and ELLs are presented below for informational purposes.

		2012-13	2013-14	2014-15
	Enrollment (N) Receiving Mandated Academic Services	(117)	(91)	(141)
	Tested on State Exams (N)	(57)	(82)	(97)
RESULTS	School Percent Proficient on ELA Exam	31.6	29.3	17.5
	Percent Proficient Statewide	5.0	5.2	5.8

		2012-13	2013-14	2014-15
	ELL Enrollment (N)	(31)	(29)	(32)
	Tested on NYSESLAT ¹³ Exam (N)	(28)	(28)	(32)
RESULTS	School Percent 'Commanding' or Making Progress ¹⁴ on NYSESLAT	39.3	28.6	18.8

¹³ New York State English as a Second Language Achievement Test, a standardized state exam.

¹⁴ Defined as moving up at least one level of proficiency. As of 2014-15, student scores can fall into five categories/proficiency levels: Entering (formerly Beginning); Emerging (formerly Low Intermediate); Transitioning (formerly Intermediate); Expanding (formerly Advanced); and, Commanding (formerly Proficient).

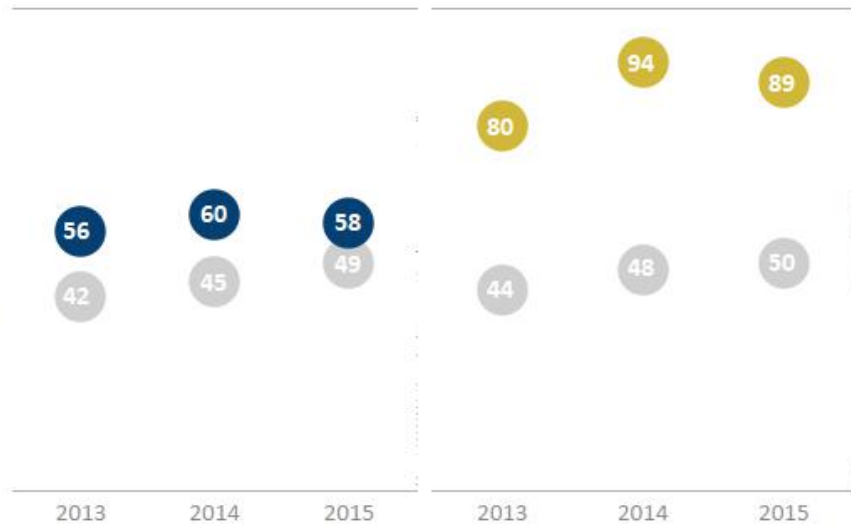
ACADEMIC PERFORMANCE

DESCRIPTION

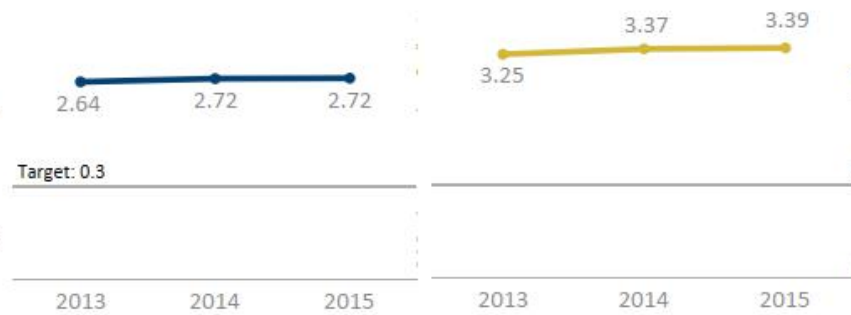
ENGLISH LANGUAGE ARTS ACCOUNTABILITY PLAN GOAL

MATHEMATICS ACCOUNTABILITY PLAN GOAL

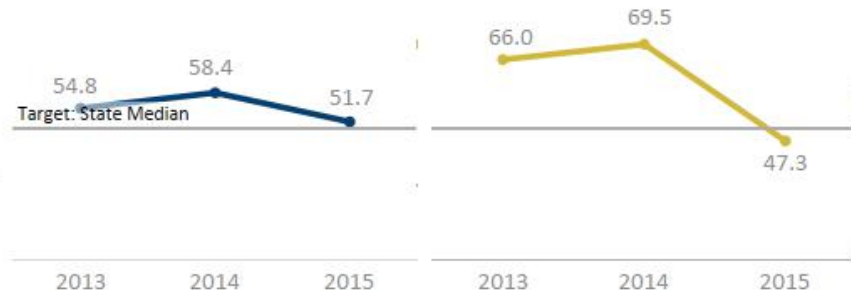
Comparative Measure: District Comparison. Each year, the percentage of students at Success Harlem 1 in at least their second year performing at or above proficiency in **ELA** and **mathematics** will be greater than that of students in the same tested grades in **CSD 3**.



Comparative Measure: Effect Size. Each year, Success Harlem 1 will exceed its predicted level of performance by an Effect Size of 0.3 or above in **ELA** and **mathematics** according to a regression analysis controlling for economically disadvantaged students among all public schools in New York State.



Comparative Growth Measure: Mean Growth Percentile. Each year, Success Harlem 1's unadjusted mean growth percentile for all students in grades 4-8 will be above the state's unadjusted median growth percentile in **ELA** and **mathematics**.



SCIENCE

Science: Comparative Measure. Each year, the percentage of students at Success Harlem 1 in at least their second year performing at or above proficiency in **science** will exceed that of students in the same tested grades in the district.



ACADEMIC PERFORMANCE

Academic Program Summary. Success Harlem 1's academic program is strong, allowing the school to meet and exceed its academic goals. The school continues to have effective instructional leadership at both the elementary and middle grade sites ("lower academy") and the new high school site ("upper academy"). The lower academy is one of only three network schools to have a pre-Kindergarten program and the upper academy is the first and only academy that serves high school grades in the network. School leaders across Success Harlem 1 consistently communicate clear expectations for teacher and student success, which they support through targeted coaching and effective feedback loops. Both academies have relevant weekly professional development sessions where leaders explicitly communicate goals for performance on upcoming assessments. For example, the elementary principal made clear that she expects 80% of students taking the mathematics assessment at the time of the visit to pass with a score at or above 80. The network provides the lower academy with a combination of network-created and commercial curricula that includes scope and sequence documents, unit plans and lesson plans. At the upper academy, teachers and leaders develop all curriculum and related materials. Teachers create scope and sequence documents using Advanced Placement ("AP") exams and Scholastic Aptitude Test ("SAT") Subject Tests as guides to ensure lessons are rigorous. The lower academy administers weekly assessments in vocabulary, spelling and mathematics, which allows them to track student progress. The upper academy mainly administers mid-term and end-of-trimester assessments. These assessments are more than adequate to keep the school and network up-to-date on student progress. Teachers, leaders and parents have access to a student management system ("SMS"), the network's robust data portal, to view updated information that includes assessment and attendance data as well as qualitative notes.

Success Harlem 1 has strong supports for students at risk of academic failure. As has been the case throughout the charter term, the school supports its 110 ELLs through the network's proven English language immersion program, which provides ample opportunities for oral communication and exposure to written language. The school serves 136 students with Individualized Education Programs ("IEPs") mandating academic supports with Special Education Teacher Support Services ("SETTS") and through a 12:1:1 program.¹⁵ Success Harlem 1 is one of three locations hosting the network's shared 12:1:1 programs, which provide students requiring intensive special education services classrooms with lower student-to-teacher ratios and paraprofessional support. For a more in-depth analysis of the education corporation's program and how it meets the demands of the SUNY Renewal Benchmarks, please refer to Appendix E.

¹⁵ At-risk student enrollment numbers reported by school to the Institute prior to the renewal visit.

IS THE SCHOOL AN EFFECTIVE, VIABLE ORGANIZATION?

Success Harlem 1 is an effective, viable organization that delivers a high quality educational program producing high levels of student achievement. The SACS-NYC board carries out its oversight responsibilities with a focus on student achievement. The school organization effectively supports the delivery of the educational program. During the current charter term, the board has generally abided by its by-laws and been in general and substantial compliance with the terms of its charter, code of ethics, applicable state and federal law, rules and regulations.

SUNY Renewal Benchmark 2A:

Is the school faithful to its mission and does it implement the key design elements included in its charter?

Success Harlem 1 is faithful to its mission and key design elements. These are found in the School Background section at the beginning of this report and identified in Appendix A, respectively. The school is highly effective in implementing the school design and has produced the academic results promised at the time it merged with a SUNY chartered education corporation.

SUNY Renewal Benchmark 2B:

Are parents/guardians and students satisfied with the school?

To report on parent satisfaction with the school's program, the Institute used both survey data as well as data gathered from a focus group of parents. To assemble a group representative of the school community, the Institute requested the school identify a sample of parents that included parents of students in attendance at the school for multiple years, parents of students new to the school, parents of students receiving general education services, parents of students with special needs and parents of ELLs.

Parent Survey Data. Success Harlem 1 had a 14% parent participation rate for the NYCDOE's 2014-2015 NYC School Survey. The vast majority of survey responses (96%) indicate high satisfaction with the school. The Institute compiled data from the survey the NYCDOE distributes to families each year to collect information about school culture, instruction, and systems for improvement. Given the low participation rate, the survey results might not be useful in framing the results as representative of the school community.

Parent Focus Group. As a component of its renewal visit protocol, the Institute conducts a parent focus group to gauge satisfaction with the school's program. The Institute requested the school identify a sample of parents representative of the school community and notify them of the opportunity to participate in the renewal review process. Success Harlem 1 did not comply with this request.

Persistence in Enrollment. An additional indicator of parent satisfaction is persistence in enrollment. In 2014-15, 89% of Success Harlem 1 students returned from the previous year. Student persistence data from previous years of the charter term is available in Appendix A. The Institute derived the statistical information on persistence in enrollment from its database. No

ORGANIZATIONAL PERFORMANCE

comparative data from NYCDOE or the New York State Education Department (“NYSED”) is available to the Institute to provide either district wide or by CSD context. As such, the information presented is for information purposes but does not allow for comparative analysis.

SUNY Renewal Benchmark 2E:

Does the board implement, maintain and abide by appropriate policies, systems and processes?

The education corporation board materially and substantially implements, maintains and abides by adequate and appropriate policies, systems and processes and procedures to ensure the effective governance and oversight of the school. The board demonstrates a clear understanding of its role in holding the school leadership and network accountable for both academic results and fiscal soundness.

- The education corporation board has materially complied with the terms of its by-laws and code of ethics.
- The education corporation board receives reports on academic performance and keeps close tabs on the performance of the management organization.
- The board successfully sought 14 new charters in 2014, resulting in authorization to operate a total of 38 charter schools in New York City.

SUNY Renewal Benchmark 2F:

Has the school substantially complied with applicable laws, rules and regulations, and provisions of its charter?

The school/education corporation generally and substantially complies with applicable laws, rules and regulations and the provisions of its charter. The Institute noted the following.

- By-laws. The education corporation’s by-laws need to be updated to comply with provisions of the New York General Municipal and Not-For-Profit Corporation Laws. The Institute will ensure this is updated prior to the start of a new charter term.
- Code of Ethics. The education corporation’s code of ethics needs to be updated to comply with provisions of the General Municipal and Not-For-Profit Corporation Laws. The Institute will ensure this is updated prior to the start of a new charter term.
- Complaints. The school has generated few informal complaints and only one complaint that required review by the Institute. In following up on an allegation of rough handling of a student by a staff member, the Institute found the school had investigated and appropriately handled the matter.
- Open Meetings Law. Based on its board meeting minutes, the board appears to substantially comply with the New York Open Meetings Law when it goes into executive session.

ORGANIZATIONAL PERFORMANCE

- Violations. The Institute has not issued any violation letters to the school during its charter term under SUNY authorization, nor did the Institute or the Charter Schools Committee place the school on corrective action or probation. However, in 2013, NYSED's Special Education Quality Assurance team conducted an audit of the school's program for students with disabilities, with which Success Harlem 1 cooperated fully. NYSED made some findings regarding all IEPs being fully implemented, documentation of services and notification of discipline for students with disabilities. NYSED issued a Compliance Assurance Plan in December 2013 that was fully implemented by February 14, 2014.

IS THE EDUCATION CORPORATION FISCALLY SOUND?

Based on a review of the fiscal evidence collected through the renewal review, SACS-NYC is fiscally sound as is its school, Success Harlem 1. The SUNY Fiscal Dashboard presents color-coded tables and charts indicating that Success Harlem 1 and the education corporation have demonstrated fiscal soundness over the majority of the charter term.¹⁶ (The SUNY Fiscal Dashboard for Success Harlem 1 is included in Appendix D and the Fiscal Dashboard for the Success Academy Charter Schools-NYC merged education corporation is included in Appendix F.) The discussion that follows relates mainly to the education corporation because the school is not a legally distinct fiscal entity.

Success Harlem 1 has adequate financial resources to ensure stable operations. In addition to analyzing the soundness of the individual charter school, the Institute analyzed the soundness of the not-for-profit education corporation granted the authority to operate the school and finds it too is fiscally sound. The network supports Success Harlem 1 in the area of academic and fiscal operations under the terms of a management contract. The SACS-NYC financial model intends to ensure that all fully enrolled schools are financially sustainable and operating solely through public funding, but contributions have been needed to bolster schools' stability during start up years.

SUNY Renewal Benchmark 3A:

Does the school operate pursuant to a fiscal plan in which it creates realistic budgets that it monitors and adjusts when appropriate?

Working in partnership with the network, Success Harlem 1 has employed clear budgetary objectives and budget preparation procedures throughout the charter term.

- The network's finance team coordinates the development of annual and long-term budget preparation procedures with input from the school leadership staff including the business operations manager and the board finance committee.
- The projected five-year renewal budget reflects anticipated increases in revenues and expenses associated with planned enrollment growth as the school expands through grade 12 by the second year of the renewal charter term.
- The individual education corporation prepares a long-term budget, which is updated on an annual basis.
- Success Harlem 1 has operated in a shared NYCDOE facility space since being authorized by the Board of Regents and opening in 2006. Success Harlem 1 is not responsible for rent, utilities, custodial services, maintenance or school safety services on the facility.
- Effective October 1, 2012, Success Harlem 1 merged with other network schools into SACS-NYC under SUNY. Shared expenses with the network and 23 other operating schools produce operating efficiencies and greater purchasing power for the school.

SUNY Renewal Benchmark 3B:

¹⁶ The U.S. Department of Education has established fiscal criteria for certain ratios or information with high – medium – low categories, represented in the table as green – gray – red. The categories generally correspond to levels of fiscal risk, but must be viewed in the context of each education corporation and the general type or category of school.

FISCAL PERFORMANCE

Does the school maintain appropriate internal controls and procedures?

Success Harlem 1 and SACS-NYC have a history of sound fiscal policies, procedures and practices and maintain appropriate internal controls.

- SACS-NYC Financial Policies and Procedures Manual guide all internal controls and procedures at Success Harlem 1. The manual contains fiscal policies and procedures that undergo ongoing reviews, most recently the board approved changes to the purchase approval limits to increase efficiencies and reflect the growth of the organization and the increased sophistication of leadership. Another recent update to the manual included federal guidelines for grant management.
- SACS-NYC audit reports have had no findings of deficiencies. The most recent audit report for the fiscal year ended June 30, 2015 was due to the Institute November 1, 2015.

SUNY Renewal Benchmark 3C:

Does the school comply with financial reporting requirements?

Success Harlem 1 and SACS-NYC have complied with financial reporting requirements.

- Over the charter term, Success Harlem 1 has provided the Institute, NYSED and NYCDOE with the required financial reports that are on time, complete and follow generally accepted accounting principles ("GAAP").
- Independent audits of annual financial statements have received unqualified opinions with no material weaknesses or instances of non-compliance observed.
- Success Harlem 1 and SACS-NYC have generally filed key reports on time and accurately including audit reports, budgets, and unaudited quarterly reports of revenue, expenses and enrollment.

SUNY Renewal Benchmark 3D:

Does the school maintain adequate financial resources to ensure stable operations?

Success Harlem 1 and the merged education corporation SACS-NYC has maintained adequate financial resources to ensure stable operations.

- The school opened in 2006 and merged over to SUNY in 2012 with K-7 grade span. In the 2014-15 school year with K-9 the enrollment was at 99% of chartered enrollment.
- The individual school fiscal dashboard in Appendix D reflects fiscally needs monitoring while the merged education corporation fiscal dashboard in Appendix F reflects fiscally strong, reflecting that assets are kept mainly at the merged level.
- For merged education corporations there is one balance sheet that contains the combined assets and liabilities of all the schools within the merged entity. In order to review the operations of each individual school's operating activities, the revenues and expenditures are reflected in individual dashboards to show operating surpluses or deficits.

FISCAL PERFORMANCE

- The merged education corporation has increased assets from \$24M to \$41M over the last fiscal year. Specifically, substantial technology equipment purchases were made resulting in decreased cash balances and increased equipment account balances on the balance sheet.
- The merged education corporation SACS-NYC had total net assets of approximately \$23M as of the last annual audit report. Each new charter is supported in the planning and startup period from the merged education corporation. Historically, a startup can cost upwards of \$1 million. Success Harlem 1 education corporation has grown to represent net assets of \$7.2 million of the total merged net assets of \$23 million.

FUTURE PLANS

IF THE SUNY TRUSTEES RENEW THE EDUCATION CORPORATION'S AUTHORITY TO OPERATE THE SCHOOL, ARE ITS PLANS FOR THE SCHOOL REASONABLE, FEASIBLE AND ACHIEVABLE?

By meeting and exceeding its Accountability Plan goals, Success Harlem 1 has demonstrated academic success. The school operates as an effective and viable organization, and the education corporation is fiscally sound. Thus, the plans to implement the educational program as proposed during the next charter term are reasonable, feasible and achievable.

SACS-NYC's plans for the future are to continue to operate Success Harlem 1 in its current configuration, and to continue to grow the grades and enrollment of the education corporation as set forth in the Application for Charter Renewal and current charter agreement. The Institute finds the plans for Success Harlem 1 reasonable, feasible and achievable based on its renewal review.

Plans for the School's Structure. The education corporation has provided all of the key structural elements for a charter renewal and those elements are reasonable, feasible and achievable.

Plans for the Educational Program. Success Harlem 1 plans to continue to implement the same core elements that have led the school to meet its Accountability Plan goals during the current charter term; these core elements are likely to enable the school to meet its goals in the future.

	Current Charter Term	End of Next Charter Term
Enrollment	976	1,142
Grade Span	K-10	K-12
Teaching Staff	105.5	150
Days of Instruction	180	180

Plans for Board Oversight & Governance. Board members express an interest in continuing to serve SACS-NYC in the next charter term and may add additional members in the future.

Fiscal & Facility Plans. Based on evidence collected through the renewal review, including a review of the 5-year financial plan, SACS-NYC presents a reasonable and appropriate fiscal plan for the next charter term including education corporation and Success Harlem 1 budgets that are feasible and achievable. The education corporation intends to maintain its contractual relationship with the network. The Institute has reviewed the proposed terms of such contract and will review and approve the final contract, and any other network contracts, when executed.

The school intends to continue to provide instruction for Kindergarten through 12th grade students in its current three co-located facilities in Manhattan.

FUTURE PLANS

The school's Application for Charter Renewal contains all necessary elements as required by the Act. The proposed school calendar allots an appropriate amount of instructional time to meet or exceed instructional time requirements, and taken together with other academic and key design elements, should be sufficient to allow the school to meet its proposed Accountability Plan goals. The education corporation has amended or will amend other key aspects of the renewal application -- including by-laws and code of ethics -- to comply with various provisions of the Education Law, Not-for-Profit Corporation Law, Public Officers Law and the General Municipal Law, as appropriate.

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Appendix A

Success Harlem 1

Overview

APPENDIX A: SUCCESS HARLEM 1 OVERVIEW

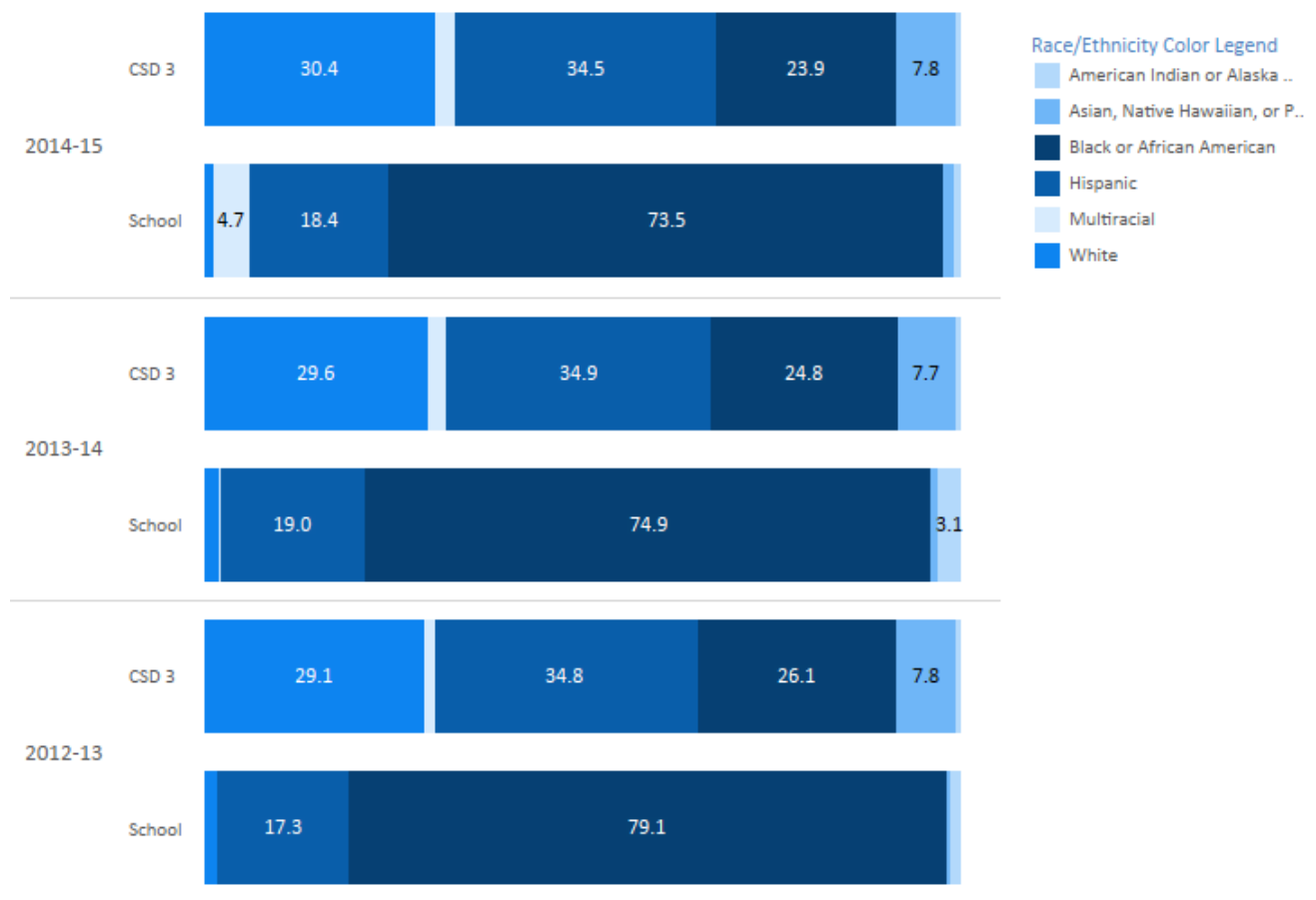
Board of Trustees¹⁷

Board Member Name	Position	Board Member Name	Position
Samuel Cole	Chair	Lorenzo Smith	Trustee
Gregory Sawers	Secretary	Derrell Bradford	Trustee
Scott Friedman	Treasurer	Sandeep Chainani	Trustee
Bryan Binder	Vice Chair	Jarrett Posner	Trustee
Suleman Lunat	Trustee	Catherine Shainker	Trustee
Rich Barrera	Trustee	Graham Officer	Trustee
David Nanus	Trustee	Khadijah Patrick-Pickel	Parent Representative (Non-Voting)
Isela Bahena	Trustee	Brian Levine	Trustee

¹⁷ Source: The Institute's board records at the time of the renewal review.

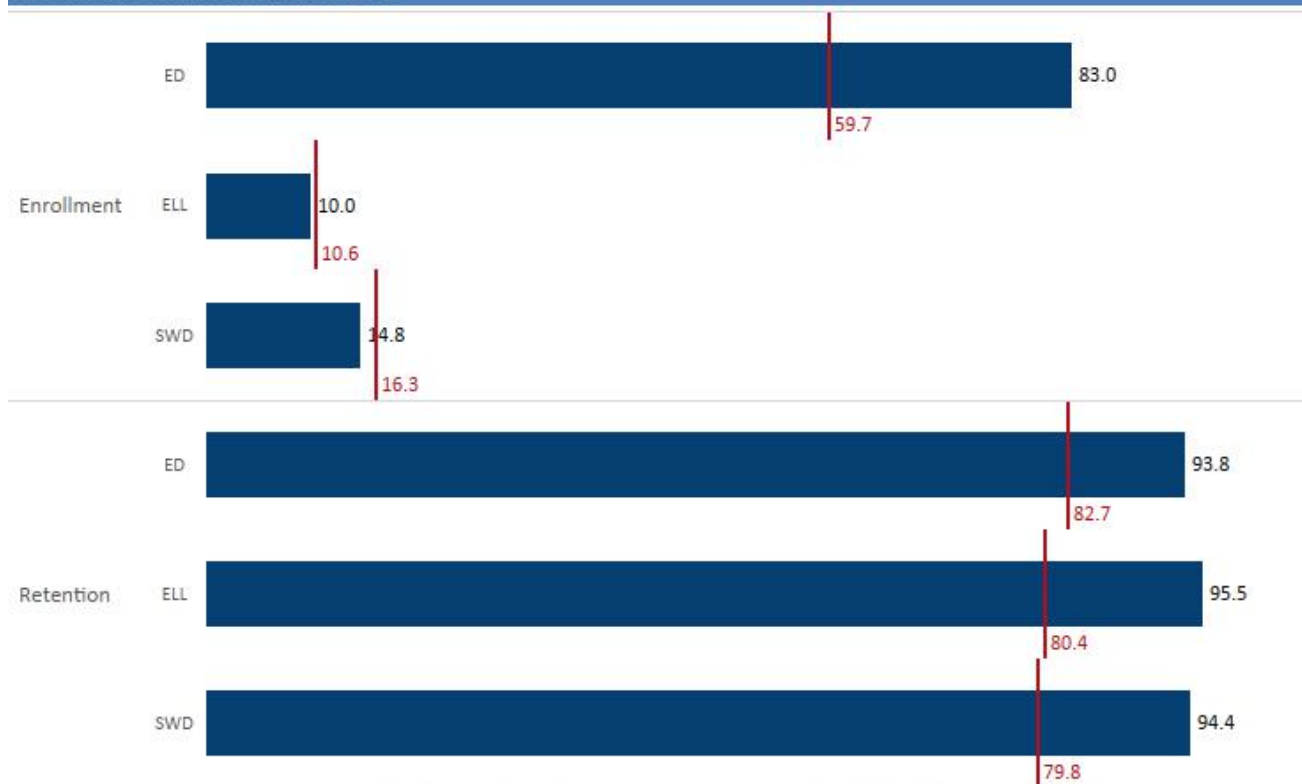
APPENDIX A: SUCCESS HARLEM 1 OVERVIEW

Student Demographics: Race/Ethnicity



APPENDIX A: SUCCESS HARLEM 1 OVERVIEW

Enrollment and Retention Targets



The chart illustrates the school's **current enrollment and retention percentages** against the **enrollment and retention targets**. As required by Education Law § 2851(4)(e), a school must include in its renewal application information regarding the efforts it has, and will, put in place to meet or exceed SUNY's enrollment and retention targets for students with disabilities, ELL, and FRPL students. This analysis is based on the most recently available data from NYSED.

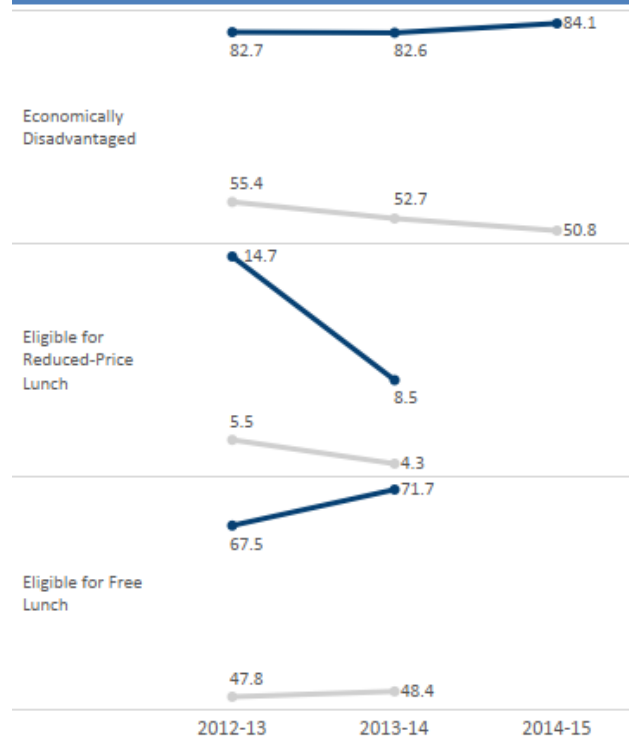
Persistence in Enrollment



Persistence in enrollment illustrates the percentage of students not scheduled to age out of the school who re-enroll from the previous year. The Institute derived the statistical information on enrollment persistence from its database. No comparative data from NYCDOE or NYSED is available to the Institute to provide either district wide or by CSD context. As such, the information presented is for information purposes but does not allow for comparative analysis.

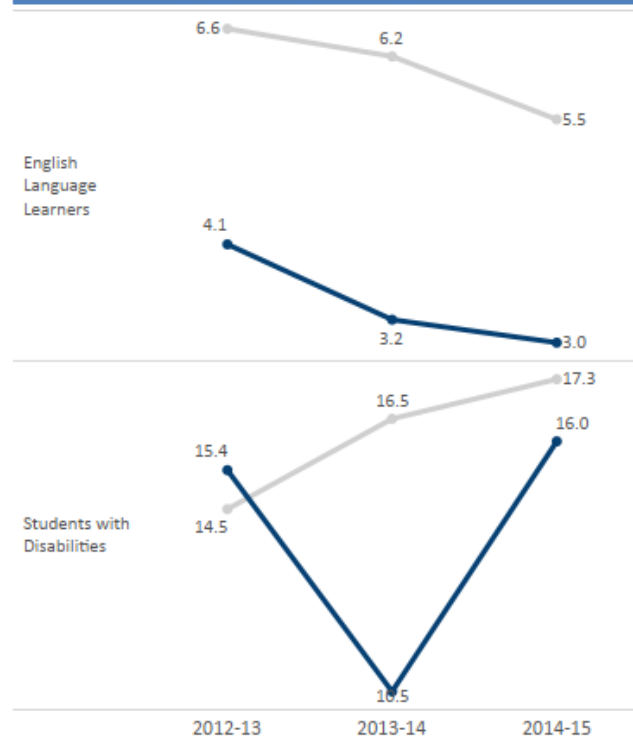
APPENDIX A: SUCCESS HARLEM 1 OVERVIEW

Student Demographics: Free/Reduced Lunch



The charts show the trends in enrollment in the **school** and the **district** for each subgroup over the charter term. Reduced-Price and Free Lunch data are not available for 2014-15. Economically disadvantaged includes those students eligible for Free and Reduced-Price lunch among other qualifying income assistance programs.

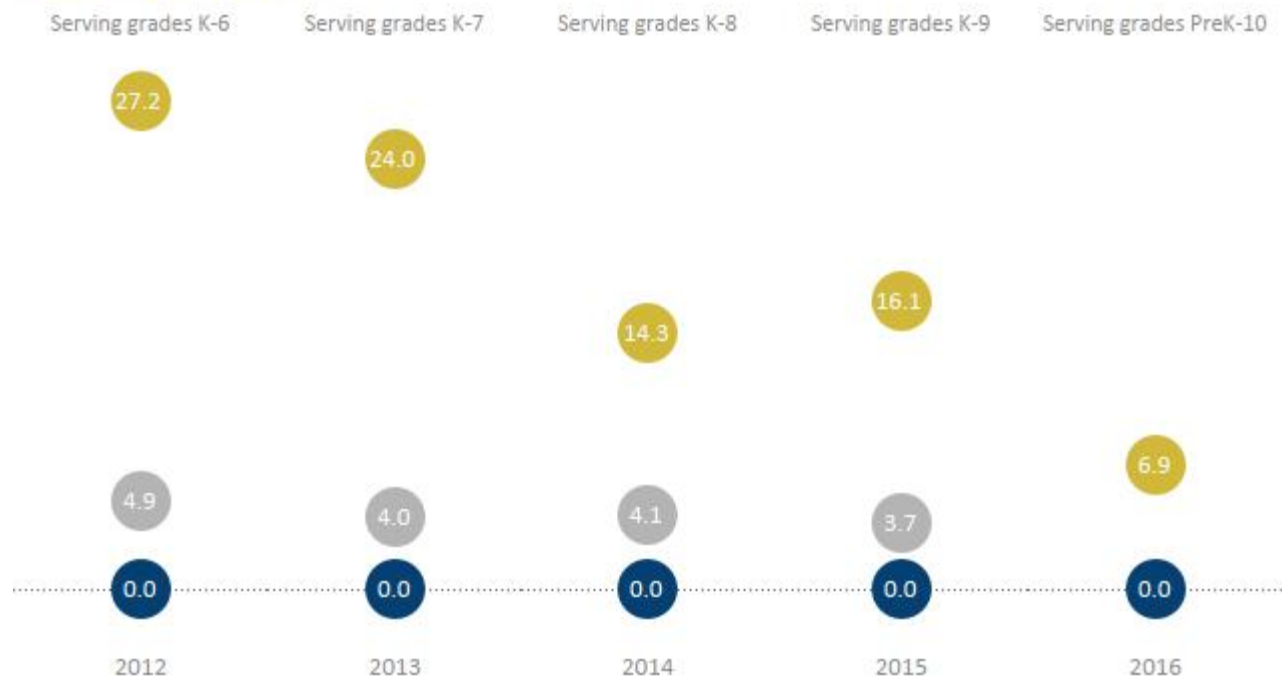
Student Demographics: Special Populations



The charts show trends in enrollment in the **school** and the **district** for each subgroup over the charter term.

APPENDIX A: SUCCESS HARLEM 1 OVERVIEW

Suspensions: Success Academy Charter School - Harlem 1's in school suspension rate and out of school suspension rate and the district overall suspension rate.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total the number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	0	0

APPENDIX A: SUCCESS HARLEM 1 OVERVIEW

School Characteristics

School Year	Chartered Enrollment	Actual Enrollment ¹⁸	Actual as a Percentage of Chartered Enrollment	Proposed Grades	Actual Grades
2013-14	883	861	98%	K-8	K-8
2014-15	919	914	99%	K-9	K-9
2015-16	976	1,004	119%	K-10	K-10

Key Design Elements

Element	Evident?
▪ A focus on student achievement;	+
▪ Research-based, results-driven curriculum;	+
▪ Frequent assessments produced and analyzed in real time;	+
▪ Extended school day;	+
▪ School leaders with the power to lead;	+
▪ Highly-qualified, highly trained staff; and,	+
▪ Strong school culture including reinforcement of ACTION principles (Agency, Curiosity, Try and Try, Integrity, Others and No Shortcuts).	+

School Leaders

School Year(s)

Name(s) and Title(s)

2011-12 to 2012-13	Jacqueline Albers, Elementary School Principal
2013-14 to Present	Danique Loving, Elementary School Principal
2011-12 to 2014	Andrea Klein, Middle School Principal
2015-16 to Present	Megan Perry, Middle School Principal
2014-15 to 2014-15	Marc Meyer, High School Principal
2015-16 to Present	Andrew Malone, High School Principal

Parent Satisfaction: Survey Results

Response Rate: 14%

Rigorous Instruction: 96%

Effective School Leadership: 84%

Supportive Environment: 83%

¹⁸ Source: Institute's Official Enrollment Binder. (Figures may differ slightly from New York State Report Cards, depending on date of data collection.)

APPENDIX A: SUCCESS HARLEM 1 OVERVIEW

School Visit History

School Year	Visit Type	Date
2015-16	Initial Renewal Visit	September 11, 2015

Conduct of the Renewal Visit

Date(s) of Visit	Evaluation Team Members	Title
September 11, 2015	Aaron Campbell	Senior Analyst
	Sinnjinn Bucknell	Performance and Systems Analyst

Appendix B

Success Harlem 1 Performance Summaries

APPENDIX B: SUCCESS HARLEM 1 PERFORMANCE SUMMARIES

SCHOOL PERFORMANCE SUMMARY: English Language Arts

Success Academy Charter School - Harlem 1



ABSOLUTE MEASURES

1. Each year 75 percent of students who are enrolled in at least their second year will perform at proficiency on the New York State exam.

2. Each year the school's aggregate Performance Level Index on the State exam will meet the Annual Measurable Objective set forth in the State's NCLB accountability system.

COMPARATIVE MEASURES

3. Each year the percent of students enrolled in at least their second year and performing at proficiency will be greater than that of students in the same grades in the local district.

4. Each year the school will exceed its predicted percent of students at proficiency on the state exam by at least a small Effect Size (at least 0.3) based on its percentage of Economically Disadvantaged students.

GROWTH MEASURE

5. Each year, the school's unadjusted mean growth percentile will meet or exceed the state's unadjusted median growth percentile.

2012-13 Grades Served: K-7			MET	2013-14 Grades Served: K-8			MET	2014-15 Grades Served: K-9			MET			
Grades	All Students % (N)	2+ Years Students % (N)		Grades	All Students % (N)	2+ Years Students % (N)		Grades	All Students % (N)	2+ Years Students % (N)				
	3	56.3 (151)			57.4 (148)	3			48.3 (116)	51.0 (104)		3	58.5 (123)	65.4 (104)
4	54.7 (117)	54.7 (117)	4	56.3 (144)	58.5 (135)	4	46.3 (95)	47.8 (90)						
5	50.0 (92)	50.0 (92)	5	56.7 (104)	56.7 (104)	5	47.1 (138)	47.4 (137)						
6	48.9 (47)	48.9 (47)	6	64.6 (82)	64.2 (81)	6	56.5 (85)	56.5 (85)						
7	85.7 (35)	85.7 (35)	7	65.3 (49)	62.2 (45)	7	74.0 (77)	74.0 (77)						
8	(0)	(0)	8	93.8 (32)	93.8 (32)	8	77.3 (44)	74.4 (39)						
All	56.1 (442)	56.5 (439)	NA	All	59.0 (527)	60.1 (501)	NA	All	56.9 (562)	58.3 (532)	NO			
Grades	PI	AMO		Grades	PLI	AMO		Grades	PLI	AMO				
3-8	151			3-8	154	89		YES	3-8	151		97	YES	
Comparison: Manhattan District 5				Comparison: Manhattan District 5				Comparison: Manhattan District 5						
Grades	School	District		Grades	School	District		Grades	School	District				
3-8	56.5	42.1	YES	3-8	60.1	45.4	YES	3-8	58.3	49.2	YES			
% FL	Actual	Predicted		% ED	Actual	Predicted		% ED	Actual	Predicted				
79.7	56.1	20.7		2.64	YES	81.3		59.0	21.1	2.72		YES	81.2	56.9
Grades	School	State		Grades	School	State		Grades	School	State				
4	62.3			4	51.7			4	45.3					
5	49.0			5	59.0			5	45.8					
6	42.6			6	62.9			6	52.1					
7	61.63			7	69.8			7	63.5					
8	0.0			8	58.6			8	62.1					
All	54.8	50.0	YES	All	58.4	50.0	YES	All	51.7	50.0				

APPENDIX B: SUCCESS HARLEM 1 PERFORMANCE SUMMARIES

SCHOOL PERFORMANCE SUMMARY: Mathematics

Success Academy Charter School - Harlem 1



ABSOLUTE MEASURES	2012-13 Grades Served: K-7			MET	2013-14 Grades Served: K-8			MET	2014-15 Grades Served: K-9			MET			
	Grades	All Students % (N)	2+ Years Students % (N)		Grades	All Students % (N)	2+ Years Students % (N)		Grades	All Students % (N)	2+ Years Students % (N)				
		3	76.2 (151)			77.0 (148)	3			90.5 (116)	93.3 (104)		3	92.7 (124)	95.2 (105)
1. Each year 75 percent of students who are enrolled in at least their second year will perform at proficiency on the New York State exam.	4	83.8 (117)	83.8 (117)	NA	4	91.7 (144)	94.8 (135)	NA	4	90.5 (95)	92.2 (90)	YES			
	5	75.0 (92)	75.0 (92)		5	93.3 (104)	93.3 (104)		5	79.0 (138)	79.6 (137)				
	6	83.0 (47)	83.0 (47)		6	93.9 (82)	93.8 (81)		6	96.5 (85)	96.5 (85)				
	7	88.6 (35)	88.6 (35)		7	95.9 (49)	95.6 (45)		7	94.8 (77)	94.8 (77)				
	8	(0)	(0)		8	96.9 (32)	96.9 (32)		8	79.5 (44)	76.9 (39)				
	All	79.6 (442)	80.0 (439)		All	92.8 (527)	94.2 (501)		All	88.8 (563)	89.5 (533)				
	2. Each year the school's aggregate Performance Level Index on the State exam will meet the Annual Measurable Objective set forth in the State's NCLB accountability system.	Grades	PI		AMO	YES	Grades		PLI	AMO	YES		Grades	PLI	AMO
3-7		178		3-8	192		86	3-8	187	94					
COMPARATIVE MEASURES	Comparison: Manhattan District 5			YES	Comparison: Manhattan District 5			YES	Comparison: Manhattan District 5			YES			
3. Each year the percent of students enrolled in at least their second year and performing at proficiency will be greater than that of students in the same grades in the local district.	Grades	School	District		Grades	School	District		Grades	School	District				
	3-7	80.0	44.1		3-8	94.2	48.3		3-8	89.5	50.0				
4. Each year the school will exceed its predicted percent of students at proficiency on the State exam by at least a small Effect Size (at least 0.3) based on its percentage of Economically Disadvantaged students.	% FL	Actual	Predicted	Effect Size	YES	% ED	Actual	Predicted	Effect Size	YES	% ED	Actual	Predicted	Effect Size	YES
	79.7	79.6	23.5	3.25		81.3	92.8	27.9	3.37		81.2	88.8	26.8	3.39	
GROWTH MEASURE	Grades	School	State	YES	Grades	School	State	YES	Grades	School	State	NO			
5. Each year, the school's unadjusted mean growth percentile will meet or exceed the state's unadjusted median growth percentile.	4	64.0			4	70.4			4	58.8					
	5	67.1			5	75.1			5	32.2					
	6	64.2			6	70.1			6	42.3					
	7	71.8			7	61.7			7	66.1					
	8	0.0			8	57.3			8	43.7					
	All	66.0	50.0		All	69.5	50.0		All	47.3	50.0				

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Appendix C

District Comments

APPENDIX C: DISTRICT COMMENTS

The Institute received no district or public comments.

Appendix D

Success Harlem 1 Fiscal Dashboard

APPENDIX D: SUCCESS HARLEM 1 FISCAL DASHBOARD



NOTE: Effective 2012-13 the school merged into the education corporation, "Success Academy Charter Schools - NYC." Accordingly, the education corporation report contains the "Balance Sheet" for all schools merged into the education corporation. See (Code # = "500").

Success Academy Charter School - Harlem 1

SCHOOL INFORMATION

BALANCE SHEET

Assets

Current Assets

Cash and Cash Equivalents - **GRAPH 1**
Grants and Contracts Receivable
Accounts Receivable
Prepaid Expenses
Contributions and Other Receivables

Total Current Assets - **GRAPH 1**

Property, Building and Equipment, net

Other Assets

Total Assets - **GRAPH 1**

Liabilities and Net Assets

Current Liabilities

Accounts Payable and Accrued Expenses
Accrued Payroll and Benefits
Deferred Revenue
Current Maturities of Long-Term Debt
Short Term Debt - Bonds, Notes Payable
Other

Total Current Liabilities - **GRAPH 1**

L-T Debt and Notes Payable, net current maturities

Total Liabilities - **GRAPH 1**

Net Assets

Unrestricted
Temporarily restricted

Total Net Assets

Total Liabilities and Net Assets

ACTIVITIES

Operating Revenue

Resident Student Enrollment
Students with Disabilities

Grants and Contracts

State and local
Federal - Title and IDEA
Federal - Other
Other

Food Service/Child Nutrition Program

Total Operating Revenue

Expenses

Regular Education
SPED
Regular Education & SPED (combined)
Other

Total Program Services

Management and General
Fundraising

Total Expenses - **GRAPHS 2, 3 & 4**

Surplus / (Deficit) From School Operations

Support and Other Revenue

Contributions
Fundraising
Miscellaneous income
Net assets released from restriction

Total Support and Other Revenue

Total Unrestricted Revenue

Total Temporarily Restricted Revenue

Total Revenue - **GRAPHS 2 & 3**

Change in Net Assets

Net Assets - Beginning of Year - **GRAPH 2**

Prior Year Adjustment(s)

Net Assets - End of Year - **GRAPH 2**

Opened 2006-07					
	MERGED		MERGED		MERGED
	2010-11	2011-12	2012-13	2013-14	2014-15
Cash and Cash Equivalents - GRAPH 1	-	-	-	-	-
Grants and Contracts Receivable	-	-	-	-	-
Accounts Receivable	-	-	-	-	-
Prepaid Expenses	-	-	-	-	-
Contributions and Other Receivables	-	-	-	-	-
Total Current Assets - GRAPH 1	-	-	-	-	-
Property, Building and Equipment, net	-	-	-	-	-
Other Assets	-	-	-	-	-
Total Assets - GRAPH 1	-	-	-	-	-

Accounts Payable and Accrued Expenses	-	-	-	-	-
Accrued Payroll and Benefits	-	-	-	-	-
Deferred Revenue	-	-	-	-	-
Current Maturities of Long-Term Debt	-	-	-	-	-
Short Term Debt - Bonds, Notes Payable	-	-	-	-	-
Other	-	-	-	-	-
Total Current Liabilities - GRAPH 1	-	-	-	-	-
L-T Debt and Notes Payable, net current maturities	-	-	-	-	-
Total Liabilities - GRAPH 1	-	-	-	-	-

Unrestricted	-	-	-	-	-
Temporarily restricted	-	-	-	-	-
Total Net Assets	-	-	-	-	-
Total Liabilities and Net Assets	-	-	-	-	-

Resident Student Enrollment	-	-	11,663,552	11,917,734	12,660,710
Students with Disabilities	-	-	-	1,343,464	1,977,235
Grants and Contracts					
State and local	-	-	50,000	-	-
Federal - Title and IDEA	-	-	799,041	380,129	397,107
Federal - Other	-	-	-	40,171	78,085
Other	-	-	-	-	-
Food Service/Child Nutrition Program	-	-	-	-	-
Total Operating Revenue	-	-	12,512,593	13,681,498	15,113,137

Regular Education	-	-	8,575,103	9,532,456	13,539,410
SPED	-	-	2,086,575	1,299,610	1,846,283
Regular Education & SPED (combined)	-	-	-	-	-
Other	-	-	-	-	-
Total Program Services	-	-	10,661,678	10,832,066	15,385,693
Management and General	-	-	2,429,646	3,136,404	1,204,984
Fundraising	-	-	-	-	-
Total Expenses - GRAPHS 2, 3 & 4	-	-	13,091,324	13,968,470	16,590,676
Surplus / (Deficit) From School Operations	-	-	(578,731)	(286,972)	(1,477,540)

Contributions	-	-	270,652	893,152	2,053,078
Fundraising	-	-	-	-	-
Miscellaneous income	-	-	11,815	3,296	555
Net assets released from restriction	-	-	-	-	-
Total Support and Other Revenue	-	-	282,467	896,449	2,053,634

Total Unrestricted Revenue	-	-	12,795,060	13,917,947	17,606,771
Total Temporarily Restricted Revenue	-	-	-	660,000	(440,000)
Total Revenue - GRAPHS 2 & 3	-	-	12,795,060	14,577,947	17,166,771

Change in Net Assets	-	-	(296,264)	609,477	576,094
Net Assets - Beginning of Year - GRAPH 2	-	-	6,326,298	6,030,034	6,639,511
Prior Year Adjustment(s)	-	-	-	-	-
Net Assets - End of Year - GRAPH 2	-	-	6,030,034	6,639,510	7,215,605

APPENDIX D: SUCCESS HARLEM 1 FISCAL DASHBOARD



Charter Schools Institute
The State University of New York

NOTE: Effective 2012-13 the school merged into the education corporation, "Success Academy Charter Schools - NYC." Accordingly, the education corporation report contains the "Balance Sheet" for all schools merged into the education corporation. See (Code # = "500").

Success Academy Charter School - Harlem 1

SCHOOL INFORMATION - (Continued)

Functional Expense Breakdown

	2010-11	2011-12	2012-13	2013-14	2014-15
Personnel Service	-	-	-	1,610,421	119,229
Administrative Staff Personnel	-	-	-	-	-
Instructional Personnel	-	-	-	5,701,499	1,107,044
Non-Instructional Personnel	-	-	-	-	-
Personnel Services (Combined)	-	-	-	-	-
Total Salaries and Staff	-	-	-	7,311,920	1,226,273
Fringe Benefits & Payroll Taxes	-	-	-	1,367,059	254,202
Retirement	-	-	-	111,547	32,322
Management Company Fees	-	-	-	1,571,172	369,332
Building and Land Rent / Lease	-	-	-	-	-
Staff Development	-	-	-	262,852	43,683
Professional Fees, Consultant & Purchased Services	-	-	-	275,178	39,890
Marketing / Recruitment	-	-	-	152,997	80,361
Student Supplies, Materials & Services	-	-	-	1,115,005	353,409
Depreciation	-	-	-	587,534	142,291
Other	-	-	-	1,213,207	326,153
Total Expenses	-	-	-	13,968,470	2,867,917

SCHOOL ANALYSIS

ENROLLMENT

	2010-11	2011-12	2012-13	2013-14	2014-15
Chartered Enroll	-	-	-	883	926
Revised Enroll	-	-	-	-	919
Actual Enroll - GRAPH 4	-	-	-	861	914
Chartered Grades	-	-	SED-Charter	K-8	K-9
Revised Grades	-	-	-	-	-

Primary School District: NEW YORK CITY

Per Pupil Funding (Weighted Avg of All Districts)	-	-	-	13,527	13,777
Increase over prior year	0.0%	0.0%	0.0%	100.0%	1.8%

PER STUDENT BREAKDOWN

	2010-11	2011-12	2012-13	2013-14	2014-15	Average - 5 Yrs. OR Charter Term
Revenue						
Operating	-	-	-	15,890	16,535	16,213
Other Revenue and Support	-	-	-	1,041	2,247	1,644
TOTAL - GRAPH 3	-	-	-	16,931	18,782	17,857
Expenses						
Program Services	-	-	-	12,581	16,833	14,707
Management and General, Fundraising	-	-	-	3,643	1,318	-
TOTAL - GRAPH 3	-	-	-	16,224	18,152	14,707
% of Program Services	0.0%	0.0%	0.0%	77.5%	92.7%	85.1%
% of Management and Other	0.0%	0.0%	0.0%	22.5%	7.3%	14.9%
% of Revenue Exceeding Expenses - GRAPH 5	0.0%	0.0%	0.0%	4.4%	3.5%	21.4%

Student to Faculty Ratio

-	-	-	9.4	49.4
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Faculty to Admin Ratio

-	-	-	4.4	9.3
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Financial Responsibility Composite Scores - GRAPH 6

Score	0.0	0.0	0.0	0.0	0.0	0.0
Fiscally Strong 1.5 - 3.0 / Fiscally Adequate 1.0 - 1.4 / Fiscally Needs Monitoring < 1.0	N/A	N/A	N/A	N/A	N/A	N/A

Working Capital - GRAPH 7

Net Working Capital	0	0	0	0	0	N/A
As % of Unrestricted Revenue	0.0%	0.0%	0.0%	0.0%	0.0%	N/A
Working Capital (Current) Ratio Score	0.0	0.0	0.0	0.0	0.0	N/A
Risk (Low ≥ 3.0 / Medium 1.4 - 2.9 / High < 1.4)	N/A	N/A	N/A	N/A	N/A	N/A
Rating (Excellent ≥ 3.0 / Good 1.4 - 2.9 / Poor < 1.4)	N/A	N/A	N/A	N/A	N/A	N/A

Quick (Acid Test) Ratio

Score	0.0	0.0	0.0	0.0	0.0	N/A
Risk (Low ≥ 2.5 / Medium 1.0 - 2.4 / High < 1.0)	N/A	N/A	N/A	N/A	N/A	N/A
Rating (Excellent ≥ 2.5 / Good 1.0 - 2.4 / Poor < 1.0)	N/A	N/A	N/A	N/A	N/A	N/A

Debt to Asset Ratio - GRAPH 7

Score	0.0	0.0	0.0	0.0	0.0	N/A
Risk (Low < 0.50 / Medium 0.51 - .95 / High > 1.0)	N/A	N/A	N/A	N/A	N/A	N/A
Rating (Excellent < 0.50 / Good 0.51 - .95 / Poor > 1.0)	N/A	N/A	N/A	N/A	N/A	N/A

Months of Cash - GRAPH 8

Score	0.0	0.0	0.0	0.0	0.0	N/A
Risk (Low > 3 mo. / Medium 1 - 3 mo. / High < 1 mo.)	N/A	N/A	N/A	N/A	N/A	N/A
Rating (Excellent > 3 mo. / Good 1 - 3 mo. / Poor < 1 mo.)	N/A	N/A	N/A	N/A	N/A	N/A

APPENDIX D: SUCCESS HARLEM 1 FISCAL DASHBOARD

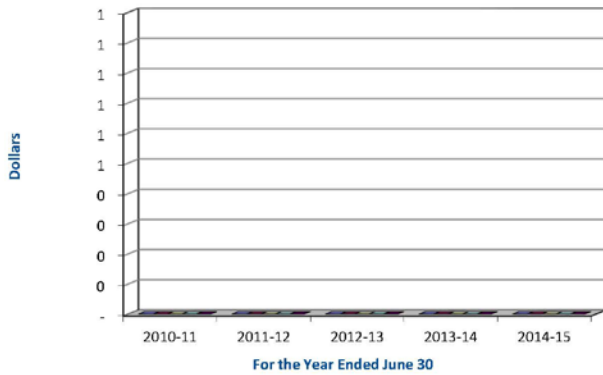


NOTE: Effective 2012-13 the school merged into the education corporation, "Success Academy Charter Schools - NYC." Accordingly, the education corporation report contains the "Balance Sheet" for all schools merged into the education corporation. See (Code # = "500").

Success Academy Charter School - Harlem 1

GRAPH 1

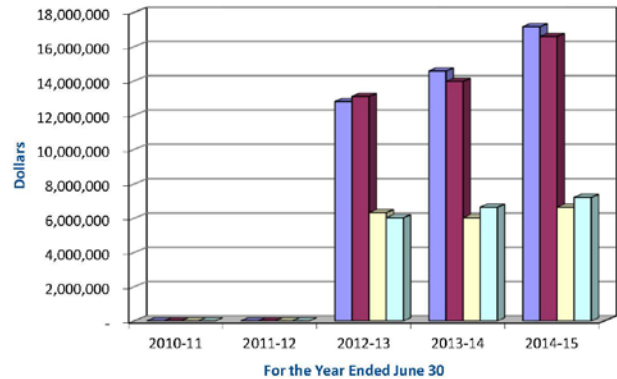
Cash, Assets and Liabilities



This chart illustrates the relationship between assets and liabilities and to what extent cash reserves makes up current assets. Ideally for each subset, subsets 2 thru 4, (i.e. current assets vs. current liabilities), the column on the left is taller than the immediate column on the right; and, generally speaking, the bigger that gap, the better.

GRAPH 2

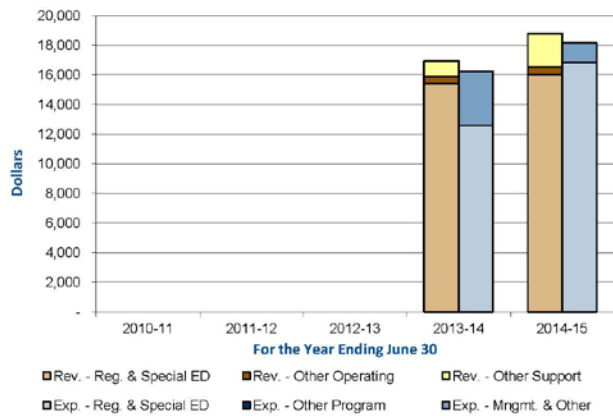
Revenue, Expenses and Net Assets



This chart illustrates total revenue and expenses each year and the relationship those subsets have on the increase/decrease of net assets on a year-to-year basis. Ideally subset 1, revenue, will be taller than subset 2, expenses, and as a result subset 3, net assets - beginning, will increase each year building a more fiscally viable school.

GRAPH 3

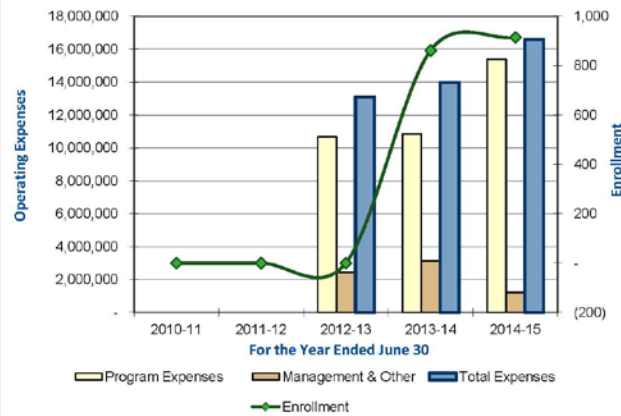
Revenue & Expenses Per Pupil



This chart illustrates the breakdown of revenue and expenses on a per pupil basis. Caution should be exercised in making school-by-school comparisons since schools serving different missions or student populations are likely to have substantially different educational cost bases. Comparisons with similar schools with similar dynamics are most valid.

GRAPH 4

Enrollment vs. Operating Expenses



This chart illustrates to what extent the school's operating expenses have followed its student enrollment pattern. A baseline assumption that this data tests is that operating expenses increase with each additional student served. This chart also compares and contrasts growth trends of both, giving insight into what a reasonable expectation might be in terms of economies of scale.

APPENDIX D: SUCCESS HARLEM 1 FISCAL DASHBOARD

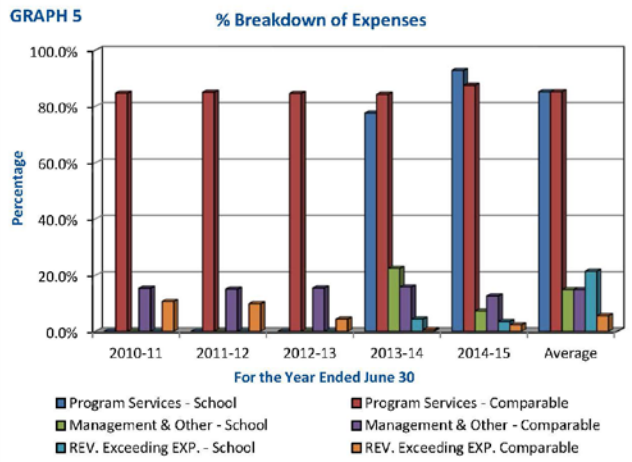


NOTE: Effective 2012-13 the school merged into the education corporation, "Success Academy Charter Schools - NYC." Accordingly, the education corporation report contains the "Balance Sheet" for all schools merged into the education corporation. See (Code # = "500").

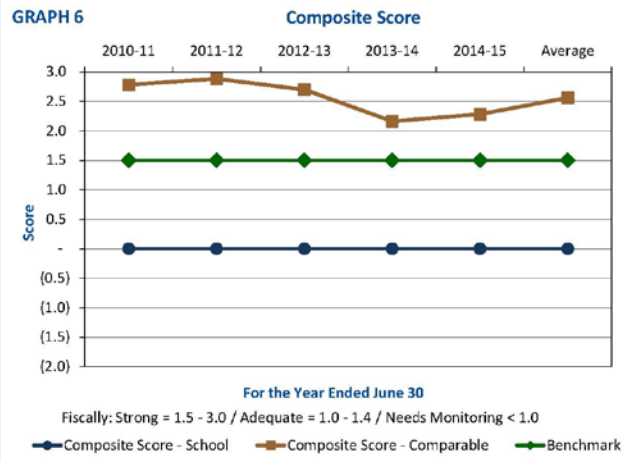
Success Academy Charter School - Harlem 1

Comparable School, Region or Network: New York City & Long Island Schools

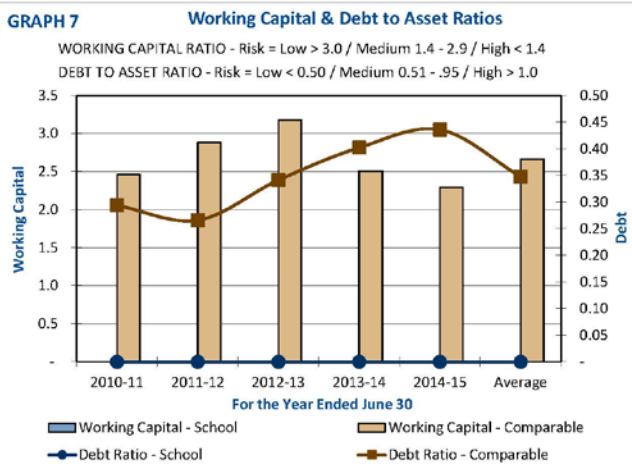
* Average = Average - 5 Yrs. OR Charter Term



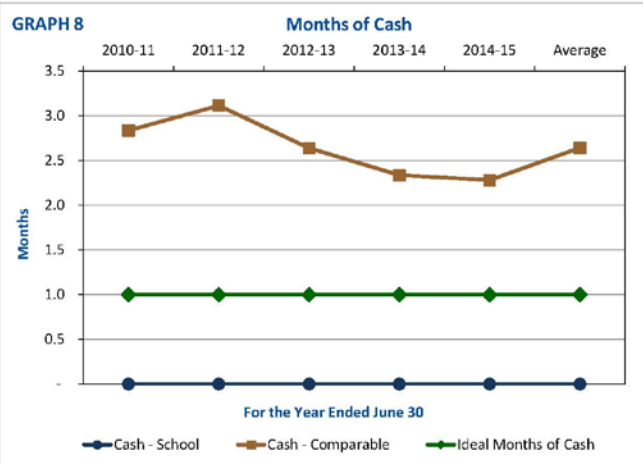
This chart illustrates the percentage expense breakdown between program services and management & others as well as the percentage of revenues exceeding expenses. Ideally the percentage expense for program services will far exceed that of the management & other expense. The percentage of revenues exceeding expenses should not be negative. Similar caution, as mentioned on GRAPH 3, should be used in comparing schools.



This chart illustrates a school's composite score based on the methodology developed by the United States Department of Education (USDOE) to determine whether private not-for-profit colleges and universities are financially strong enough to participate in federal loan programs. These scores can be valid for observing the fiscal trends of a particular school and used as a tool to compare the results of different schools.



This chart illustrates Working Capital and Debt to Asset Ratios. The Working Capital ratio indicates if a school has enough short-term assets to cover its immediate liabilities/short term debt. The Debt to Asset ratio indicates what proportion of debt a school has relative to its assets. The measure gives an idea to the leverage of the school along with the potential risks the school faces in terms of its debt-load.



This chart illustrates how many months of cash the school has in reserves. This metric is to measure solvency – the school's ability to pay debts and claims as they come due. This gives some idea of how long a school could continue its ongoing operating costs without tapping into some other, non-cash form of financing in the event that revenues were to cease flowing to the school.

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Appendix E

Education Corporation Overview

APPENDIX E: EDUCATION CORPORATION OVERVIEW

SUCCESS ACADEMY CHARTER SCHOOLS - NYC¹⁹

For strong performing SUNY authorized charter schools that implement a common school design across multiple schools, the Institute provides an analysis and description of the schools' academic design structured using the Qualitative Education Benchmarks. This subset of the SUNY Renewal Benchmarks focuses on instruction, assessment, curriculum and leadership. The following program description analyzes and reports on the school design that produced the high quality outcomes captured in the body of this renewal report. The analysis below reflects information gathered from the education corporation's charter and founding documents²⁰ and Institute visits across all schools implementing the common design as well as information submitted in annual and other reports required of New York charter schools.

SUNY Renewal Benchmark 1B:

Does Success Academy Charter Schools – NYC have an assessment system that improves instructional effectiveness and student learning?

Success Academy Charter Schools – NYC ("Success Academy") implements a rigorous and comprehensive assessment system that improves instructional effectiveness and student learning. Network schools administer a variety of diagnostic, formative and benchmark assessments throughout the school year in order to determine students' level of mastery and identify intervention needs. To measure students' literacy skills, Success Academy administers the Fountas & Pinnell ("F&P")²¹ and Success for All²² ("SFA") assessments, both of which have demonstrated success as academic interventions with urban and low-income students. Schools administer network-developed interim assessments in ELA, mathematics and science as well as weekly tests in vocabulary, spelling and no hesitation math facts.

Extensive training prepares teachers to implement valid and reliable processes for scoring assessments and evaluating results. For example, following each administration of interim assessments, grade level teachers exchange student work and set a consistent performance standard across classrooms; this norming practice ensures grading consistency. School-based data coordinators work in conjunction with central staff to provide thorough analyses of assessment data at the student, class, grade and school levels using the network's robust Student Management System ("SMS"). This portal serves as a repository for student data and allows schools and the network to analyze results across classrooms, grades, and schools. SMS performance reports allow leaders to review other schools' data, which enables school-to-school comparisons across grade levels and assists in developing leaders' plans for targeted coaching of

¹⁹ For additional information on the managing organization, refer to www.successacademies.org.

²⁰ Primary sources: Success Academy Business Plan, July 2014; Success Academies – NYC Business Plan, July 2014; and, Success Academies – NYC merger application, 2012.

²¹ The F&P assessment system is both formative and summative. It provides baseline information on students' independent and assisted reading levels and enables progress monitoring against grade level standards. For additional information, please visit www.heinemann.com/fountasandpinnell.

²² Originally developed by researchers at Johns Hopkins University, the SFA program is now implemented in approximately 1,000 schools nationwide. For additional information, please visit www.successforall.org.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

teachers. In reviewing network-wide results, leaders can identify a teacher at another school whose students are performing exceptionally well in an area that students within his or her school find challenging. Thus, principals can plan teachers' peer observations of instruction across schools. Additionally, leaders and the network's instructional management team use data to identify topics for professional development and to identify strategies needed for general coaching. Success Academy continually uses assessment data to evaluate teacher and program effectiveness.

SUNY Renewal Benchmark 1C:

Does the Success Academy curriculum support teachers in their instructional planning?

Success Academy's rigorous, research-based curriculum supports teachers in their instructional planning within and across grades. The network conducts ongoing reviews of its curricular materials to ensure that its schools prepare students for success on state assessments and in college and career. Beyond considering performance of students at its schools and across New York State, Success Academy reviews the practices of high performing schools (district, charter and private) nationwide and education research developments while assessing its curricular strengths and weaknesses. During the school year, teachers work in grade level study teams to adjust instructional plans and provide feedback to network teams. School leaders complete annual surveys. Network content area teams manage revision of curricular materials by reviewing feedback from schools and piloting instructional materials in classrooms.

In addition to a curriculum framework that details what students will learn in each grade, the network provides teachers with a variety of supporting tools including scope and sequence documents, unit plans and individual lesson plans that provide a bridge between the framework and daily lessons. These materials detail what students should learn and be able to do throughout the school year; therefore, teachers know what to teach and when to teach it. Importantly, the framework creates a multitude of opportunities for interdisciplinary instruction with thematic units, which cover common themes in different content area lessons.

The academic program relies on a combination of network developed and commercial curricula. For ELA, Success Academy supplements its THINK Literacy framework with the SFA program, which uses a researched-based approach to enhance students' literacy skills through methods such as cooperative learning and frequent assessment of student understanding. In mathematics, Success Academy uses TERC Investigations,²³ a program that centers on the teaching of fundamental ideas of numbers, operations, data and measurement, and Cognitively Guided Instruction, an instructional approach that builds from students' mathematical reasoning, in the elementary grades. In the middle grades, schools use a network-developed program adapted from Math in Context,²⁴ a module-based program that challenges students to solve real world problems, largely through peer discussion. Students develop higher-order thinking and problem solving skills as they apply mathematical thinking to answer questions rich with realistic context that engages

²³ For additional information, please visit www.investigations.terc.edu.

²⁴ For additional information, please visit www.mathincontext.eb.com.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

students. In addition to internally developed science and technology programs, Success Academy offers an array of specials classes including chess, theater and dance.

SUNY Renewal Benchmark 1D:

Is high quality instruction evident throughout Success Academy schools?

High quality instruction that creates consistent focus on academic achievement and develops students' higher-order thinking and problem-solving skills is evident across Success Academy schools. In the last five years across first year visits, mid charter term visits and renewal visits to Success Academy schools, Institute teams conducted classroom observations in 16 network schools. Consistently, visit teams have found well-crafted lessons that feature student-to-student interaction in solving real world problems, skillful questioning and ongoing informal assessment of students' progress toward concept mastery.

Typically, lessons limit the amount of time spent on direct instruction while maximizing opportunities for students to work independently or in small groups. In a mathematics lesson, for example, the teacher might model the steps necessary to solve a sample problem then assess the need for additional examples by asking individual students direct questions and checking for whole class understanding with a thumbs up/thumbs down prompt. Once the teacher determines students are ready to move on, he or she would then introduce a challenging activity that builds on students' previous knowledge and features the recently introduced concept. In addition to circulating around the classroom to monitor students' progress as they work collaboratively, the teacher might have students independently complete a brief task at the end of the planned lesson in order to make adjustments to future instruction based on student responses. Across content areas, Success Academy teachers' artful questions challenge students to deepen their understanding of concepts and engage in rich peer-to-peer discussions. With students responsible for most of the talking during a lesson, teachers encourage students to be active learners capable of handling the heavy cognitive lifting required to develop higher-order thinking skills.

A pervasive sense of urgency for learning is part and parcel of Success Academy's approach to instruction. Teachers maximize learning time with appropriate lesson pacing and effective classroom management techniques. Routines for transitioning students from one lesson to the next or one topic to the next within a lesson ensure students remain focused on learning tasks. Silent hand signals generally enable teachers to redirect any low level misbehavior without disrupting the learning environment.

SUNY Renewal Benchmark 1E:

Do Success Academy schools have strong instructional leadership?

Success Academy schools' strong instructional leadership practices include providing teachers with extensive coaching and professional development opportunities designed to catalyze accelerated student learning and achievement. Robust instructional teams at the school and network level support the development of teachers with daily sustained and systemic coaching and professional development activities that interrelate with classroom practice. Teachers receive over 400 hours,

APPENDIX E: EDUCATION CORPORATION OVERVIEW

on average, of professional development throughout the year, including inter-visitation opportunities for teachers and leaders to observe strong teaching across network schools and data analysis days where staff members analyze benchmark assessments.

Schools throughout the network set high expectations for teacher performance, measured largely by student achievement results. All Success schools use the SMS to monitor progress toward meeting network-wide performance goals as well as school-wide goals set by the leader. For example, a principal could set growth, or improvement, targets in addition to a network goal of 90% proficiency in a particular skill area.

Success Academy's particularly strong professional development program begins with summer "Teacher School," a three week pre-service training often referred to as "T-School." School leaders and network staff collaborate to determine topics and trainings designed to address student achievement and teacher pedagogical needs. In addition to network-wide activities, school leaders conduct weekly professional development sessions, frequently differentiated by content area or grade level, in order to target teacher and student needs most precisely, building on topics and skills introduced in T-School. In addition to gearing professional development activities toward specific grades, Success Academy often conducts different sessions for varying levels of experience.

SUNY Renewal Benchmark 1F:

Do Success Academy schools meet the educational needs of at-risk students?

Success Academy has a wide range of strong supports in place to meet the needs of at-risk students. Success schools implement clear procedures for identifying and serving students with disabilities, English language learners ("ELLs") and students at risk of academic failure. During the 2014-15 school year, students with special needs represented 12.1% of enrollment across the network, and ELLs comprised 4.7% of total enrollment. Schools disaggregate student performance data on an ongoing basis to assess the effectiveness of instructional and behavioral interventions. Teachers across the network receive extensive professional development designed to prepare them to meet the needs of all students.

Success Academy uses a tiered Response to Intervention ("RtI") process to identify students struggling academically and to modify interventions as necessary. SFA embeds initial interventions within schools' curricula in that the program emphasizes early oral language development through rich peer-to-peer discussions as well as connections to students' lives outside of school. Teachers combine whole class instruction with flexible, ability-based groupings to respond to individual needs. Students identified as performing below grade level based on regular internal assessments receive progressive supports within the classroom setting and through pull-out tutoring. School staff identifies specific learning gaps and monitors students' progress in meeting performance goals at the end of each intervention cycle, usually aligning with network benchmark assessments. If a student does not make sufficient progress, school-based student support teams determine next steps including additional small group or individualized interventions and referral to the Committee on Special Education as necessary. Outstanding school-wide performance on state

APPENDIX E: EDUCATION CORPORATION OVERVIEW

assessments across network schools provides clear evidence of Success Academy's ability to meet the educational needs of students at risk of academic failure.

Success Academy uses the Home Language Survey and the New York State Identification Test for English Language Learners ("NYSITELL") to identify students requiring English acquisition supports. Success implements a comprehensive English language immersion program, focused on increasing early literacy skills. Success schools serve ELLs within the core academic program, which provides abundant opportunities for oral and written communication through its research-based curriculum. Schools monitor student progress annually with the New York State English as a Second Language Achievement Test ("NYSESLAT") and informally throughout the school year. Network professional development activities develop teachers' skills in supporting ELLs with strategies such as intentional seating, visual demonstrations and the use of supplementary audio materials. These supports prove to be successful, with many ELLs reaching English proficiency and performing better than district peers on state ELA assessments.

To meet the needs of students with Individualized Education Programs ("IEPs") mandating academic services, Success Academy schools utilize a number of instructional settings including push-in and pull-out Special Education Teacher Support Services ("SETSS"), integrated co-teaching ("ICT") classrooms and shared restricted setting (12:1:1) programs offered in three locations for students requiring more intensive supports. Of the 1094 students with disabilities enrolled in 2014-15, 295 received SETSS services, 483 learned in ICT classrooms and 53 attended a 12:1:1 program²⁵; 282 students received related services. Teachers are well aware of students' IEP goals and collaborate with at-risk program staff to plan instruction and monitor progress. Student support teams meet regularly to discuss students' progress toward meeting IEP goals using disaggregated data from the network SMS, classroom assignments and teacher observations.

SUNY Renewal Benchmark 2C:

Do Success Academy school organizations effectively support the delivery of the educational program?

Success Academy schools establish well-functioning organizational structures with staff, systems and procedures that support high levels of student achievement and effective delivery of the comprehensive educational program. Clear roles and responsibilities at both the school and network level allow school leaders to focus on student learning, instructional practice and teacher development. Principals serve as primary instructional leaders and receive considerable support from leadership residents. Deans focus largely on school culture and operations staff members manage the day-to-day business of schools.

Strong network supports and clearly established career paths assist Success Academy in recruiting and retaining high quality staff. Network level managing directors visit schools regularly to conduct classroom observations, coach teachers and develop leaders' communication, management and data analysis skills.

²⁵ These counts include 19 students who received both SETSS and ICT services at some point in the school year.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Success Academy has developed a leadership residency program that prepares internal and external candidates to take on future principal positions with ongoing training while managing a variety of instructional and general academic program responsibilities including teacher coaching. School leaders and network staff use student achievement results, classroom observations, coaching feedback and other data to identify particularly strong teachers and staff for the leadership residency program. This one- to two-year program serves as a leadership pipeline to retain quality talent and as a feeder system to support the network's increasing portfolio of schools. Network leaders report this pipeline provides more than 90% of the future leader candidate pool.

Success Academy invests in its teaching teams. In 2012, the network launched a teacher preparation partnership with Touro College. Through this program, Success teachers earn a master's degree from the Graduate School of Education at no cost while teaching full-time. Success Academy pays teachers' tuition. The network has also developed an informal peer learning process that provides less experienced teachers opportunities to observe master teachers across network schools. As master teachers possess exceptionally strong instructional delivery and classroom management skills, school leaders may send teachers struggling to develop their own pedagogical practice in similar grade levels and/or content areas to observe one or more master teachers for live demonstration of effective strategies.

Success Academy centrally manages student recruitment and efforts to meet enrollment and retention targets for students with disabilities, ELLs and students who are eligible applicants to the federal free and reduced price lunch program ("FRPL"). See charts on pages 56-61 for information on enrollment and retention targets across the network. Few Success Academy schools face enrollment challenges. Efforts to recruit at-risk students include multilingual advertisements, informational sessions and canvassing of local school districts. Though Success Academy established a lottery preference for ELLs as a good faith recruiting effort, the U.S. Department of Education (the "Department") released guidance limiting the ability of charters schools receiving Charter Schools Program ("CSP") grant funding to utilize weighted lotteries, and the preference was suspended last year. Success Academy discussed the issue with the Department, and reached an understanding regarding a revised admissions policy that reinstates the ELL lottery preference for 2016-17 applicants.

Success Academy continually monitors its programs and makes changes as necessary. The network instructional team, like school leaders, regularly uses the SMS to analyze student assessment data in order to identify which objectives students have mastered and which they have not. This determination may result in adjustments to pacing documents and/or other curricular materials. While school leaders have some discretion over implementation of certain program aspects, major changes are mainly driven by network analyses of data gathered from assessments, leaders' daily observations of classrooms, feedback from teachers and school leaders provided in annual surveys and informal communications throughout the year. Previous analyses have resulted in changes to existing curricular materials, development of supplementary materials and modifications to professional development plans.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

SUNY Renewal Benchmark 2D:

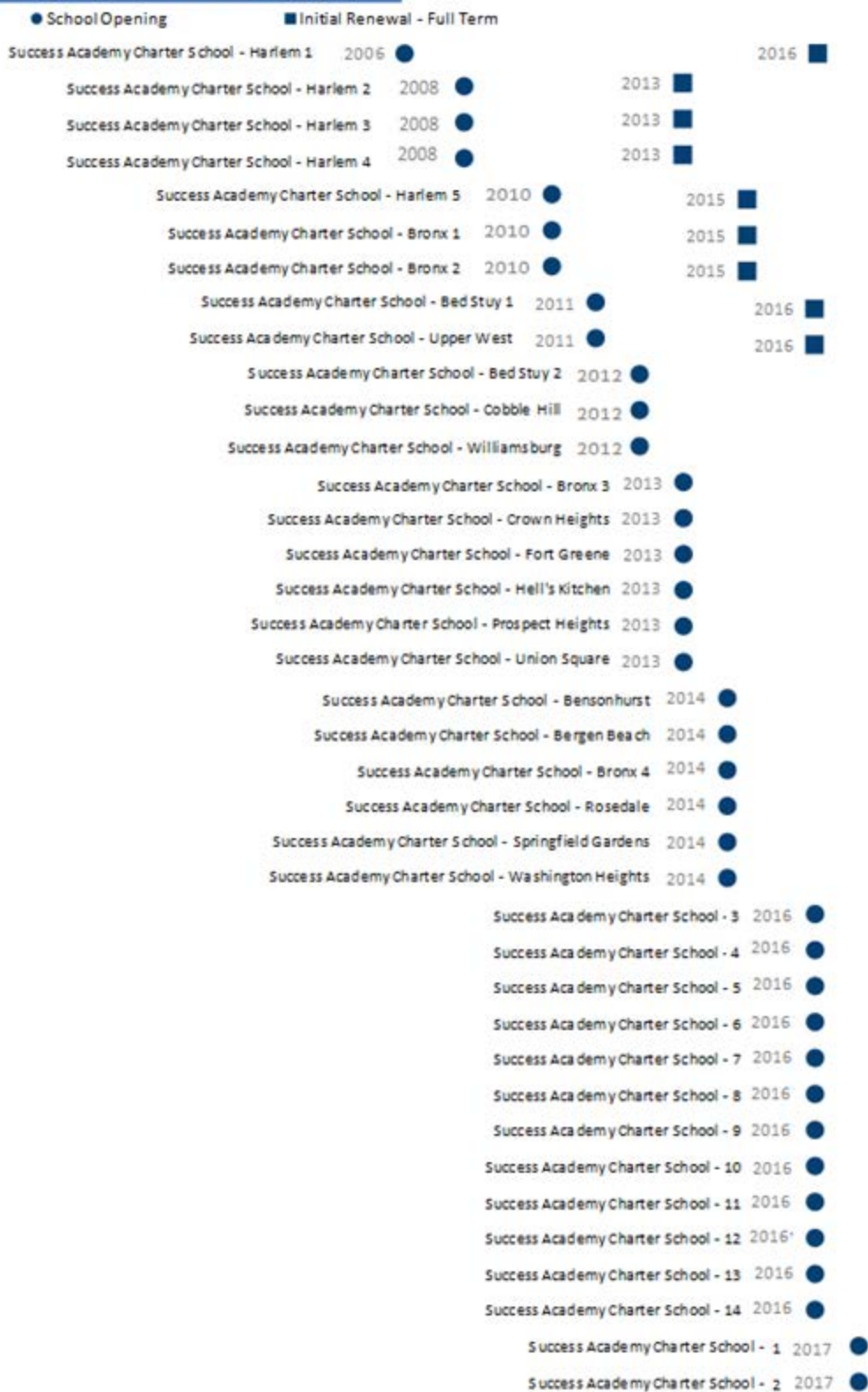
Does the education corporation board work effectively to achieve Success Academy schools' Accountability Plan goals?

The education corporation board, equipped with a diverse skill set relevant to governance, provides rigorous oversight of all Success Academy schools with a central focus on student outcomes. Though deeply knowledgeable about the schools' educational program, progress toward meeting Accountability Plan goals and financial condition, it maintains appropriate distance from the day-to-day management of schools, which it delegates to the network. The board establishes clear priorities including fundraising goals, to support the education program, and monitors progress toward achieving these goals while holding the network and school leaders accountable for student achievement with annual evaluations.

The board requires detailed reports on schools' academic, financial and operations data from the network prior to each of six annual board meetings. It reviews these reports thoroughly for clear understanding of individual school status and of the network as a whole. In addition to these written reports, the board receives information directly from school leaders in presentations specific to individual schools on matters such as student performance, student attendance or staff concerns. It understands well the schools' Accountability Plan goals and the multiple performance measures within the goals. The board directs an abundance of resources to schools to ensure high levels of student achievement. The board works with the network to ensure schools have what they need to support and retain high quality staff and to purchase technology and other learning tools to implement the Success Academy program with fidelity.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Education Corporation Timeline of Charter Renewal



APPENDIX E: EDUCATION CORPORATION OVERVIEW

EDUCATION CORPORATION SCHOOL CHARACTERISTICS

School	Local District	Co-located School	Chartered Enrollment ²⁶	Grade Span
Success Academy Charter School - Bed Stuy 1	CSD 14	Yes	554	K-5
Success Academy Charter School - Bed Stuy 2	CSD 14	Yes	510	K-4
Success Academy Charter School - Bensonhurst	CSD 21	Yes	250	K-2
Success Academy Charter School - Bergen Beach	CSD 22	Yes	250	K-2
Success Academy Charter School - Bronx 1	CSD 7	Yes	621	K-6
Success Academy Charter School - Bronx 2	CSD 8	Yes	629	K-6
Success Academy Charter School - Bronx 3	CSD 8	Yes	380	K-3
Success Academy Charter School - Bronx 4	CSD 8	Yes	250	K-2
Success Academy Charter School - Cobble Hill	CSD 15	Yes	510	Pre K-4
Success Academy Charter School - Crown Heights	CSD 17	Yes	380	K-3
Success Academy Charter School - Fort Greene	CSD 13	Yes	380	K-3
Success Academy Charter School - Harlem 1	CSD 3	Yes	976	Pre K-10

²⁶ Enrollment does not include Pre-K enrollment.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

School	Local District	Co-located School	Chartered Enrollment ²⁶	Grade Span
Success Academy Charter School - Harlem 2	CSD 5	Yes	911	K-8
Success Academy Charter School - Harlem 3	CSD 4	Yes	905	K-8
Success Academy Charter School - Harlem 4	CSD 3	Co-located and NYCDOE Leased	641	K-8
Success Academy Charter School - Harlem 5	CSD 5	Yes	602	K-6
Success Academy Charter School - Hell's Kitchen	CSD 2	Yes	380	K-3
Success Academy Charter School - NYC 1	CSD 2	To Open Fall 2017	To Open Fall 2017	To Open Fall 2017
Success Academy Charter School – NYC 2	CSD 3	To Open Fall 2017	To Open Fall 2017	To Open Fall 2017
Success Academy Charter School – NYC 3	CSD 9	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 4	CSD 27	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 5	CSD 13	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 6	CSD 14	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 7	CSD 15	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 8	CSD 17	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 9	CSD 18	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016

APPENDIX E: EDUCATION CORPORATION OVERVIEW

School	Local District	Co-located School	Chartered Enrollment ²⁶	Grade Span
Success Academy Charter School – NYC 10	CSD 22	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 11	CSD 23	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 12	CSD 24	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 13	CSD 28	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School – NYC 14	CSD 30	To Open Fall 2016	To Open Fall 2016	To Open Fall 2016
Success Academy Charter School - Prospect Heights	CSD 17	Yes	380	K-3
Success Academy Charter School - Rosedale	CSD 29	No- NYCDOE Leased	250	K-2
Success Academy Charter School - Springfield Gardens	CSD 29	Yes	250	K-2
Success Academy Charter School - Union Square	CSD 2	Yes	380	K-3
Success Academy Charter School - Upper West	CSD 3	Yes	565	K-5
Success Academy Charter School - Washington Heights	CSD 6	No- NYCDOE Leased	250	K-2
Success Academy Charter School - Williamsburg	CSD 14	Yes	510	Pre K-4

APPENDIX E: EDUCATION CORPORATION OVERVIEW

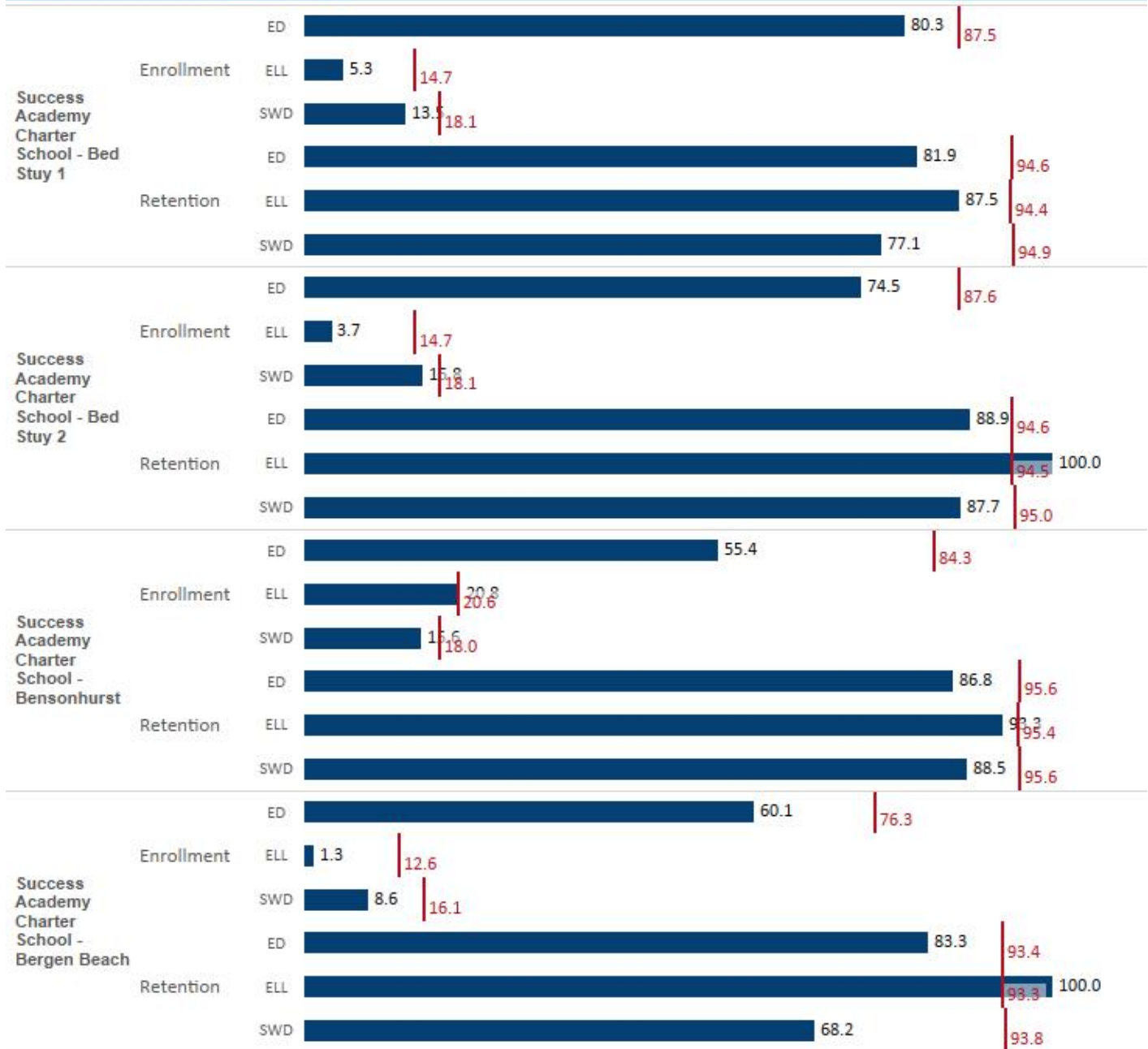
Persistence in Enrollment



Persistence in enrollment illustrates the percentage of students not scheduled to age out of the school who re-enroll from the previous year. The Institute derived the statistical information on enrollment persistence from its database. No comparative data from NYCDOE or NYSED is available to the Institute to provide either district wide or by CSD context. As such, the information presented is for information purposes but does not allow for comparative analysis.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

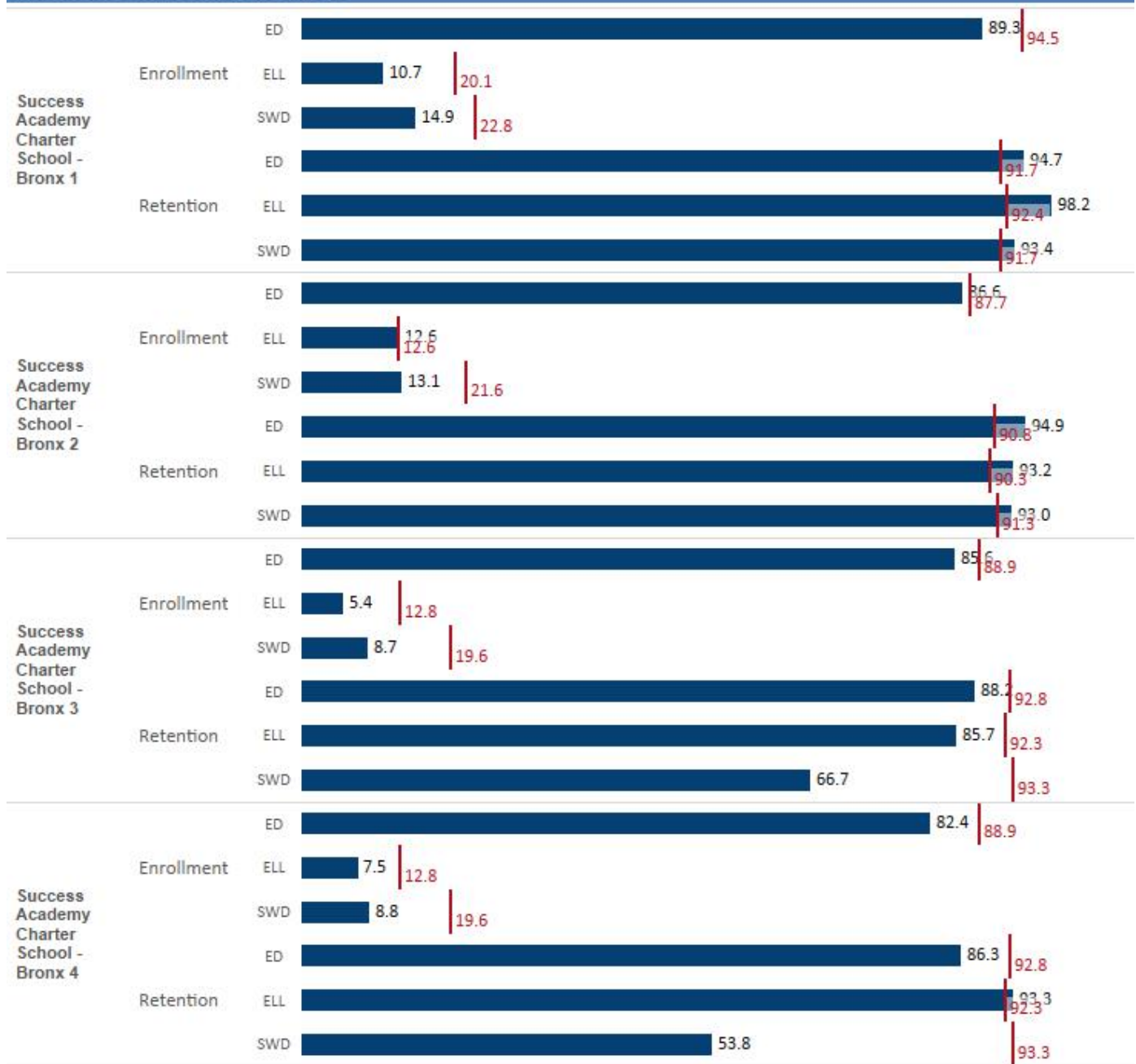
Enrollment and Retention Targets



The chart illustrates the **current enrollment and retention percentages** against the **enrollment and retention targets** for each operating school in the ed corp. As required by Education Law § 2851(4)(e), a school must include in its renewal application information regarding the efforts it has, and will, put in place to meet or exceed SUNY's enrollment and retention targets for students with disabilities, ELL, and FRPL students. This analysis is based on 2015-16 enrollment and retention data supplied to the Institute by the network.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

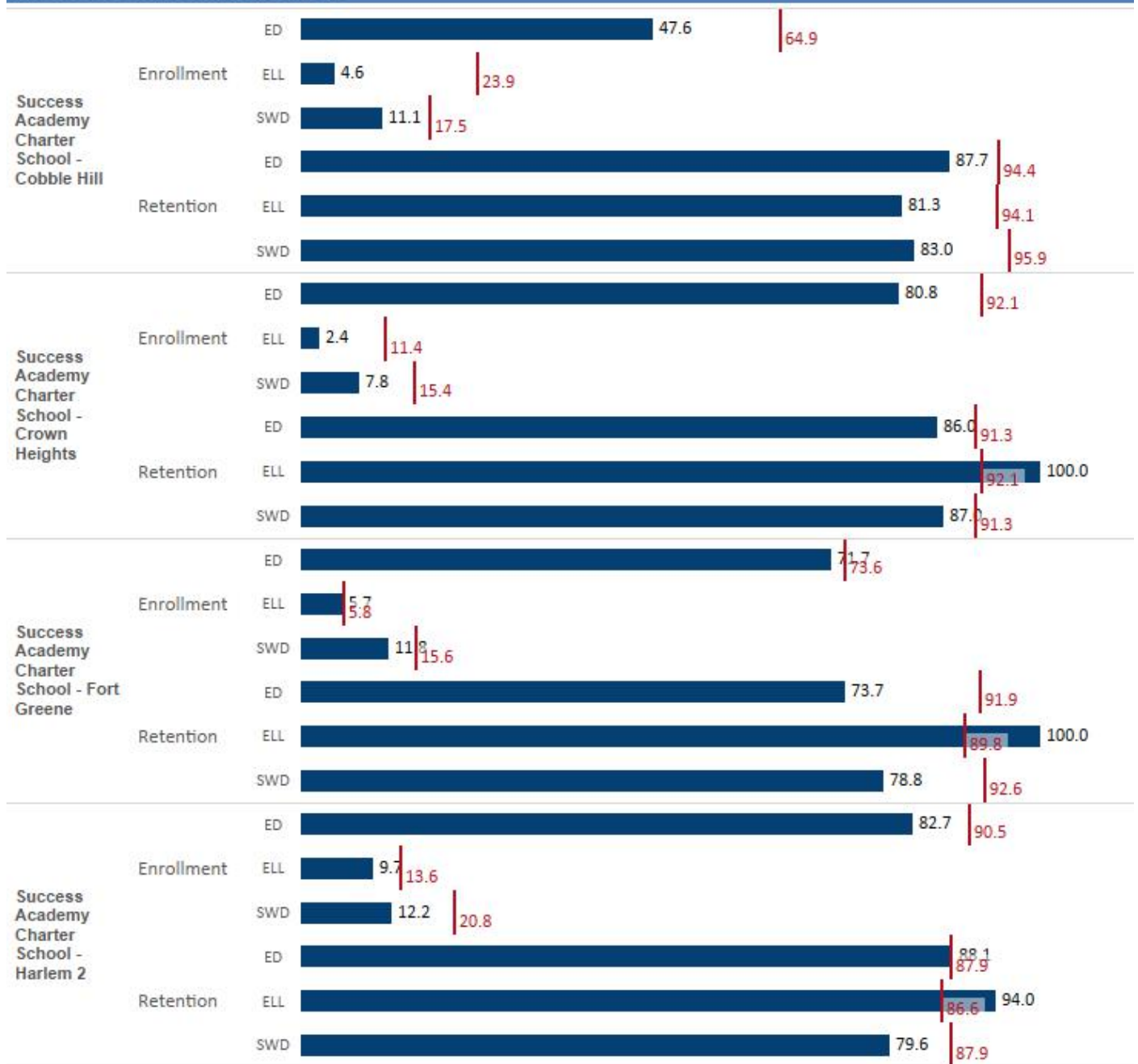
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APPENDIX E: EDUCATION CORPORATION OVERVIEW

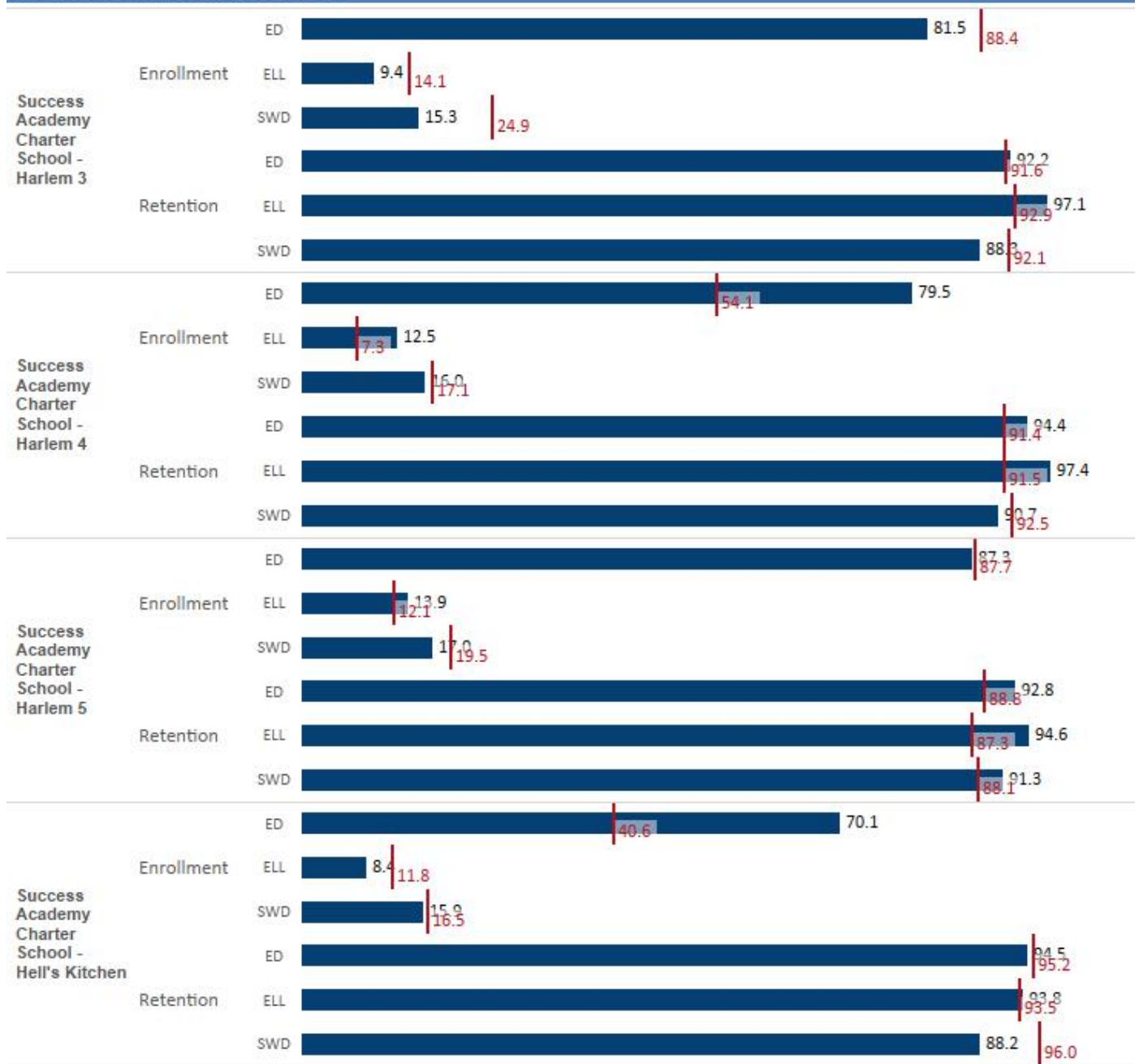
Enrollment and Retention Targets



The chart illustrates the **current enrollment and retention percentages** against the **enrollment and retention targets** for each operating school in the ed corp. As required by Education Law § 2851(4)(e), a school must include in its renewal application information regarding the efforts it has, and will, put in place to meet or exceed SUNY's enrollment and retention targets for students with disabilities, ELL, and FRPL students. This analysis is based on 2015-16 enrollment and retention data supplied to the Institute by the network.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

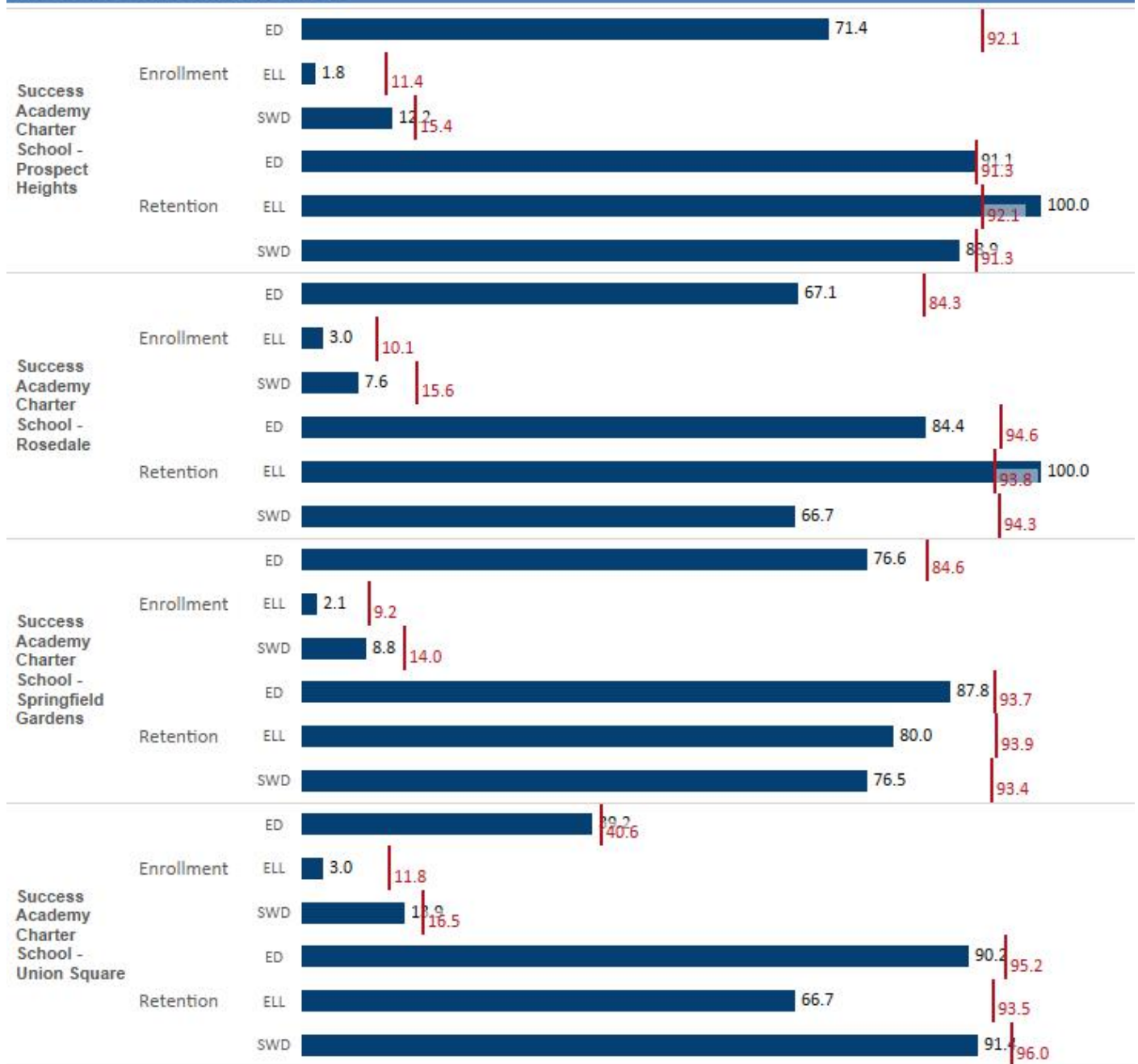
Enrollment and Retention Targets



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APPENDIX E: EDUCATION CORPORATION OVERVIEW

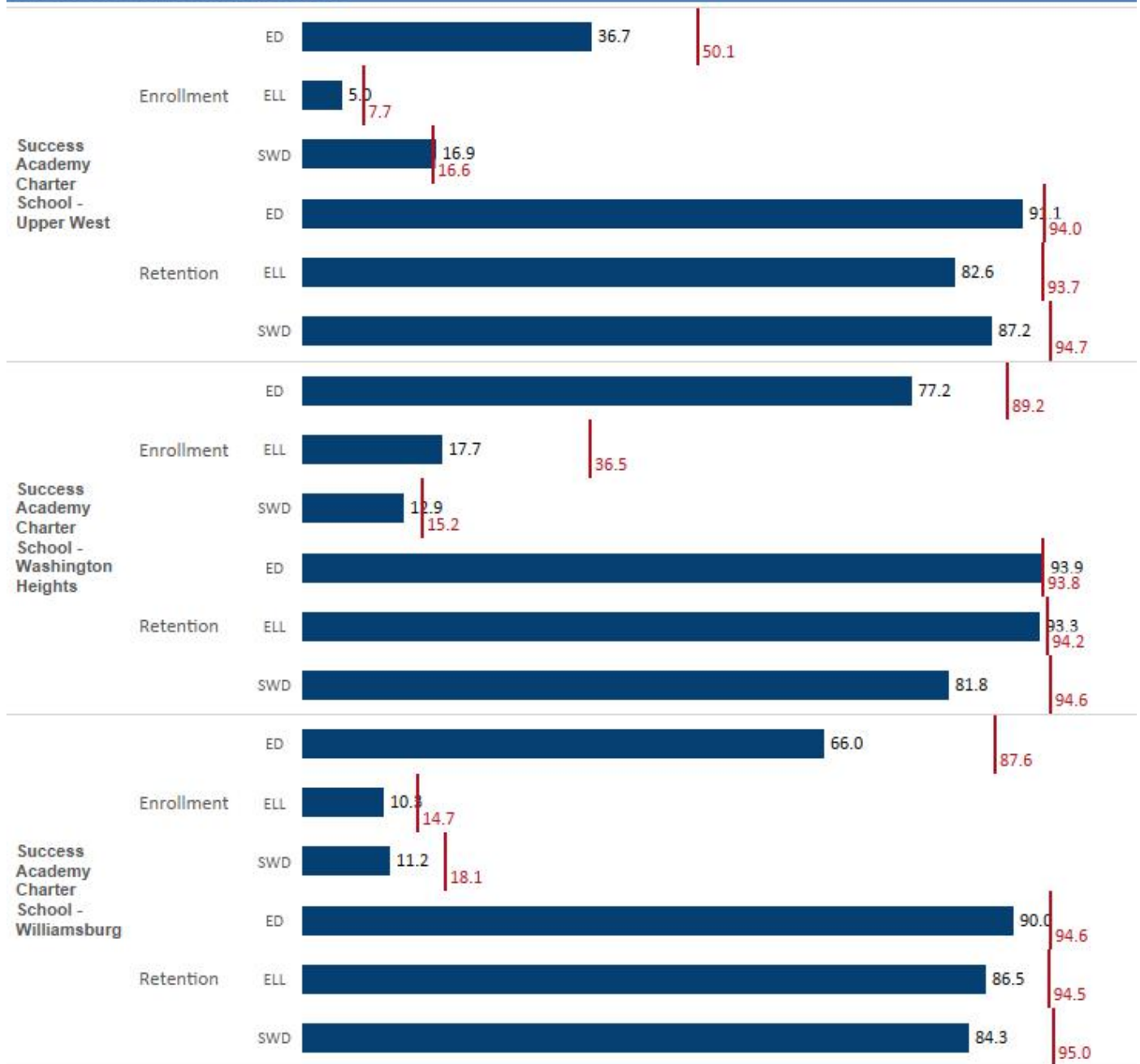
Enrollment and Retention Targets



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APPENDIX E: EDUCATION CORPORATION OVERVIEW

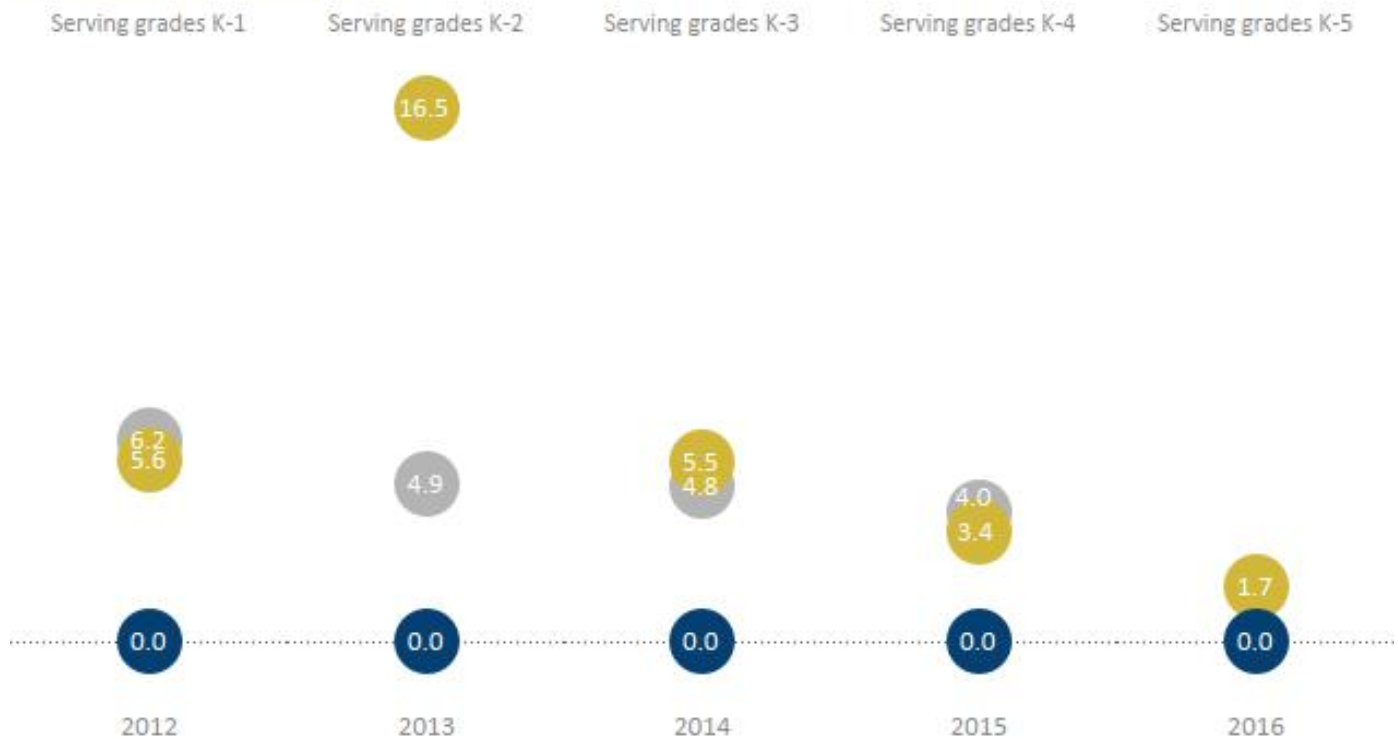
Enrollment and Retention Targets



The chart illustrates the **current enrollment and retention percentages** against the **enrollment and retention targets** for each operating school in the ed corp. As required by Education Law § 2851(4)(e), a school must include in its renewal application information regarding the efforts it has, and will, put in place to meet or exceed SUNY's enrollment and retention targets for students with disabilities, ELL, and FRPL students. This analysis is based on 2015-16 enrollment and retention data supplied to the Institute by the network.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Bed Stuy 1's in school suspension rate and out of school suspension rate and the district overall suspension rate.



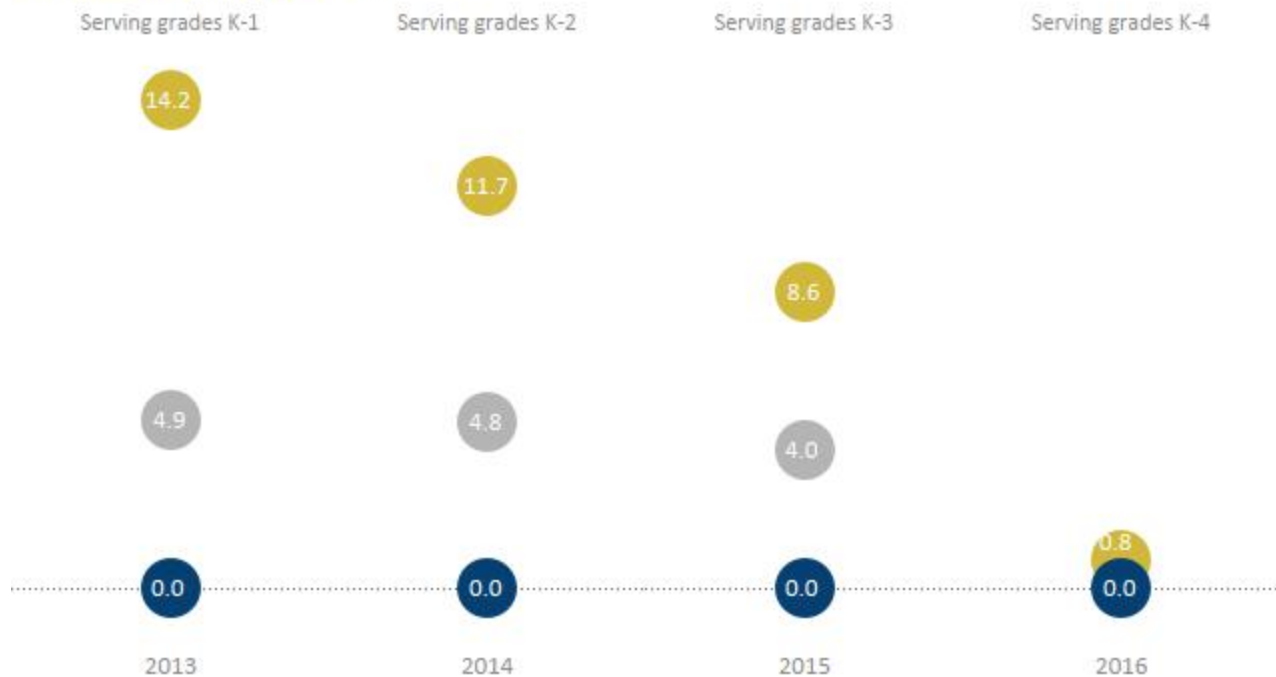
Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total the number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Bed Stuy 2's in school suspension rate and out of school suspension rate and the district overall suspension rate.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2013	2014	2015	2016
0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Bensonhurst's in school suspension rate and out of school suspension rate and the district overall suspension rate.



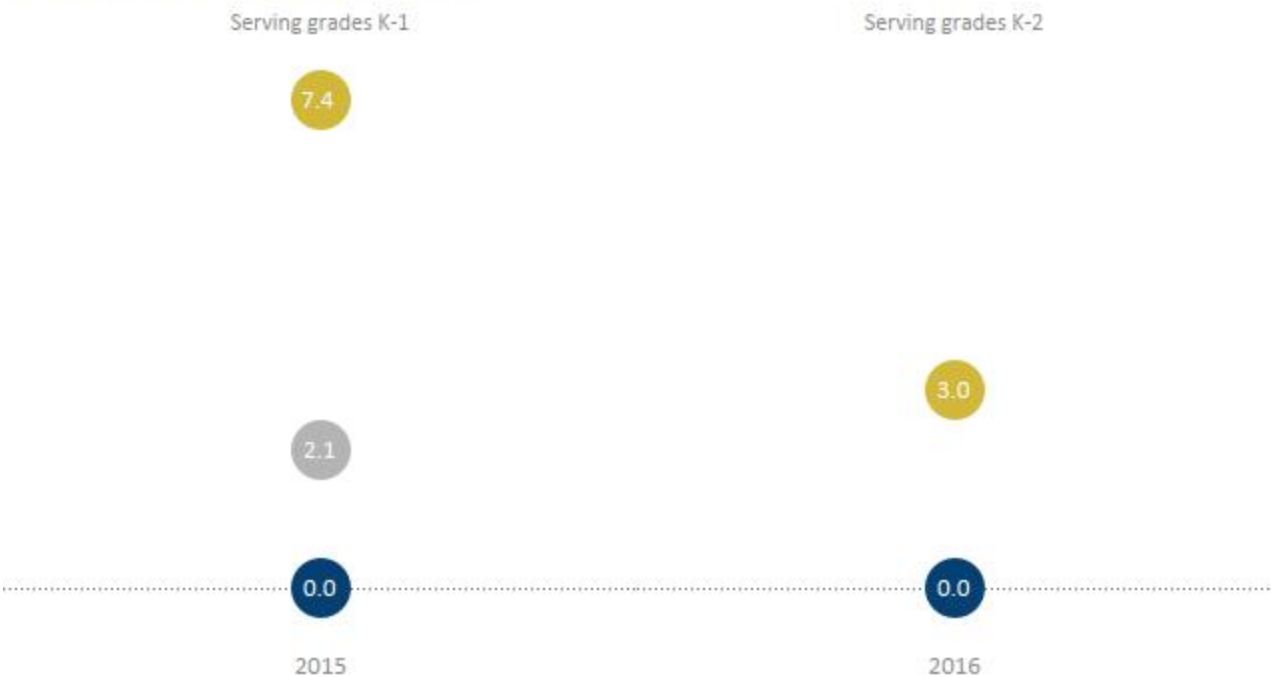
Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total the number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2015	2016
0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Bergen Beach's **in school suspension rate** and **out of school suspension rate** and the **district overall suspension rate**.



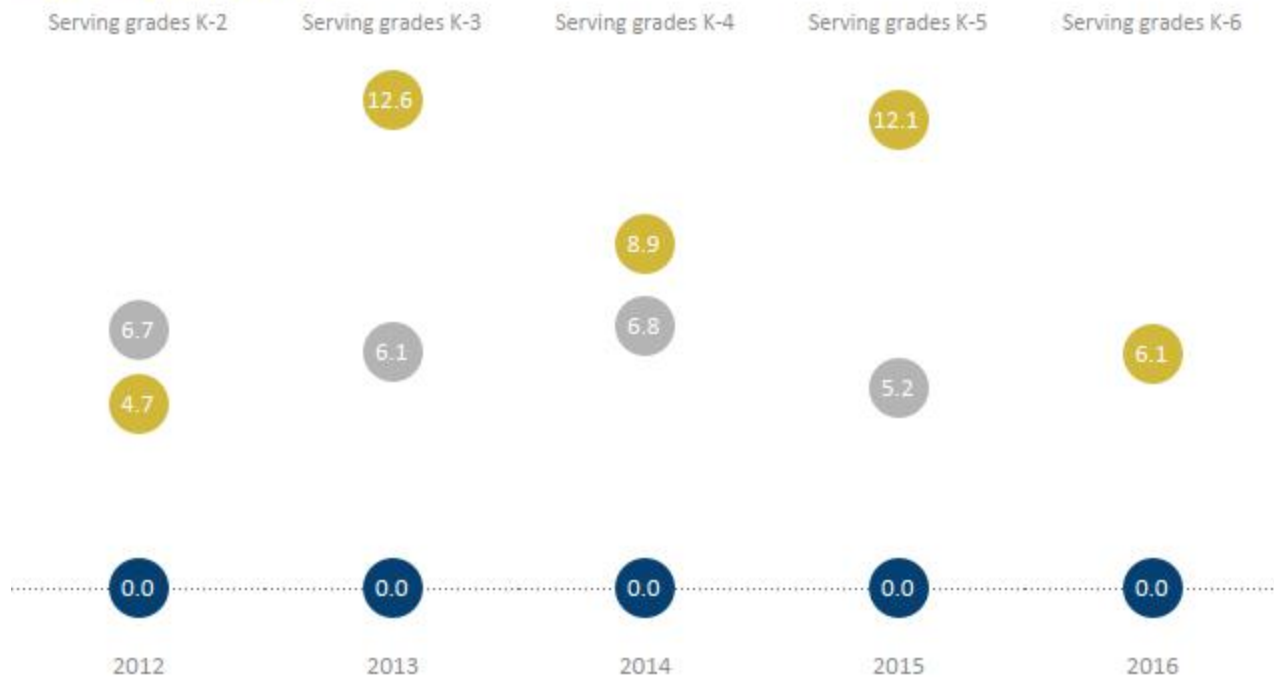
Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total the number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2015	2016
0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Bronx 1's in school suspension rate and out of school suspension rate and the district overall suspension rate.



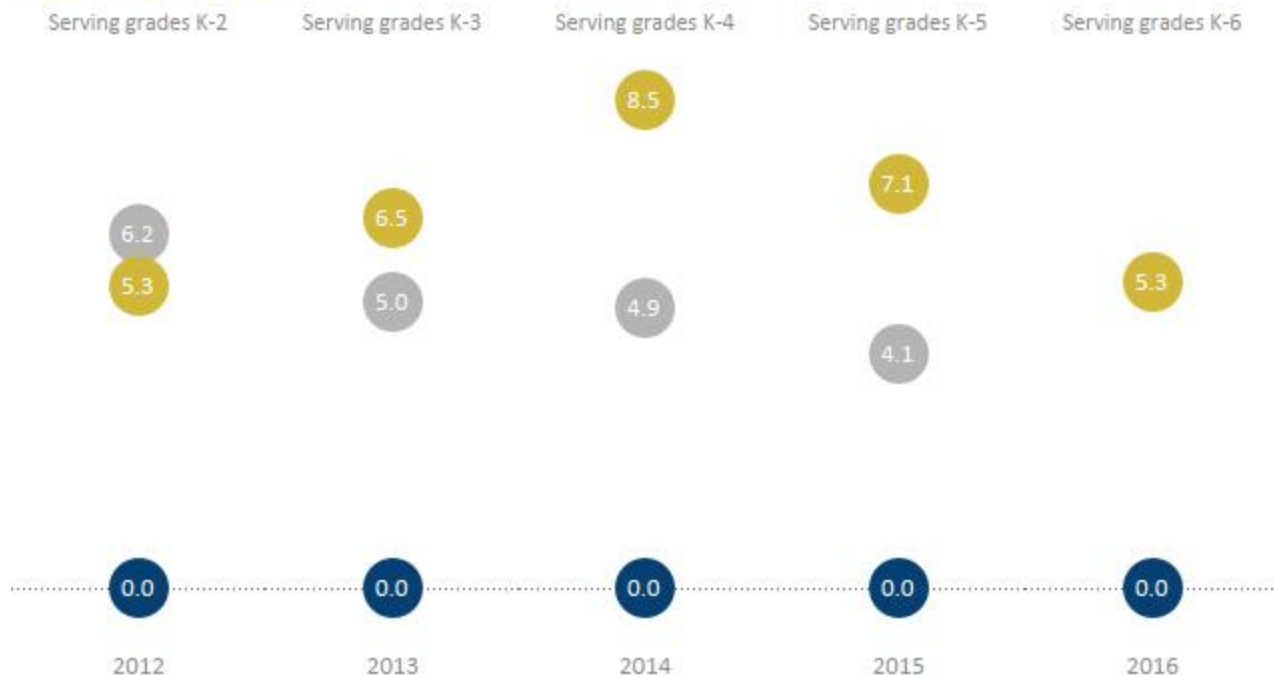
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Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Bronx 2's in school suspension rate and out of school suspension rate and the district overall suspension rate.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total the number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Bronx 3's in school suspension rate and out of school suspension rate and the district overall suspension rate.



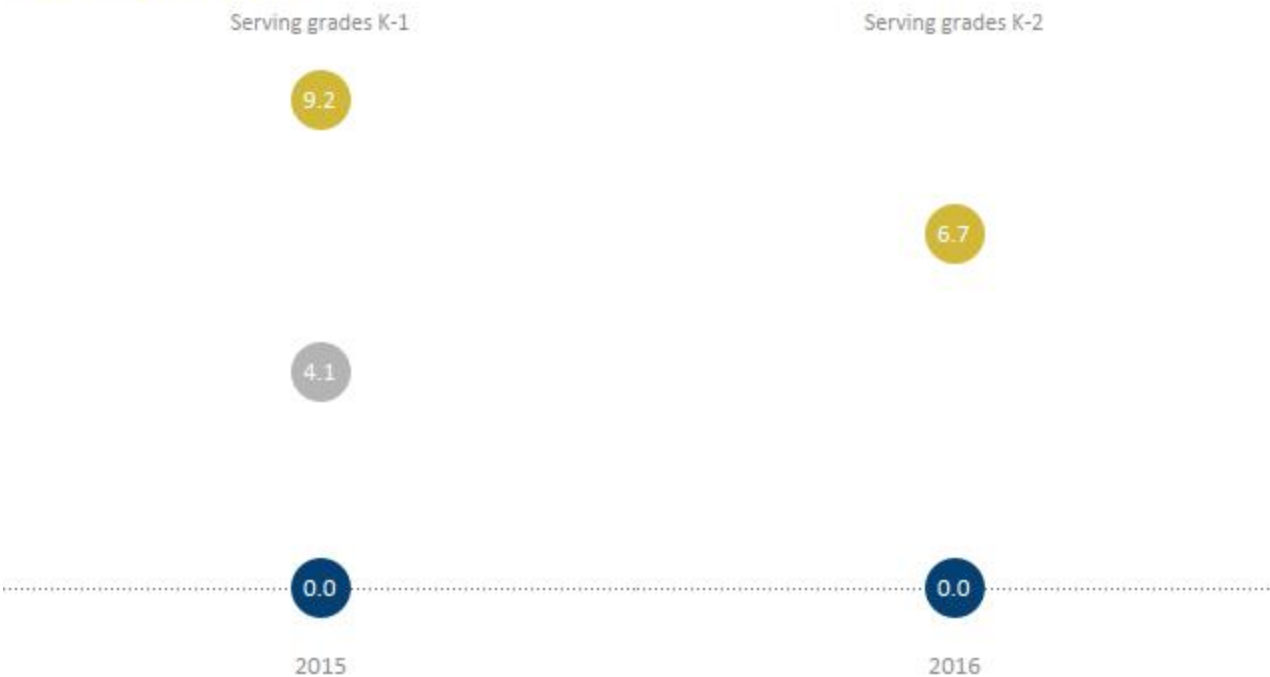
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Expulsions: The number of students expelled from the school each year.

2014	2015	2016
0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Bronx 4's in school suspension rate and out of school suspension rate and the district overall suspension rate.



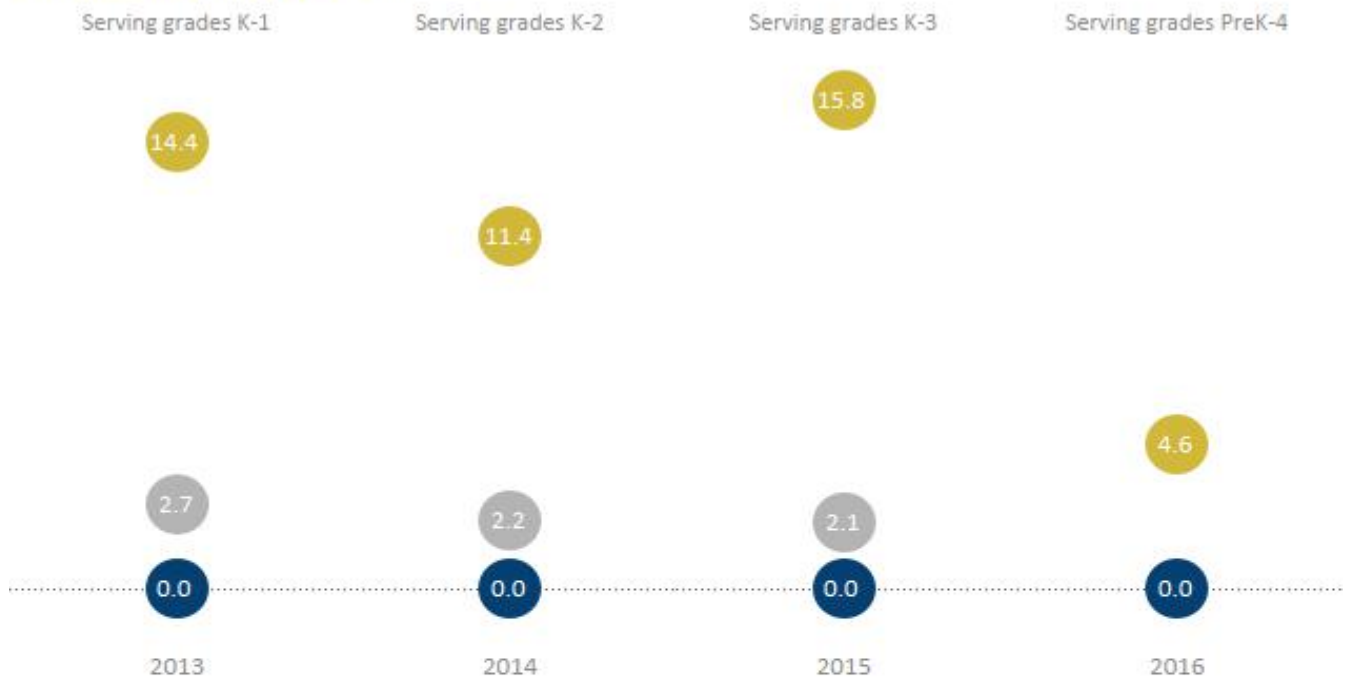
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Expulsions: The number of students expelled from the school each year.

2015	2016
0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Cobble Hill's in school suspension rate and out of school suspension rate and the district overall suspension rate.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total the number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2013	2014	2015	2016
0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Crown Heights's in school suspension rate and out of school suspension rate and the district overall suspension rate.



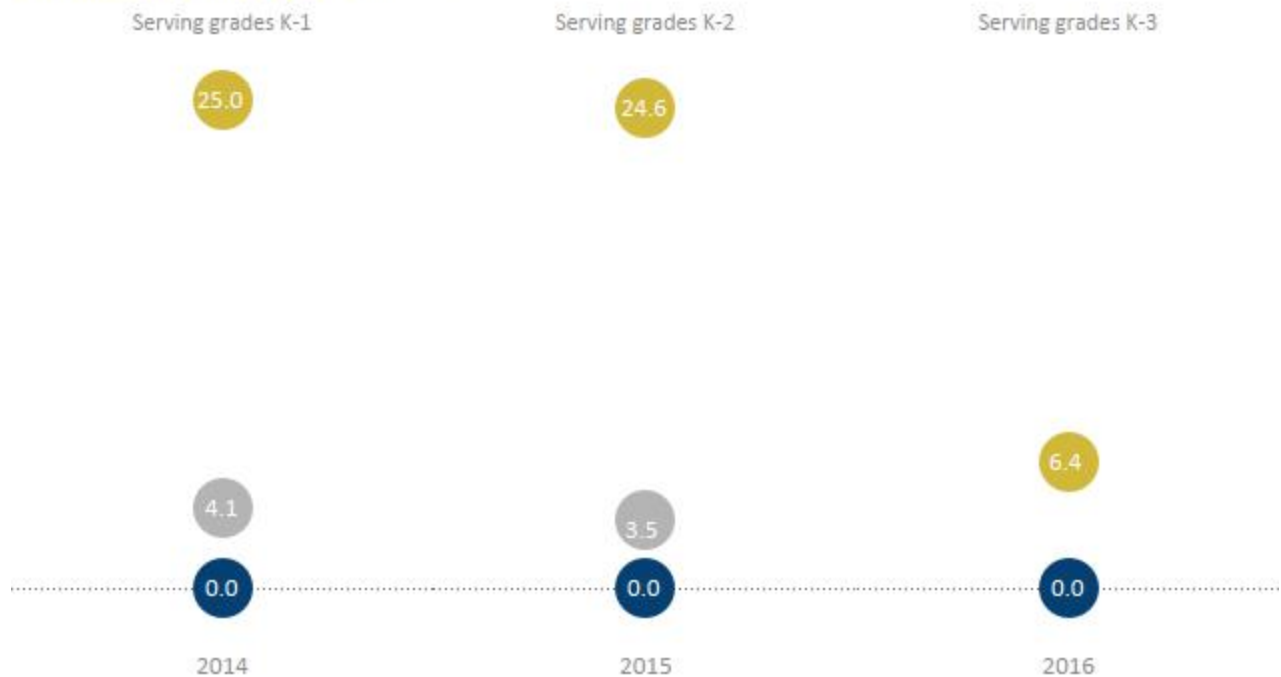
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Expulsions: The number of students expelled from the school each year.

2014	2015	2016
0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Fort Greene's in school suspension rate and out of school suspension rate and the district overall suspension rate.



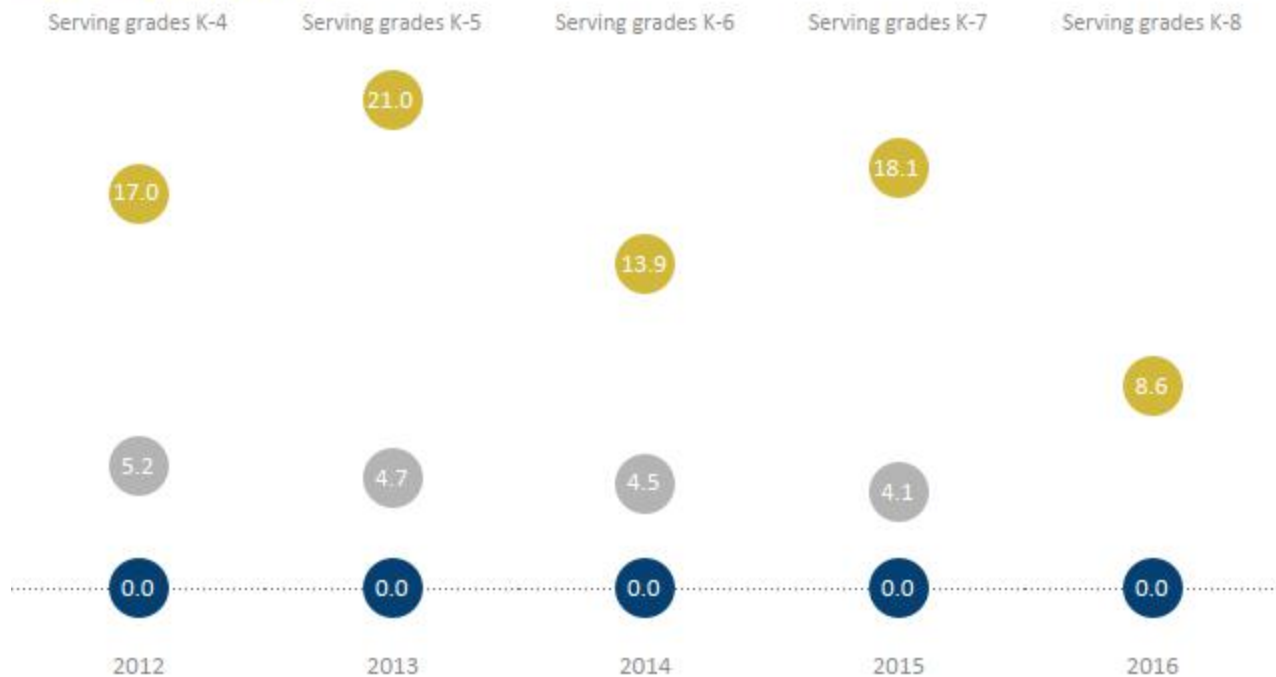
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Expulsions: The number of students expelled from the school each year.

2014	2015	2016
0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Harlem 2's in school suspension rate and out of school suspension rate and the district overall suspension rate.



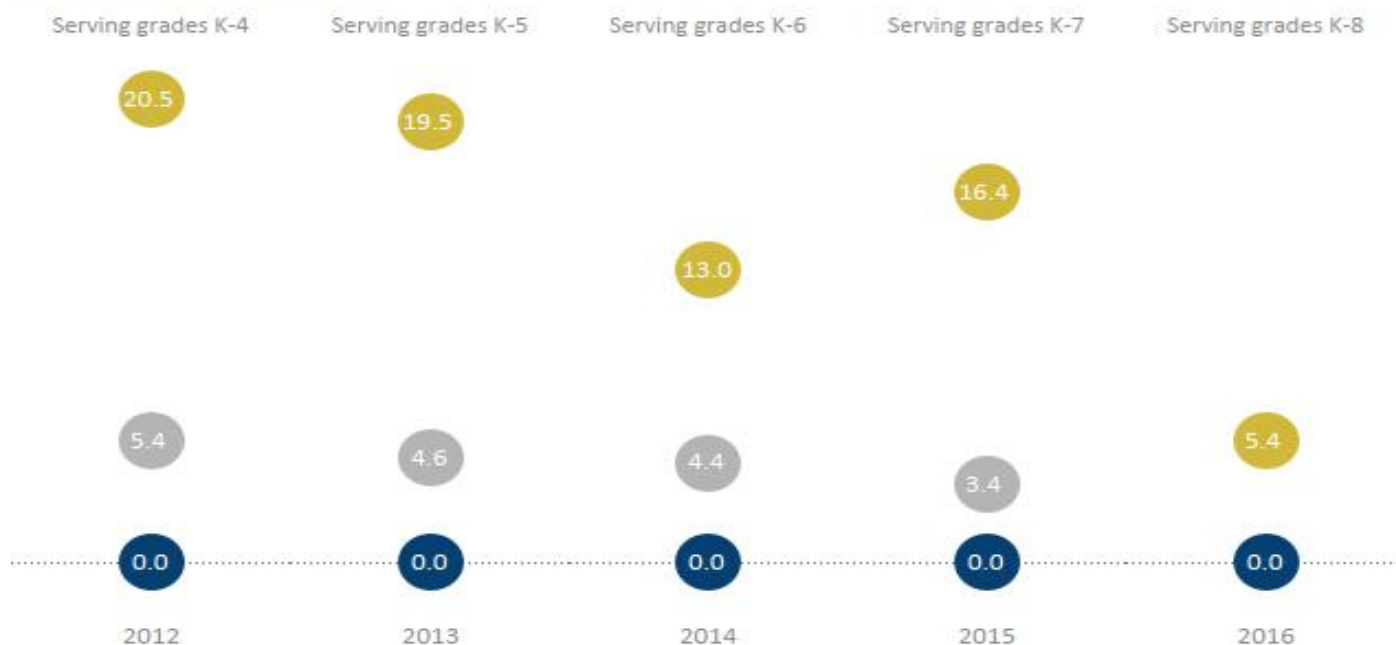
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Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	1	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Harlem 3's in school suspension rate and out of school suspension rate and the district overall suspension rate.



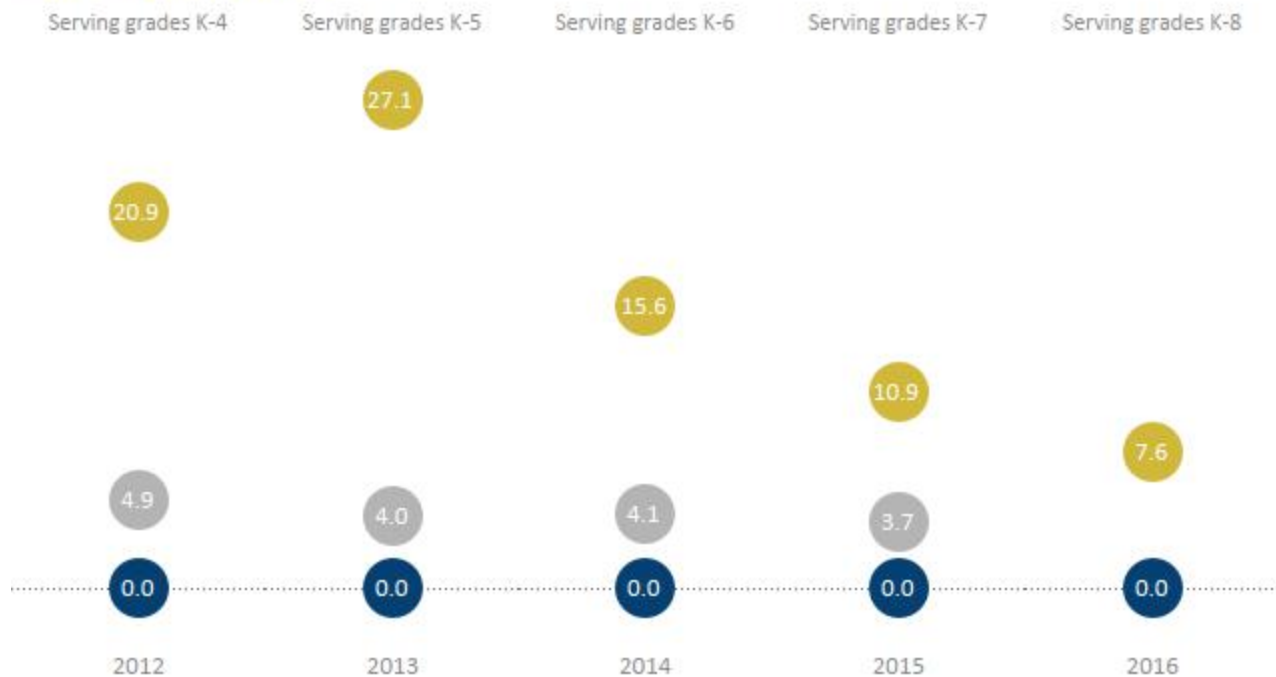
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Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Harlem 4's in school suspension rate and out of school suspension rate and the district overall suspension rate.



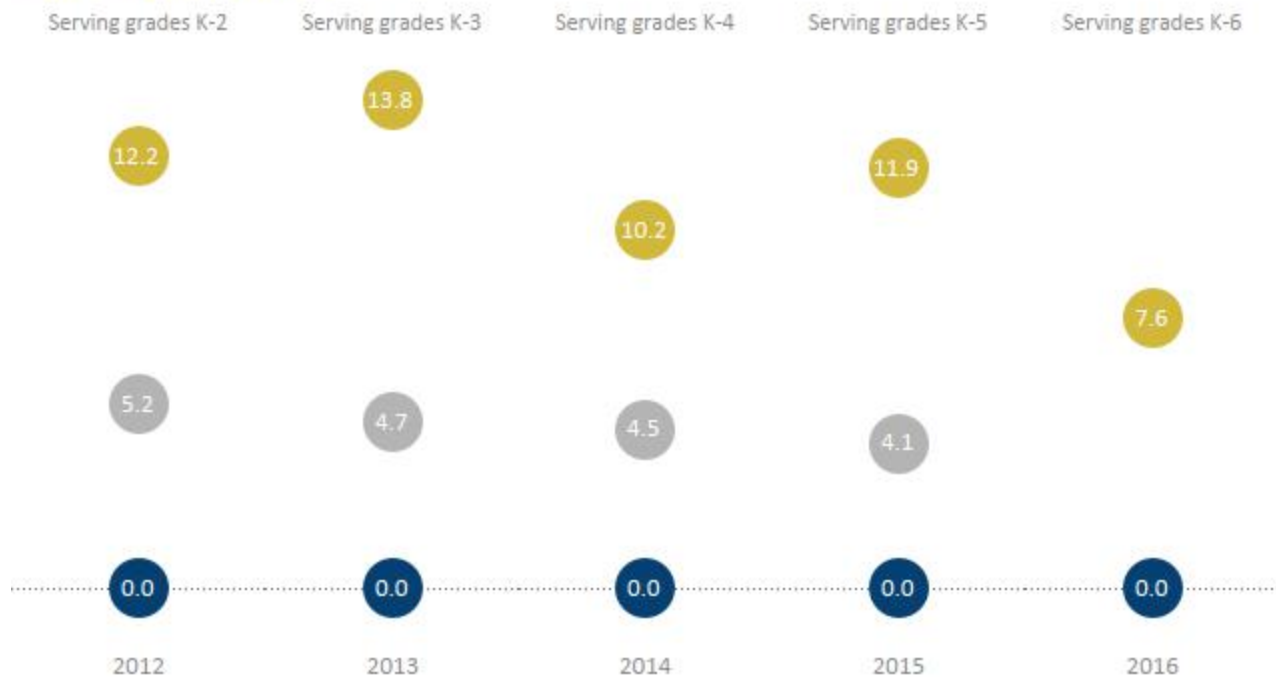
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Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Harlem 5's in school suspension rate and out of school suspension rate and the district overall suspension rate.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Hell's Kitchen's **in school suspension rate** and **out of school suspension rate** and the **district overall suspension rate**.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2014	2015	2016
0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Prospect Heights's in school suspension rate and out of school suspension rate and the district overall suspension rate.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2014	2015	2016
0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Rosedale's **in school suspension rate** and **out of school suspension rate** and the district overall suspension rate.



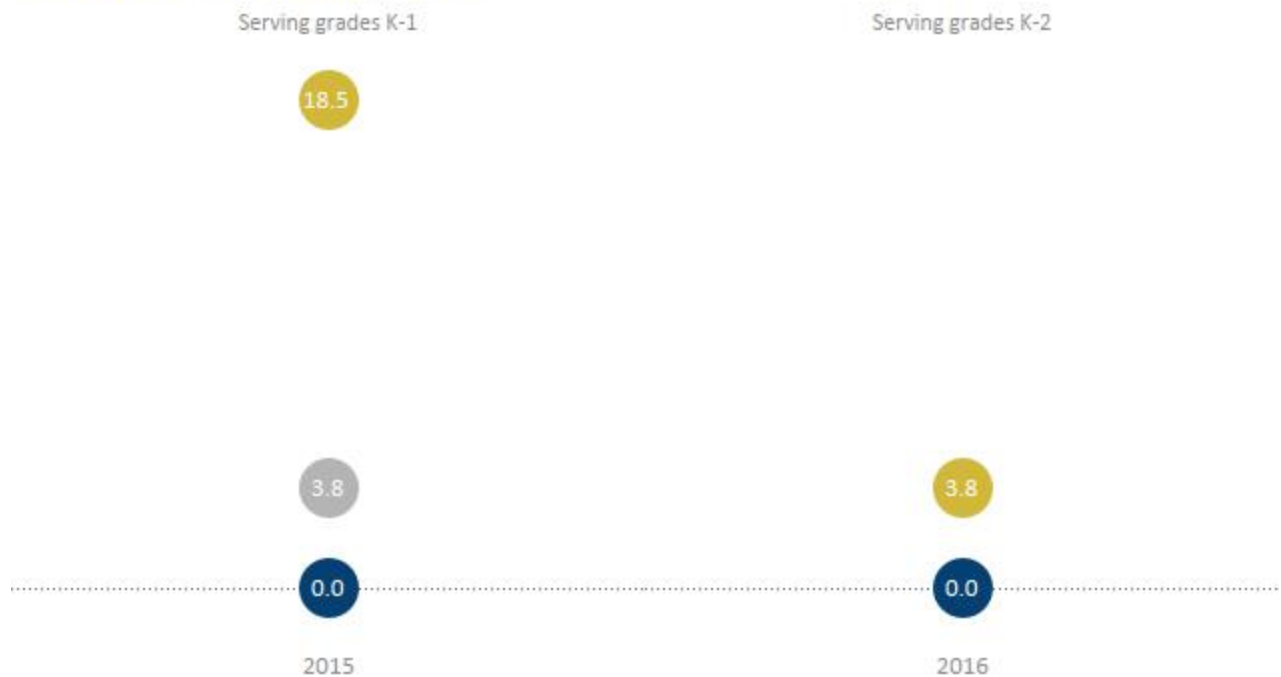
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Expulsions: The number of students expelled from the school each year.

2015	2016
0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Springfield Gardens's in school suspension rate and **out of school suspension rate** and the **district overall suspension rate**.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total the number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2015	2016
0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Union Square's in school suspension rate and out of school suspension rate and the district overall suspension rate.



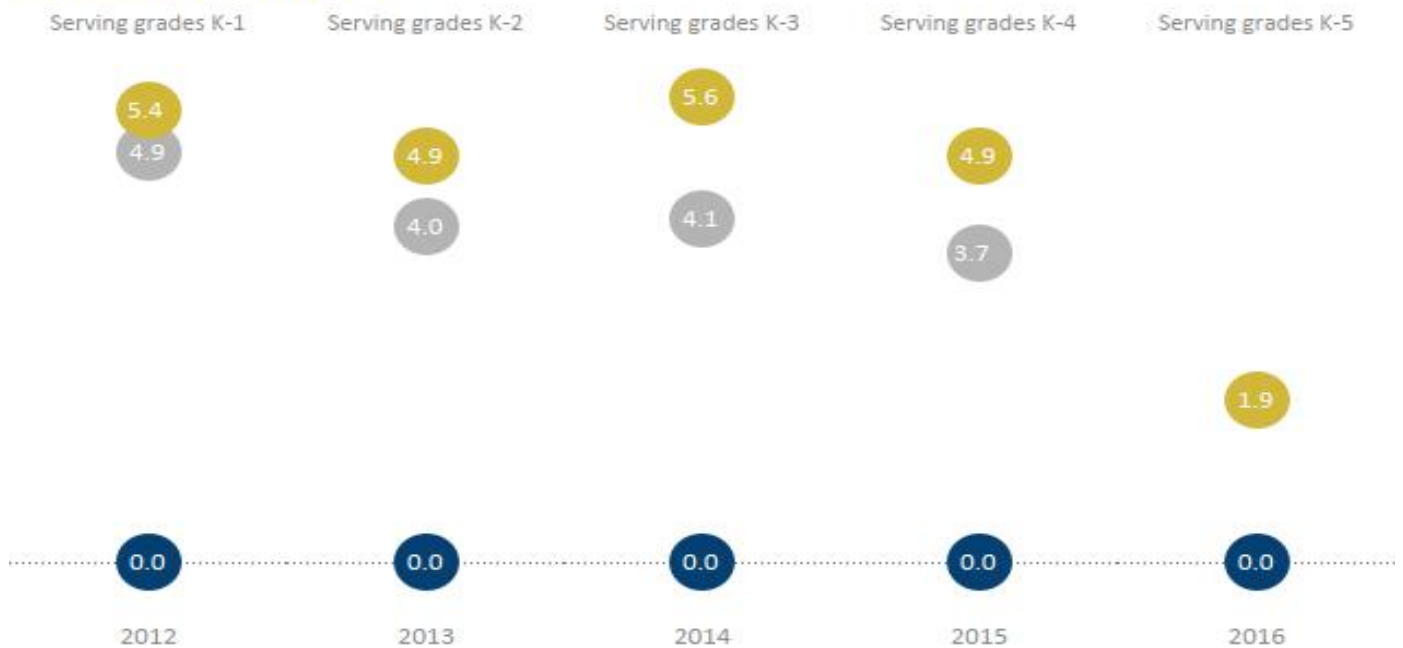
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Expulsions: The number of students expelled from the school each year.

2014	2015	2016
0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Upper West's in school suspension rate and out of school suspension rate and the district overall suspension rate.



Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total the number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2012	2013	2014	2015	2016
0	0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Washington Heights's in school suspension rate and out of school suspension rate and the district overall suspension rate.



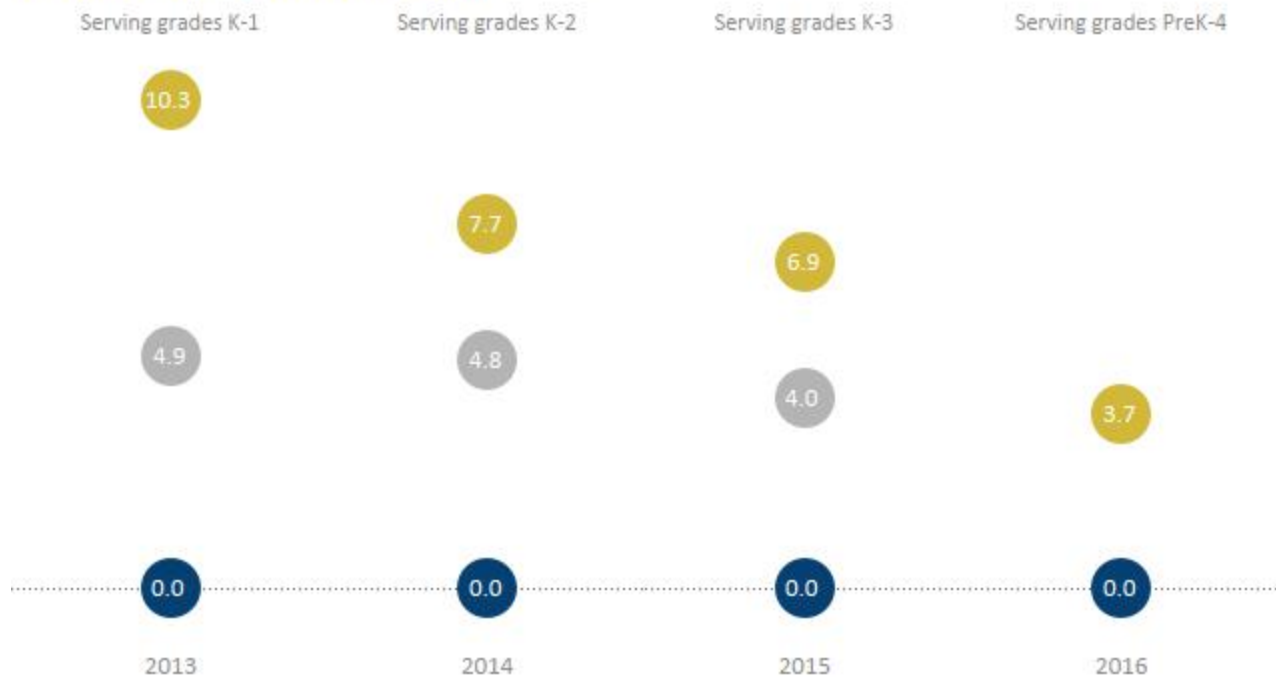
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Expulsions: The number of students expelled from the school each year.

2015	2016
0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Suspensions: Success Academy Charter School - Williamsburg's in school suspension rate and out of school suspension rate and the district overall suspension rate.



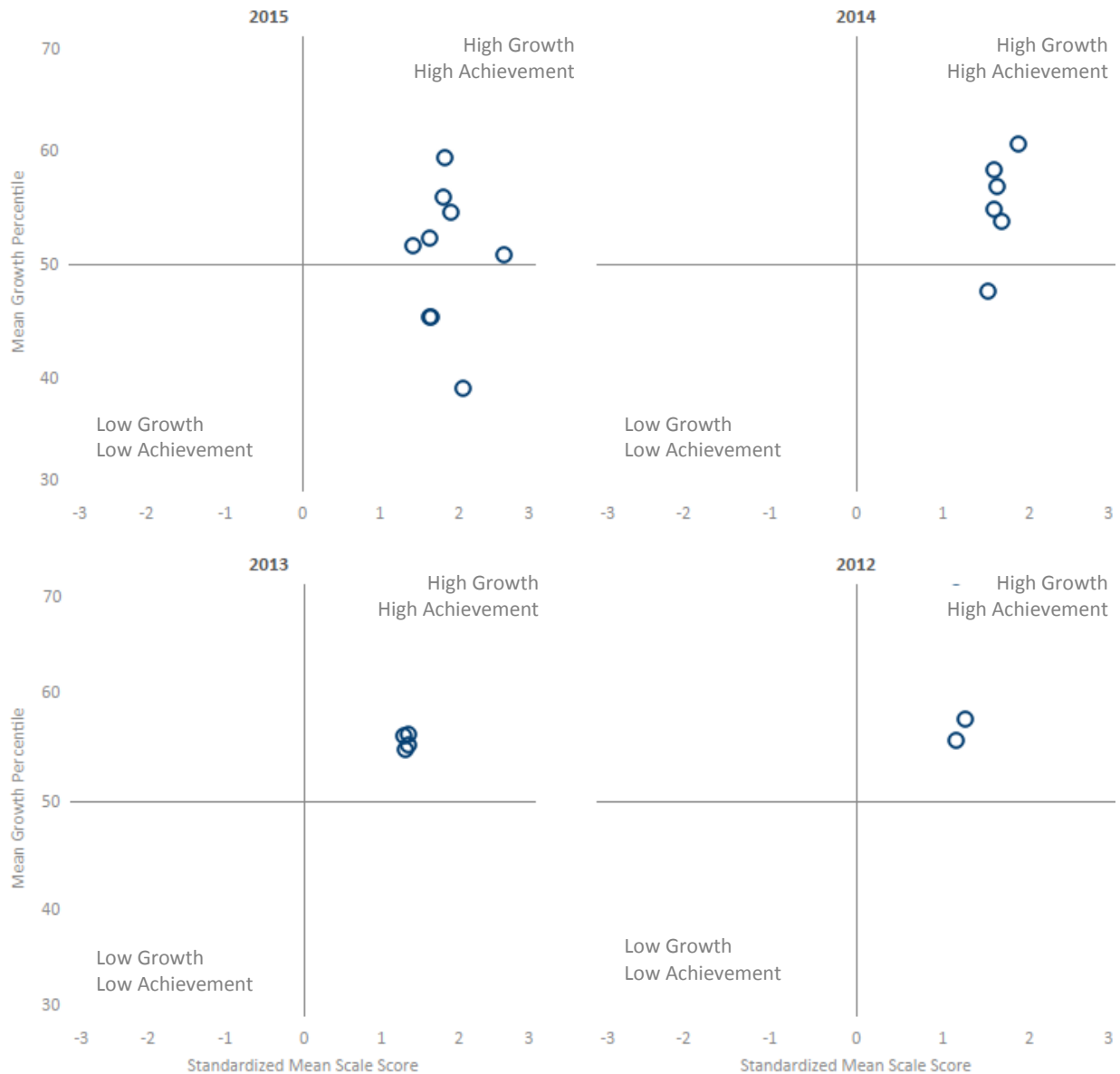
Although Community School District ("CSD") and school suspension rates are presented on the same graph, a direct comparison between the rates is not possible for three primary reasons. Available CSD data includes Kindergarten through 12th grades and school data includes only the grades served by the school. CSD data are not available that show multiple instances of suspension of a single student, the overall number of suspensions, the durations of suspensions, or the time of year when the school administered the suspension. CSD data showing the difference between in school and out of school suspensions are not available. The percentage rate shown here is calculated using the method employed by the New York City Department of Education: the total number of students receiving an in school or out of school suspension at any time during the school year is divided by the total enrollment, then multiplied by 100.

Expulsions: The number of students expelled from the school each year.

2013	2014	2015	2016
0	0	0	0

APPENDIX E: EDUCATION CORPORATION OVERVIEW

ELA Growth and Achievement: 2012-13 through 2014-15

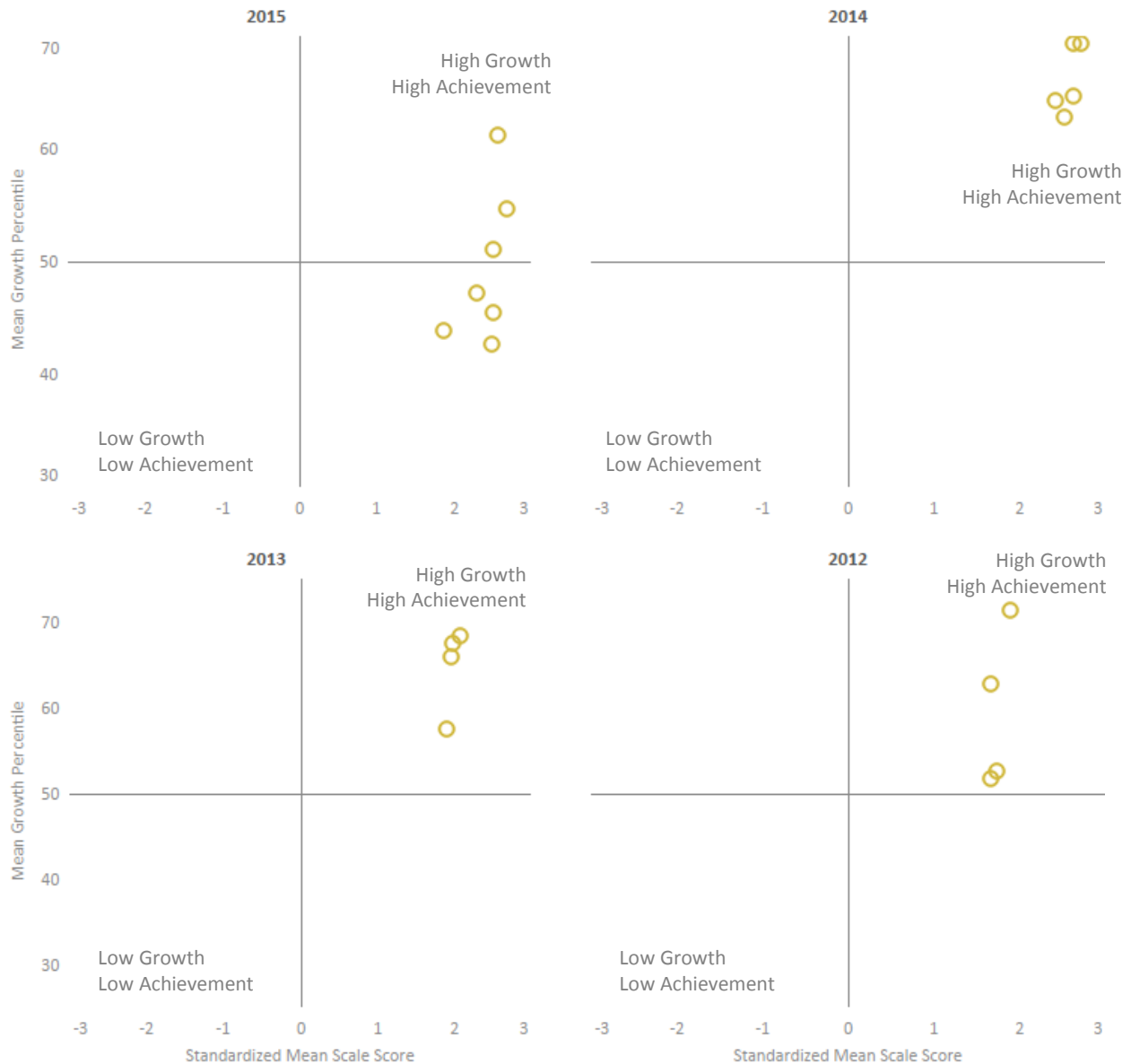


These charts compare a school's ability to grow student achievement with a school's absolute student performance. Schools located in the upper right hand quadrant show strong results in helping students make learning gains while at the same time helping students achieve strong absolute scores on state assessments. Schools in the lower right hand quadrant show strong absolute scores but lower growth. Because the student growth percentile uses the previous year's scale score as a baseline, it becomes more difficult for a school to maintain strong overall growth scores when students already post high absolute scores.

These charts are produced by comparing growth as measured by the state's student growth percentile to its overall achievement as measured by scale score standardized to the statewide grade level mean over each year for which data are available during the charter term. The growth axis (labeled Mean Growth Percentile) represents the statewide median growth score. The achievement axis (labeled Standardized Mean Scale Score) represents the statewide mean-centered achievement level for each grade served by each school.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Math Growth and Achievement: 2012-13 through 2014-15



These charts compare a school's ability to grow student achievement with a school's absolute student performance. Schools located in the upper right hand quadrant show strong results in helping students make learning gains while at the same time helping students achieve strong absolute scores on state assessments. Schools in the lower right hand quadrant show strong absolute scores but lower growth. Because the student growth percentile uses the previous year's scale score as a baseline, it becomes more difficult for a school to maintain strong overall growth scores when students already post high absolute scores.

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APPENDIX E: EDUCATION CORPORATION OVERVIEW

STATEWIDE RANKINGS

During 2014-15...

100%
90%
80%
70%
60%
50%
40%
30%
20%
10%
0%

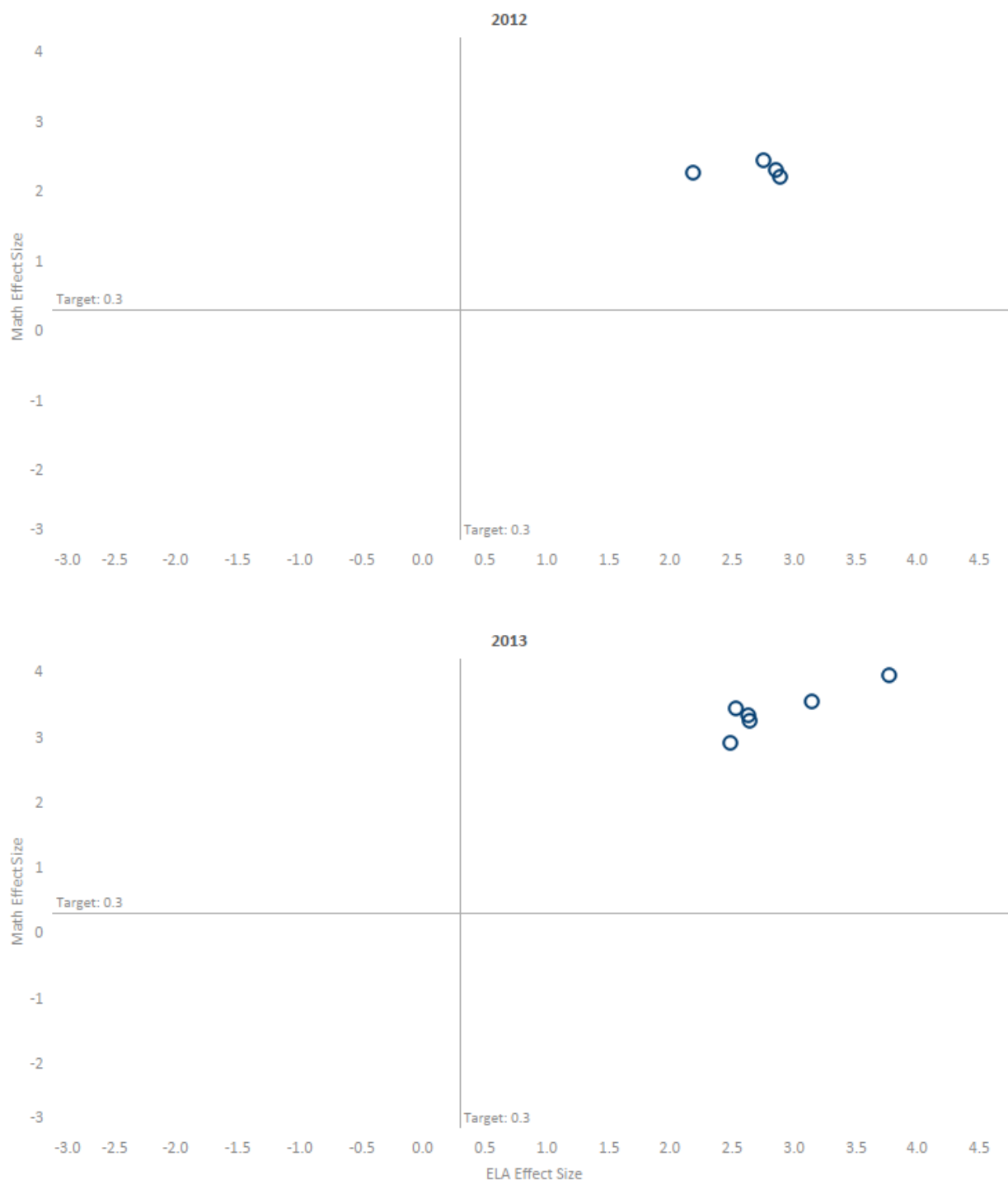
All of Success Academy's charter schools enrolling students in any of the state's testing grades posted scores in the **top 10%** of all schools statewide in **ELA**.

100%
90%
80%
70%
60%
50%
40%
30%
20%
10%
0%

All of Success Academy's charter schools enrolling students in any of the state's testing grades posted scores in the **top 2%** of all schools statewide in **mathematics**.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

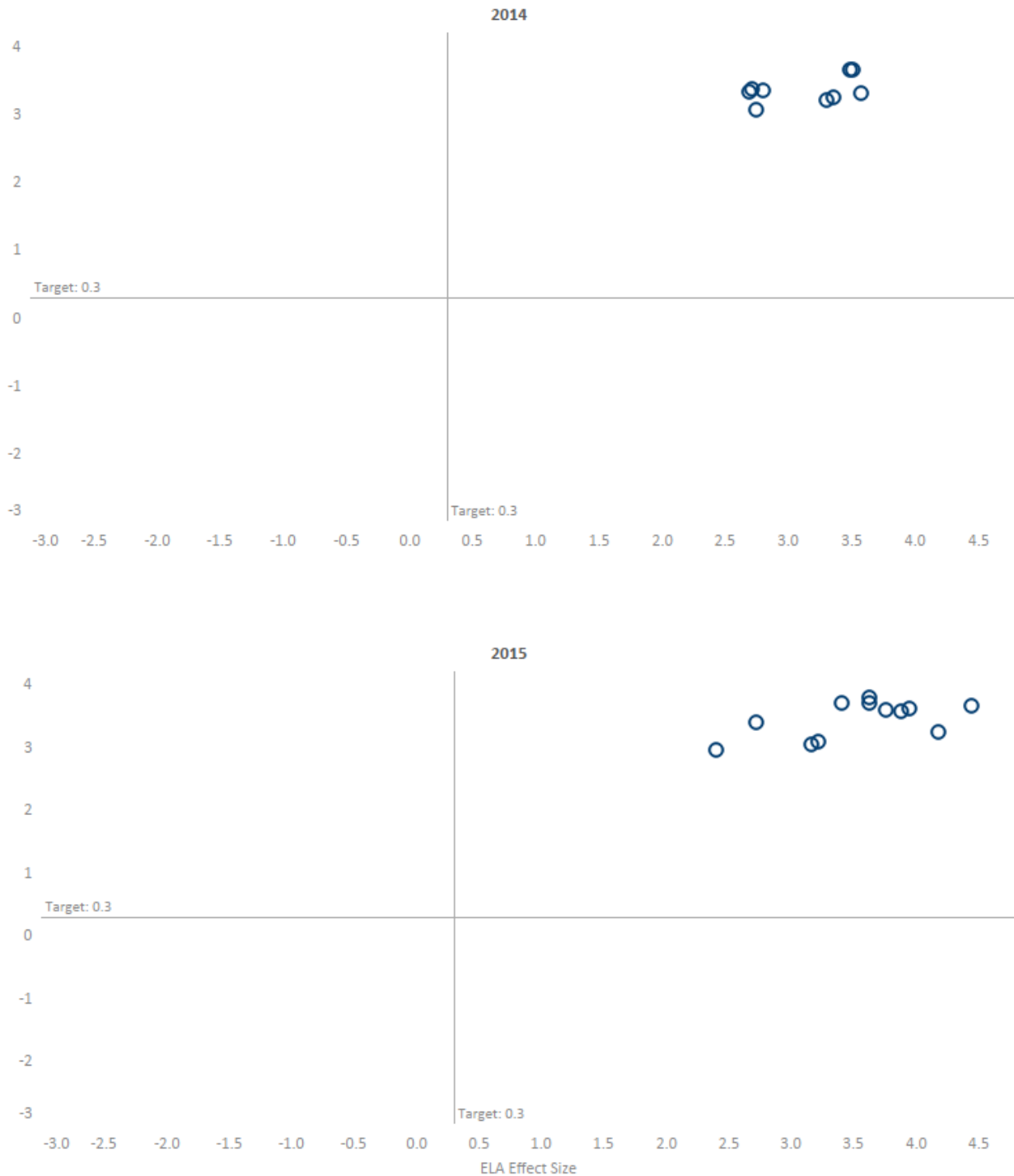
ELA and Math Effect Size Scatter Plots: 2011-12 and 2012-13



The charts compare a school's ELA and math Effect Sizes over each year for which data are available during the charter term. An effect size measures school performance in comparison to other schools statewide enrolling students with similar proportions of economic disadvantage. Schools with an ELA or math effect size that is less than 0 performed lower than expected based on the economic disadvantage statistic. Schools posting an effect size greater than 0 but less than 0.3 perform about the same as the comparison schools. Schools with an ELA or math effect size greater than 0.3 (SUNY's performance target for the measure) outperformed similar schools statewide to a meaningful degree, while schools with effect sizes greater than 0.8 perform higher than expected to a large degree.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

ELA and Math Effect Size Scatter Plots: 2013-14 and 2014-15

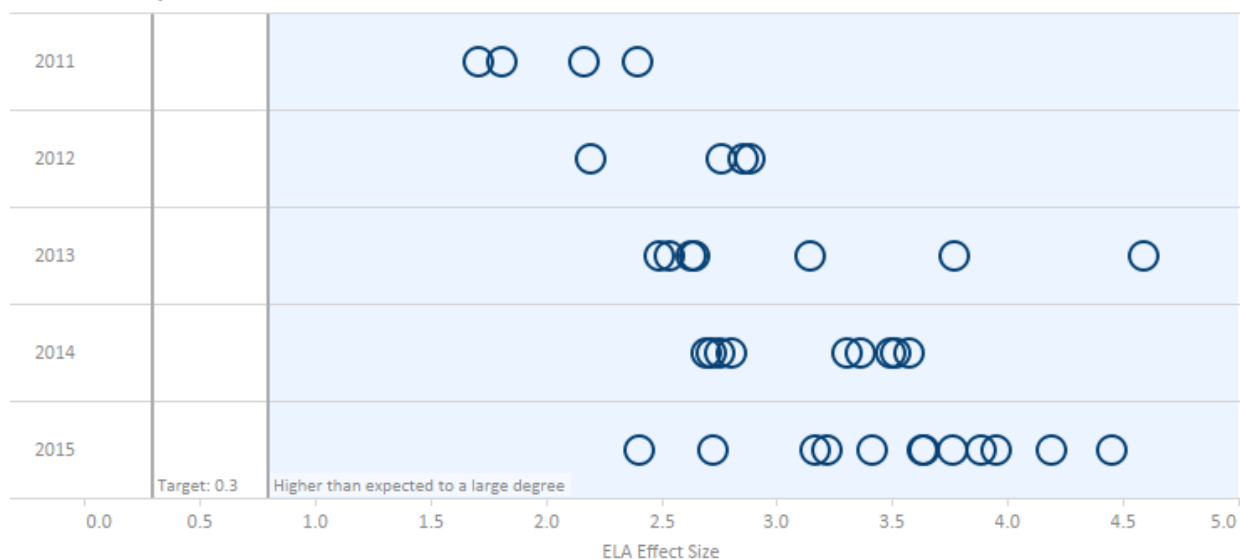


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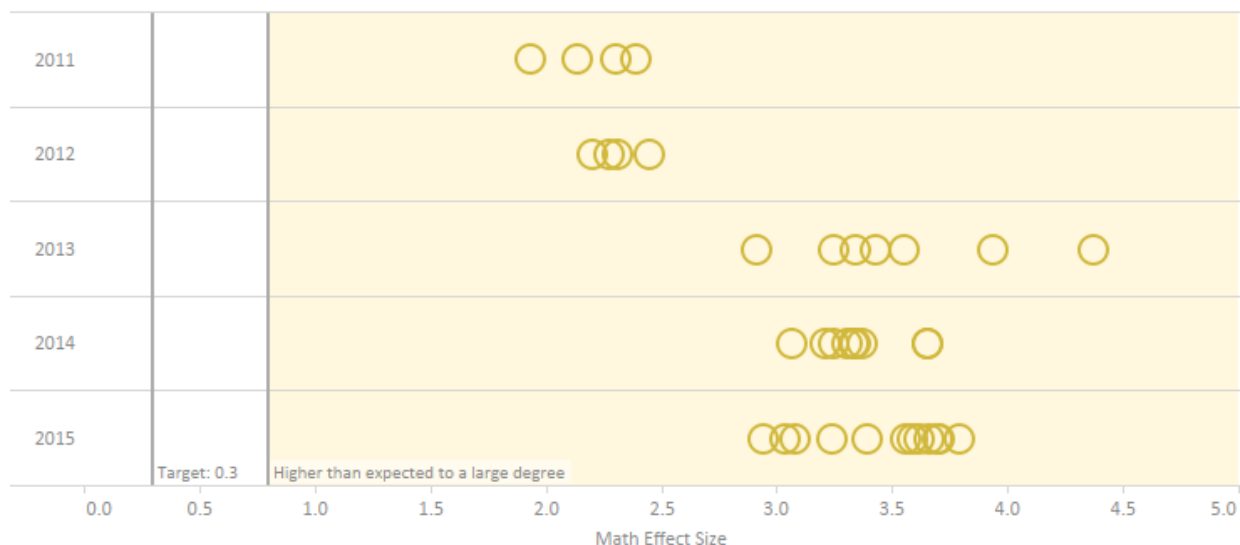
APPENDIX E: EDUCATION CORPORATION OVERVIEW

ELA and Math Effect Size Dot Plots: 2010-11 through 2014-15

ELA Effect Size by Year and School



Math Effect Size by Year and School

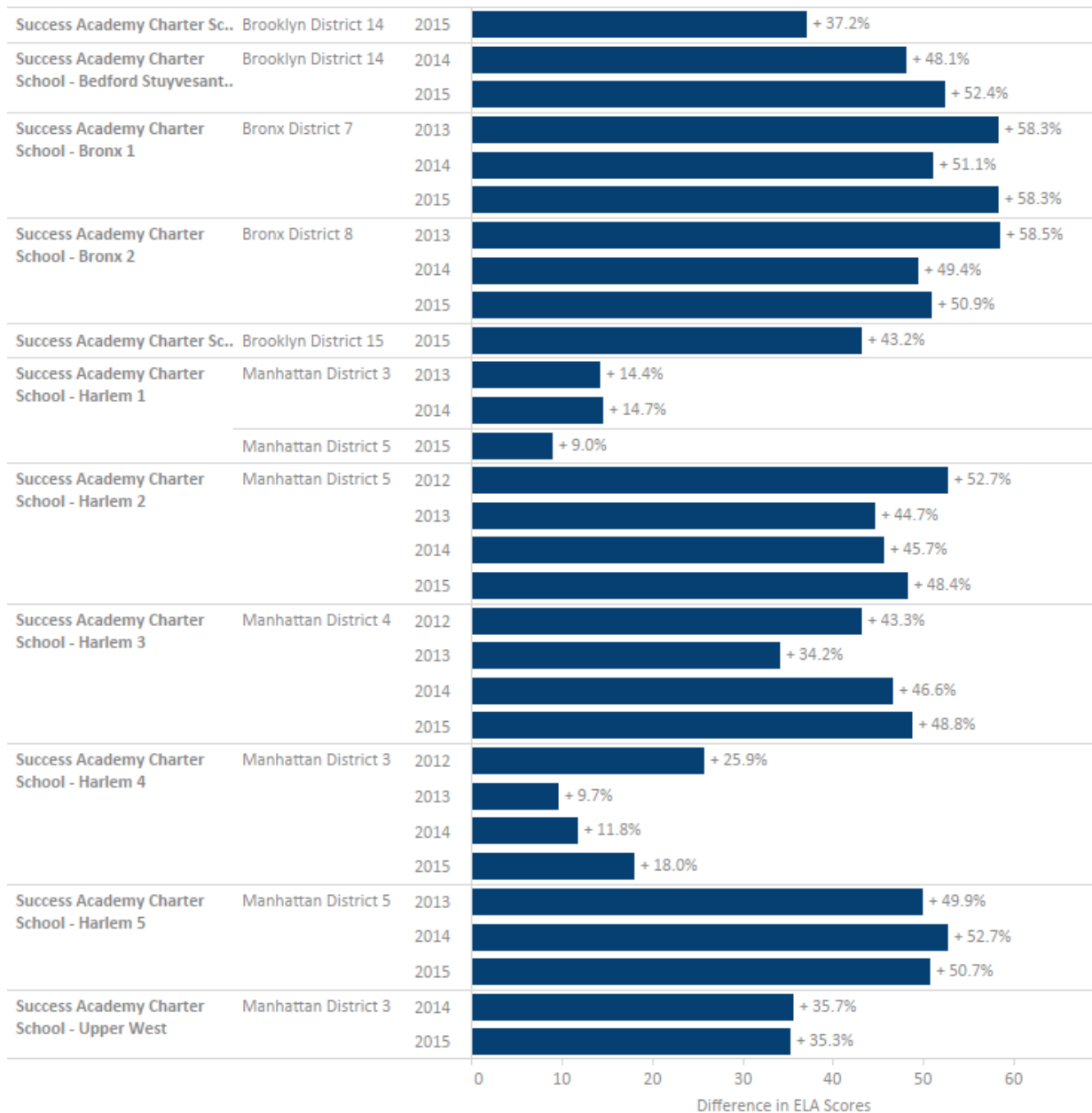


The charts illustrate the comparative Effect Size performance at each school across the ed corp by each year for which data are available throughout the charter term. Schools performing at or above 0.3 are meeting SUNY's benchmark for the measure. Schools performing at or above 0.8 are performing higher than expected to a large degree in comparison to schools enrolling similar levels of economically disadvantaged students.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Difference between schools and district scores: 2010-11 through 2014-15

Difference between ELA School and District Scores

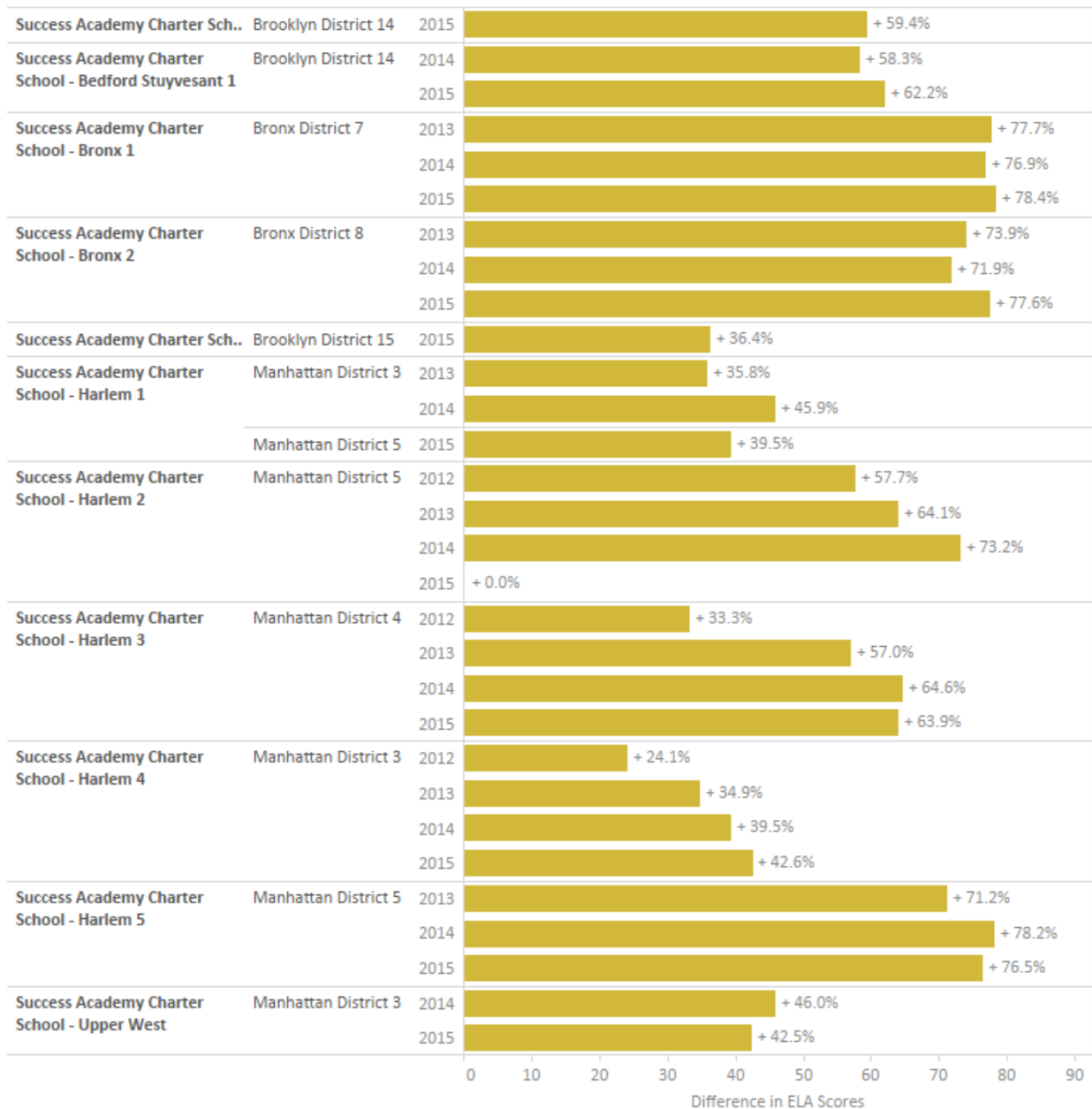


District Difference for each year broken down by school and district. These charts compare a school's performance to that of the district. Each bar represents the difference between the school's performance and the district's. A positive result (showing the bar to the right of zero) indicates the amount by which the school outscored the district. A negative result (with the bar to the left of zero) illustrates the amount by which the school performed lower than the district. A score of zero indicates that the school performed exactly even with the district. School scores reflect the achievement of students enrolled for at least two years per the schools' Accountability Plans.

APPENDIX E: EDUCATION CORPORATION OVERVIEW

Difference between schools and district scores: 2010-11 through 2014-15

Difference between Math School and District Scores



District Difference for each year broken down by school and district. These charts compare a school's performance to that of the district. Each bar represents the difference between the school's performance and the district's. A positive result (showing the bar to the right of zero) indicates the amount by which the school outscored the district. A negative result (with the bar to the left of zero) illustrates the amount by which the school performed lower than the district. A score of zero indicates that the school performed exactly even with the district. School scores reflect the achievement of students enrolled for at least two years per the schools' Accountability Plans.

Appendix F

Education Corporation Fiscal Dashboard

APPENDIX F: EDUCATION CORPORATION FISCAL DASHBOARD



Success Academy Charter Schools - NYC (Merged)

SCHOOL INFORMATION					
BALANCE SHEET					
Assets					
Current Assets					
Cash and Cash Equivalents - GRAPH 1			MERGED	MERGED	MERGED
Grants and Contracts Receivable	2010-11	2011-12	2012-13	2013-14	2014-15
Accounts Receivable	-	-	4,983,066	5,630,445	4,070,877
Prepaid Expenses	-	-	1,860,018	2,921,408	8,283,986
Contributions and Other Receivables	-	-	-	-	-
Total Current Assets - GRAPH 1	-	-	1,710,515	2,823,903	4,090,345
Property, Building and Equipment, net	-	-	-	-	-
Other Assets	-	-	8,553,599	11,375,756	16,445,208
Total Assets - GRAPH 1	-	-	3,985,758	10,153,572	24,818,614
Liabilities and Net Assets	-	-	11,522,347	3,234,700	350,002
Current Liabilities	-	-	24,061,704	24,764,028	41,613,824
Accounts Payable and Accrued Expenses	-	-	308,817	480,918	2,244,130
Accrued Payroll and Benefits	-	-	-	601,603	48,333
Deferred Revenue	-	-	-	-	-
Current Maturities of Long-Term Debt	-	-	-	-	-
Short Term Debt - Bonds, Notes Payable	-	-	-	-	-
Other	-	-	2,153,385	2,915,862	7,805,703
Total Current Liabilities - GRAPH 1	-	-	2,462,202	3,998,383	10,098,166
L-T Debt and Notes Payable, net current maturities	-	-	1,450,000	2,700,000	8,500,000
Total Liabilities - GRAPH 1	-	-	3,912,202	6,698,383	18,598,166
Net Assets	-	-	20,149,502	17,405,645	22,795,658
Unrestricted	-	-	-	660,000	220,000
Temporarily restricted	-	-	20,149,502	18,065,645	23,015,658
Total Net Assets	-	-	24,061,704	24,764,028	41,613,824
Total Liabilities and Net Assets	-	-	24,061,704	24,764,028	41,613,824
ACTIVITIES					
Operating Revenue					
Resident Student Enrollment	-	-	41,017,028	55,929,750	122,210,325
Students with Disabilities	-	-	-	4,375,139	10,728,688
Grants and Contracts	-	-	314,515	-	-
State and local	-	-	3,308,294	1,889,190	4,139,842
Federal - Title and IDEA	-	-	-	2,086,502	4,805,683
Federal - Other	-	-	-	-	-
Other	-	-	-	-	-
Food Service/Child Nutrition Program	-	-	-	-	-
Total Operating Revenue	-	-	44,639,837	64,280,581	141,884,539
Expenses					
Regular Education	-	-	30,095,202	47,634,229	117,611,180
SPED	-	-	7,447,352	6,495,579	16,037,887
Regular Education & SPED (combined)	-	-	-	-	-
Other	-	-	-	-	-
Total Program Services	-	-	37,542,554	54,129,808	133,649,066
Management and General	-	-	8,442,962	13,199,157	10,701,904
Fundraising	-	-	-	-	-
Total Expenses - GRAPHS 2, 3 & 4	-	-	45,985,516	67,328,965	144,350,971
Surplus / (Deficit) From School Operations	-	-	(1,345,679)	(3,048,384)	(2,466,431)
Support and Other Revenue					
Contributions	-	-	270,652	1,137,910	2,548,976
Fundraising	-	-	-	-	-
Miscellaneous Income	-	-	51,690	36,927	7,517
Net assets released from restriction	-	-	-	-	-
Total Support and Other Revenue	-	-	322,342	1,174,837	2,556,493
Total Unrestricted Revenue	-	-	44,962,179	64,795,418	144,881,032
Total Temporarily Restricted Revenue	-	-	-	660,000	(440,000)
Total Revenue - GRAPHS 2 & 3	-	-	44,962,179	65,455,418	144,441,032
Change in Net Assets	-	-	(1,023,337)	(1,873,547)	90,062
Net Assets - Beginning of Year - GRAPH 2	-	-	21,172,839	20,149,500	22,925,414
Prior Year Adjustment(s)	-	-	-	-	-
Net Assets - End of Year - GRAPH 2	-	-	20,149,502	18,275,953	23,015,476

APPENDIX F: EDUCATION CORPORATION FISCAL DASHBOARD



Success Academy Charter Schools - NYC (Merged)

SCHOOL INFORMATION - (Continued)

Functional Expense Breakdown

	2010-11	2011-12	2012-13	2013-14	2014-15
Personnel Service	-	-	-	-	4,871,981
Administrative Staff Personnel	-	-	-	-	64,270,955
Instructional Personnel	-	-	-	-	-
Non-Instructional Personnel	-	-	-	-	-
Personnel Services (Combined)	-	-	23,085,127	32,608,159	-
Total Salaries and Staff	-	-	23,085,127	32,608,159	69,142,936
Fringe Benefits & Payroll Taxes	-	-	5,314,524	6,789,542	13,842,538
Retirement	-	-	-	-	1,438,557
Management Company Fees	-	-	5,632,591	8,389,463	18,323,033
Building and Land Rent / Lease	-	-	-	-	-
Staff Development	-	-	612,312	1,277,601	1,883,087
Professional Fees, Consultant & Purchased Services	-	-	161,247	536,383	1,704,919
Marketing / Recruitment	-	-	2,060,051	1,858,928	3,154,593
Student Supplies, Materials & Services	-	-	3,180,756	5,816,891	11,303,770
Depreciation	-	-	1,753,768	3,247,644	10,154,458
Other	-	-	4,185,140	7,359,695	13,403,079
Total Expenses	-	-	45,985,516	67,884,306	144,350,970

SCHOOL ANALYSIS

ENROLLMENT

	2010-11	2011-12	2012-13	2013-14	2014-15
Chartered Enroll	-	-	4,075	7,088	9,854
Revised Enroll	-	-	-	-	-
Actual Enroll - GRAPH 4	-	-	3,425	6,365	8,715
Chartered Grades	-	-	-	-	-
Revised Grades	-	-	-	-	-

Primary School District: New York City

Per Pupil Funding (Weighted Avg of All Districts)	-	-	13,527	13,527	13,777
Increase over prior year	0.0%	0.0%	100.0%	0.0%	1.8%

PER STUDENT BREAKDOWN

Revenue

Operating	-	-	13,034	10,099	16,280
Other Revenue and Support	-	-	94	185	293
TOTAL - GRAPH 3	-	-	13,128	10,284	16,574

Expenses

Program Services	-	-	10,961	8,504	15,336
Management and General, Fundraising	-	-	2,465	2,074	1,228
TOTAL - GRAPH 3	-	-	13,426	10,578	16,564
% of Program Services	0.0%	0.0%	81.6%	80.4%	92.6%
% of Management and Other	0.0%	0.0%	18.4%	19.6%	7.4%
% of Revenue Exceeding Expenses - GRAPH 5	0.0%	0.0%	-2.2%	-2.8%	0.1%

Student to Faculty Ratio

-	-	-	-	-
---	---	---	---	---

Faculty to Admin Ratio

-	-	-	-	-
---	---	---	---	---

Financial Responsibility Composite Scores - GRAPH 6

Score	0.0	0.0	2.5	1.9	1.6
Fiscally Strong 1.5 - 3.0 / Fiscally Adequate 1.0 - 1.4 / Fiscally Needs Monitoring < 1.0	N/A	N/A	Fiscally Strong	Fiscally Strong	Fiscally Strong

Working Capital - GRAPH 7

Net Working Capital	0	0	6,091,397	7,377,373	6,347,042
As % of Unrestricted Revenue	0.0%	0.0%	13.5%	11.4%	4.4%
Working Capital (Current) Ratio Score	0.0	0.0	3.5	2.8	1.6
Risk (Low ≥ 3.0 / Medium 1.4 - 2.9 / High < 1.4)	N/A	N/A	LOW	MEDIUM	MEDIUM
Rating (Excellent ≥ 3.0 / Good 1.4 - 2.9 / Poor < 1.4)	N/A	N/A	Excellent	Good	Good

Quick (Acid Test) Ratio

Score	0.0	0.0	2.8	2.1	1.2
Risk (Low ≥ 2.5 / Medium 1.0 - 2.4 / High < 1.0)	N/A	N/A	LOW	MEDIUM	MEDIUM
Rating (Excellent ≥ 2.5 / Good 1.0 - 2.4 / Poor < 1.0)	N/A	N/A	Excellent	Good	Good

Debt to Asset Ratio - GRAPH 7

Score	0.0	0.0	0.2	0.3	0.4
Risk (Low < 0.50 / Medium 0.51 - .95 / High > 1.0)	N/A	N/A	LOW	LOW	LOW
Rating (Excellent < 0.50 / Good 0.51 - .95 / Poor > 1.0)	N/A	N/A	Excellent	Excellent	Excellent

Months of Cash - GRAPH 8

Score	0.0	0.0	1.3	1.0	0.3
Risk (Low > 3 mo. / Medium 1 - 3 mo. / High < 1 mo.)	N/A	N/A	MEDIUM	MEDIUM	HIGH
Rating (Excellent > 3 mo. / Good 1 - 3 mo. / Poor < 1 mo.)	N/A	N/A	Good	Good	Poor

Average -
5 Yrs.
OR Charter
Term

13,138

191

13,328

11,600

1,922

13,523

84.9%

15.1%

-1.4%

2.0

Fiscally Strong

6,605,271

9.8%

2.6

MEDIUM

Good

2.0

MEDIUM

Good

0.3

LOW

Excellent

0.9

HIGH

Poor

APPENDIX F: EDUCATION CORPORATION FISCAL DASHBOARD

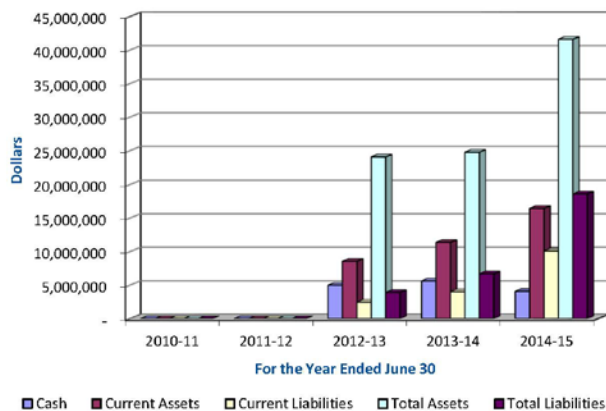


Charter Schools Institute
The State University of New York

Success Academy Charter Schools - NYC (Merged)

GRAPH 1

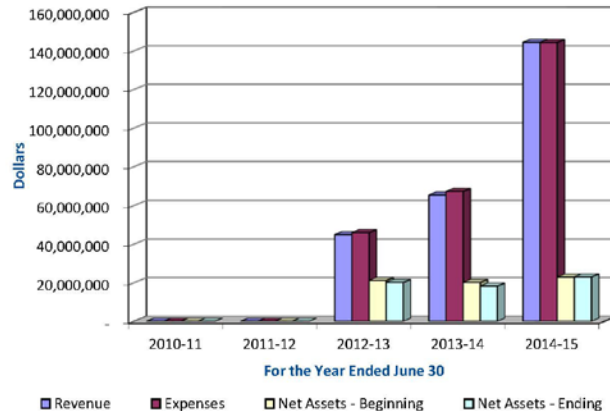
Cash, Assets and Liabilities



This chart illustrates the relationship between assets and liabilities and to what extent cash reserves makes up current assets. Ideally for each subset, subsets 2 thru 4, (i.e. current assets vs. current liabilities), the column on the left is taller than the immediate column on the right; and, generally speaking, the bigger that gap, the better.

GRAPH 2

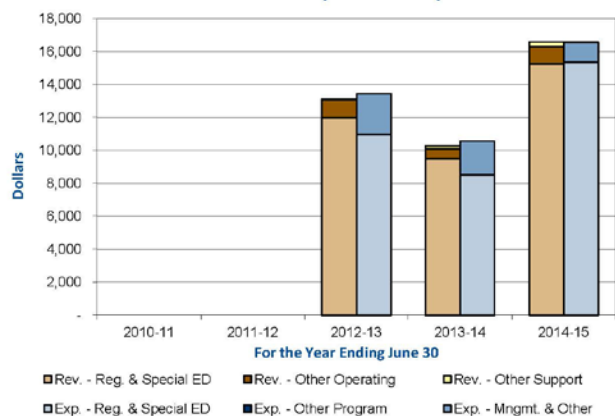
Revenue, Expenses and Net Assets



This chart illustrates total revenue and expenses each year and the relationship those subsets have on the increase/decrease of net assets on a year-to-year basis. Ideally subset 1, revenue, will be taller than subset 2, expenses, and as a result subset 3, net assets - beginning, will increase each year building a more fiscally viable school.

GRAPH 3

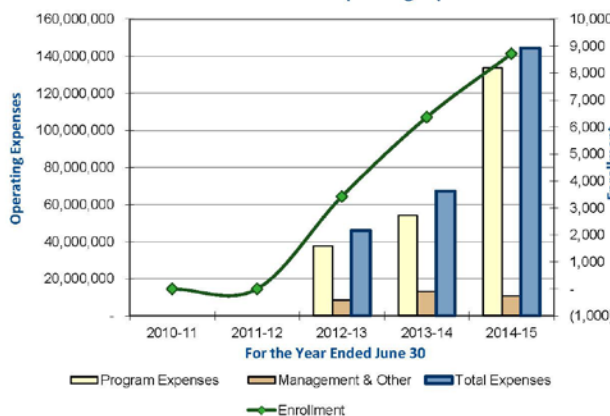
Revenue & Expenses Per Pupil



This chart illustrates the breakdown of revenue and expenses on a per pupil basis. Caution should be exercised in making school-by-school comparisons since schools serving different missions or student populations are likely to have substantially different educational cost bases. Comparisons with similar schools with similar dynamics are most valid.

GRAPH 4

Enrollment vs. Operating Expenses



This chart illustrates to what extent the school's operating expenses have followed its student enrollment pattern. A baseline assumption that this data tests is that operating expenses increase with each additional student served. This chart also compares and contrasts growth trends of both, giving insight into what a reasonable expectation might be in terms of economies of scale.

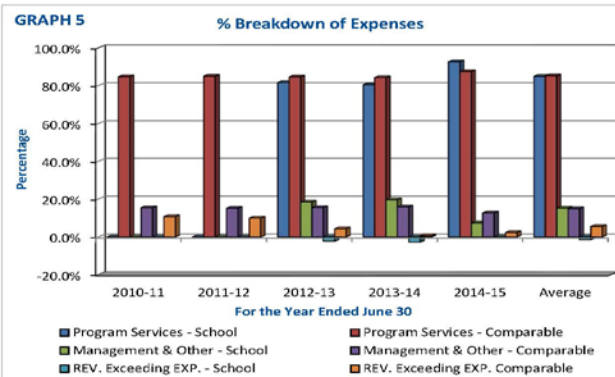
APPENDIX F: EDUCATION CORPORATION FISCAL DASHBOARD



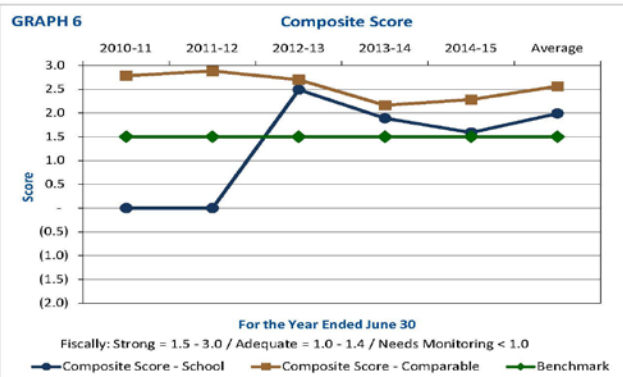
Success Academy Charter Schools - NYC (Merged)

Comparable School, Region or Network: New York City & Long Island Schools

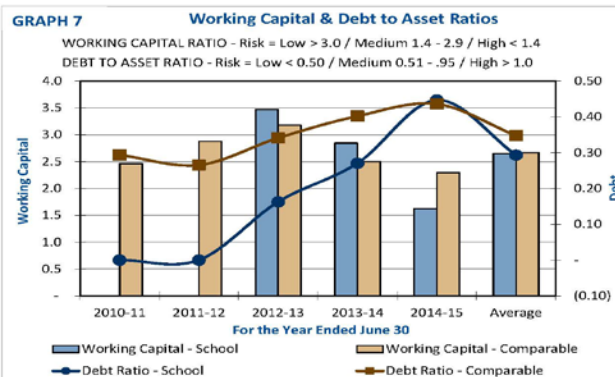
* Average = Average - 5 Yrs. OR Charter Term



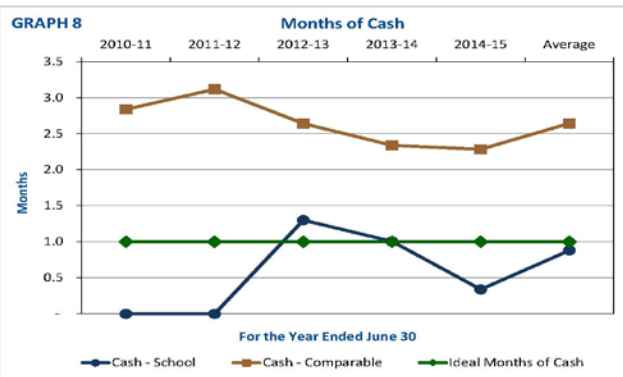
This chart illustrates the percentage expense breakdown between program services and management & others as well as the percentage of revenues exceeding expenses. Ideally the percentage expense for program services will far exceed that of the management & other expense. The percentage of revenues exceeding expenses should not be negative. Similar caution, as mentioned on GRAPH 3, should be used in comparing schools.



This chart illustrates a school's composite score based on the methodology developed by the United States Department of Education (USDOE) to determine whether private not-for-profit colleges and universities are financially strong enough to participate in federal loan programs. These scores can be valid for observing the fiscal trends of a particular school and used as a tool to compare the results of different schools.



This chart illustrates Working Capital and Debt to Asset Ratios. The Working Capital ratio indicates if a school has enough short-term assets to cover its immediate liabilities/short term debt. The Debt to Asset ratio indicates what proportion of debt a school has relative to its assets. The measure gives an idea to the leverage of the school along with the potential risks the school faces in terms of its debt-load.



This chart illustrates how many months of cash the school has in reserves. This metric is to measure solvency – the school's ability to pay debts and claims as they come due. This gives some idea of how long a school could continue its ongoing operating costs without tapping into some other, non-cash form of financing in the event that revenues were to cease flowing to the school.