

# I. SCHOOL INFORMATION AND COVER PAGE

Created Thursday, July 24, 2014

Updated Thursday, July 31, 2014

---

## Page 1

### 1. SCHOOL NAME

(Select School name from dropdown menu; BEDS # appears first)

310300861008 UPPER WEST SUCCESS ACAD CS

### 2. CHARTER AUTHORIZER

SUNY-Authorized Charter School

### 3. DISTRICT / CSD OF LOCATION

NYC CSD 3

### 4. SCHOOL INFORMATION

PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
145 W. 84th Street, 2nd Floor New York, NY 10024	646-274-1581	646-478-9353	SAUW.MainOffice@saschools.org

### 4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

Contact Name	Kurt Wilhelm
Title	Associate Director of Operations
Emergency Phone Number (###-###-####)	

### 5. SCHOOL WEB ADDRESS (URL)

www.successacademies.org

### 6. DATE OF INITIAL CHARTER

2010-11-01 00:00:00

### 7. DATE FIRST OPENED FOR INSTRUCTION

2011-08-01 00:00:00

### 8. TOTAL NUMBER OF STUDENTS ENROLLED IN 2013-14 (as reported on BEDS Day)

(as reported on BEDS Day)

## 9. GRADES SERVED IN SCHOOL YEAR 2013-14


Check all that apply

• K
• 1
• 2
• 3

## 10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

Yes/No	Name of CMO/EMO
Yes	Success Academy Charter Schools

10a. Please provide the name and contact information for each of the following individuals who are management level personnel associated with the CMO.

	Name	Work Phone	Alternate Phone	Email Address	Contact this individual also in emergencies
CEO (e.g., network superintendent)	Eva Moskowitz			info@successacademies.org	Yes
CFO (e.g., network CFO)	Dennis McIntosh			info@successacademies.org	Yes
Compliance Contact	Emily Kim			emily.kim@successacademies.org	Yes
Complaint Contact	Emily Kim			emily.kim@successacademies.org	Yes

## 11. FACILITIES

Will the School maintain or operate multiple sites?

No, just one site.

## 12. SCHOOL SITES

Please list the sites where the school will operate in 2014-15.

	Physical Address	Phone Number	District/CSD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	145 W. 84th Street, 2nd Floor New York, NY 10024	646-274-1581	CSD 3	K-4	No	DOE space

12a. Please provide the contact information for Site 1 (same as the primary site).

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Jennifer Haynes			SAUW.MainOffice@saschools.org
Operational Leader	Bridget Guerra			SAUW.MainOffice@saschools.org

14. Were there any revisions to the school's charter during the 2013-2014 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

Yes

### 15. Summary of Charter Revisions

	Category (Select Best Description)	Specific Revision (150 word limit)	Date Approved by BOT (if applicable)	Date Approved by Authorizer (if applicable)
1	Other	An amendment to merge the school with Success Academy NYC, Success Academy Bronx 1, Success Academy Bronx 2, Success Academy Bed-Stuy 1, Success Academy Bed-Stuy 2, Success Academy Cobble Hill, and Success Academy Williamsburg, with Success Academy NYC as sole successor, was finalized. The merger became effective on July 1, 2014.	09/2013	02/2014
2	Change in admissions/enrollment policy	An amendment was made to the school's admissions policy pursuant to U.S. Department of Education guidance to remove the school's lottery preference for English Language Learners.	06/2013	09/2013

16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check YES if you agree and use the mouse on your PC or the stylus on your mobile device to sign your name).

• Yes

Signature, Head of Charter School

JH

Signature, President of the Board of Trustees

AF

Thank you.

# Appendix A: Link to the New York State School Report Card

Created Thursday, July 31, 2014

Updated Friday, August 01, 2014

---

## Page 1

Charter School Name: 310300861008 UPPER WEST SUCCESS ACAD CS

### 1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<https://reportcards.nysed.gov/schools.php?year=2012&instid=800000070184>

# Appendix B: Total Expenditures and Administrative Expenditures per Child

Created Friday, July 25, 2014

Updated Friday, August 01, 2014

---

## Page 1

Charter School Name: 310300861008 UPPER WEST SUCCESS ACAD CS

### B. Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

#### 1. Total Expenditures Per Child

To calculate 'Total Expenditures per Child' take total expenditures (from the unaudited 2013-14 Schedule of Functional Expenses) and divide by the count of students you reported on of BEDS Day. (Integers Only. No dollar signs or commas).

1. Total Expenditures Per Child   Line 1: Total Expenditures	5354310
1. Total Expenditures Per Child   Line 2: BEDS Day Pupil Count	399
1. Total Expenditures Per Child   Line 3: Divide Line 1 by Line 2	13419

#### 2. Administrative Expenditures per Child

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2013-14 Schedule of Functional Expenses) and divide by the BEDS per pupil count. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officers, the treasurer, the finance or business offices, the purchasing unit, the employee personnel offices, the records management offices, or a public information and services offices. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation.

Please note the following:

Do not include the FTE of personnel dedicated to administration of the instructional programs.

Do not include Employee Benefit costs or expenditures in the above calculations.

A template for the Schedule of Functional Expenses is provided on page 21 of the 2012 Annual Report Guidelines to assist schools identify the categories of expenses needed to compute the two per pupil calculations. This template does not need to be completed or submitted on August 1st as it will be submitted November 1st as part of the audited financial statements. Therefore schools should use unaudited amounts for these per pupil calculations. (See the 2013-14 Annual Report Guidelines in "Resources" area of your portal task page).

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 1: Relevant Personnel Services Cost (Row)	126244
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 2: Management and General Cost (Column)	744103
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 3: Sum of Line 1 and Line 2	870347
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 4: BEDS Day Pupil Count	399
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 5: Divide Line 3 by the BEDS Day Pupil Count	2181

Thank you.



**Budget and Quarterly Report Template**  
*for SUNY Authorized Charter Schools*

Success Academy - Upper West

Contact Name: Scott Sobelman  
Contact Email: [Scott.Sobelman@successacademies.org](mailto:Scott.Sobelman@successacademies.org)  
Contact Phone: 646.287.2905

Prior Year: 2013-14  
Current Year: 2014-15



**Success Academy - Upper West**  
**Budget / Operating Plan**  
**2014-15**

Total Revenue	-	1,884,688	-	-	1,884,688	-	-	1,884,688	-	-	1,884,688	-	-
Total Expenses	-	1,716,064	-	-	1,716,064	-	-	1,716,064	-	-	1,716,064	-	-
Net Income	-	(20,488)	-	-	(20,488)	-	-	(20,488)	-	-	(20,488)	-	-
Actual Student Enrollment	-	444	-	-	444	-	-	444	-	-	444	-	-
Total Paid Student Enrollment	-	444	-	-	444	-	-	444	-	-	444	-	-
	Prior Year Actual 2013-14	Original	Current	Variance	Original	Current	Variance	Original	Current	Variance	Original	Current	Variance
<b>REVENUE</b>													
* If there are NO budget revisions at the time of quarterly submittal leave 'CURRENT' Column(s) COMPLETELY BLANK. IF Current Column(s) are left blank the Original Budget numbers for that particular quarter will flow to the TY Current Budget AND to the Quarterly Tab. IF Current Budget column is utilized, the ORANGE CELLS MUST be filled in first for the entire column to register. If utilizing the CURRENT BUDGET column the entire column should be completed.													
<b>REVENUES FROM STATE SOURCES</b>													
Per Pupil Revenue													
New York City	13,777	1,529,247	-	-	1,529,247	-	-	1,529,247	-	-	1,529,247	-	-
School District 2 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 3 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 4 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 5 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 6 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 7 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 8 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 9 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 10 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 11 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 12 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 13 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 14 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District 15 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
School District - ALL OTHER	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL Per Pupil Revenue (Average Districts Per Pupil Funding)	13,777	1,529,247	-	-	1,529,247	-	-	1,529,247	-	-	1,529,247	-	-
Special Education Revenue		140,892	-	-	140,892	-	-	140,892	-	-	140,892	-	-
Grants			-	-		-	-		-	-		-	-
Stimulus			-	-		-	-		-	-		-	-
DYCD (Department of Youth and Community Development)			-	-		-	-		-	-		-	-
Other			-	-		-	-		-	-		-	-
TOTAL REVENUE FROM STATE SOURCES		1,670,139	-	-	1,670,139	-	-	1,670,139	-	-	1,670,139	-	-
<b>REVENUE FROM FEDERAL FUNDING</b>													
IDEA Special Needs		10,595	-	-	10,595	-	-	10,595	-	-	10,595	-	-
Title I		11,526	-	-	11,526	-	-	11,526	-	-	11,526	-	-
Title Funding - Other		2,306	-	-	2,306	-	-	2,306	-	-	2,306	-	-
School Food Service (Free Lunch)			-	-		-	-		-	-		-	-
Grants			-	-		-	-		-	-		-	-
Charter School Program (CSP) Planning & Implementation			-	-		-	-		-	-		-	-
Other			-	-		-	-		-	-		-	-
TOTAL REVENUE FROM FEDERAL SOURCES		24,427	-	-	24,427	-	-	24,427	-	-	24,427	-	-
<b>LOCAL and OTHER REVENUE</b>													
Contributions and Donations			-	-		-	-		-	-		-	-
Fundraising			-	-		-	-		-	-		-	-
Estate Reimbursement			-	-		-	-		-	-		-	-
Earnings on Investments			-	-		-	-		-	-		-	-
Interest Income			-	-		-	-		-	-		-	-
Food Service (Income from meals)			-	-		-	-		-	-		-	-
Tuition Book			-	-		-	-		-	-		-	-
OTHER			-	-		-	-		-	-		-	-
TOTAL REVENUE FROM LOCAL and OTHER SOURCES			-	-		-	-		-	-		-	-
<b>TOTAL REVENUE</b>		1,694,566	-	-	1,694,566	-	-	1,694,566	-	-	1,694,566	-	-

C8: This figure should be a blended rate of all districts under OTHER

**Success Academy - Upper West**  
**Budget / Operating Plan**  
**2014-15**

6	Total Revenue	-	1,884,688	-	-	1,884,688	-	-	1,884,688	-	-	1,884,688	-	-
7	Total Expenses	-	1,716,064	-	-	1,716,064	-	-	1,716,064	-	-	1,716,064	-	-
8	Net Income	-	(20,488)	-	-	(20,488)	-	-	(20,488)	-	-	(20,488)	-	-
9	Actual Student Enrollment	-	444	-	-	444	-	-	444	-	-	444	-	-
10	Total Paid Student Enrollment	-	444	-	-	444	-	-	444	-	-	444	-	-
11														
12		Prior Year Actual	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter - 1/1 - 3/31			4th Quarter - 4/1 - 6/30		
13		2013-14	Original	Current	Variance	Original	Current	Variance	Original	Current	Variance	Original	Current	Variance
14														
15	<b>EXPENSES</b>													
16	<b>ADMINISTRATIVE STAFF PERSONNEL COSTS</b>	No. of Positions												
17	Executive Management	-	-	-	-	-	-	-	-	-	-	-	-	-
18	Instructional Management	-	\$73,643.08	-	-	\$73,643.08	-	-	\$73,643.08	-	-	\$73,643.08	-	-
19	Deans, Directors & Coordinators	-	\$59,450.05	-	-	\$59,450.05	-	-	\$59,450.05	-	-	\$59,450.05	-	-
20	CFO / Director of Finance	-	-	-	-	-	-	-	-	-	-	-	-	-
21	Operation / Business Manager	-	\$31,599.57	-	-	\$31,599.57	-	-	\$31,599.57	-	-	\$31,599.57	-	-
22	Administrative Staff	-	\$11,267.00	-	-	\$11,267.00	-	-	\$11,267.00	-	-	\$11,267.00	-	-
23	TOTAL ADMINISTRATIVE STAFF	-	175,940	-	-	175,940	-	-	175,940	-	-	175,940	-	-
24														
25	<b>INSTRUCTIONAL PERSONNEL COSTS</b>													
26	Teachers - Regular	-	\$284,530.07	-	-	\$284,530.07	-	-	\$284,530.07	-	-	\$284,530.07	-	-
27	Teachers - SPED	-	99,619	-	-	99,619	-	-	99,619	-	-	99,619	-	-
28	Substitute Teachers	-	-	-	-	-	-	-	-	-	-	-	-	-
29	Teaching Assistants	-	133,897	-	-	133,897	-	-	133,897	-	-	133,897	-	-
30	Specialty Teachers	-	96,272	-	-	96,272	-	-	96,272	-	-	96,272	-	-
31	Aides	-	21,423	-	-	21,423	-	-	21,423	-	-	21,423	-	-
32	Therapists & Counselors	-	-	-	-	-	-	-	-	-	-	-	-	-
33	Other	-	1,285	-	-	1,285	-	-	1,285	-	-	1,285	-	-
34	TOTAL INSTRUCTIONAL	-	637,026	-	-	637,026	-	-	637,026	-	-	637,026	-	-
35														
36	<b>NON-INSTRUCTIONAL PERSONNEL COSTS</b>													
37	None	-	-	-	-	-	-	-	-	-	-	-	-	-
38	Librarian	-	-	-	-	-	-	-	-	-	-	-	-	-
39	Custodian	-	-	-	-	-	-	-	-	-	-	-	-	-
40	Security	-	-	-	-	-	-	-	-	-	-	-	-	-
41	Other	-	-	-	-	-	-	-	-	-	-	-	-	-
42	TOTAL NON-INSTRUCTIONAL	-	-	-	-	-	-	-	-	-	-	-	-	-
43														
44	<b>SUBTOTAL PERSONNEL SERVICE COSTS</b>	-	812,966	-	-	812,966	-	-	812,966	-	-	812,966	-	-
45														
46	<b>PAYROLL TAXES AND BENEFITS</b>													
47	Payroll Taxes	-	\$91,244.76	-	-	\$91,244.76	-	-	\$91,244.76	-	-	\$91,244.76	-	-
48	Fringe / Employee Benefits	-	\$91,720.29	-	-	\$91,720.29	-	-	\$91,720.29	-	-	\$91,720.29	-	-
49	Retirement / Pension	-	\$16,259.32	-	-	\$16,259.32	-	-	\$16,259.32	-	-	\$16,259.32	-	-
50	TOTAL PAYROLL TAXES AND BENEFITS	-	199,224	-	-	199,224	-	-	199,224	-	-	199,224	-	-
51														
52	<b>TOTAL PERSONNEL SERVICE COSTS</b>	-	1,012,190	-	-	1,012,190	-	-	1,012,190	-	-	1,012,190	-	-
53														
54	<b>CONTRACTED SERVICES</b>													
55	Accounting / Audit	-	\$241.94	-	-	\$241.94	-	-	\$241.94	-	-	\$241.94	-	-
56	Legal	-	\$640.79	-	-	\$640.79	-	-	\$640.79	-	-	\$640.79	-	-
57	Management Company Fee	-	\$229,387.05	-	-	\$229,387.05	-	-	\$229,387.05	-	-	\$229,387.05	-	-
58	Nurse Services	-	-	-	-	-	-	-	-	-	-	-	-	-
59	Food Service / School Lunch	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-
60	Payroll Services	-	\$3,000.00	-	-	\$3,000.00	-	-	\$3,000.00	-	-	\$3,000.00	-	-
61	Special Ed Services	-	-	-	-	-	-	-	-	-	-	-	-	-
62	Therment Services (i.e. Title I)	-	-	-	-	-	-	-	-	-	-	-	-	-
63	Other Purchased / Professional / Consulting	-	\$2,455.92	-	-	\$2,455.92	-	-	\$2,455.92	-	-	\$2,455.92	-	-
64	TOTAL CONTRACTED SERVICES	-	235,725	-	-	235,725	-	-	235,725	-	-	235,725	-	-
65														
66	<b>SCHOOL OPERATIONS</b>													
67	Board Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-
68	Classroom / Teaching Supplies & Materials	-	\$48,904.34	-	-	\$48,904.34	-	-	\$48,904.34	-	-	\$48,904.34	-	-
69	Special Ed Supplies & Materials	-	\$7,307.54	-	-	\$7,307.54	-	-	\$7,307.54	-	-	\$7,307.54	-	-
70	Textbooks / Workbooks	-	\$33,339.45	-	-	\$33,339.45	-	-	\$33,339.45	-	-	\$33,339.45	-	-
71	Supplies & Materials other	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-
72	Equipment / Furniture	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-
73	Telephone	-	\$4,755.00	-	-	\$4,755.00	-	-	\$4,755.00	-	-	\$4,755.00	-	-
74	Technology	-	\$40,842.11	-	-	\$40,842.11	-	-	\$40,842.11	-	-	\$40,842.11	-	-
75	Student Testing & Assessment	-	\$5,685.72	-	-	\$5,685.72	-	-	\$5,685.72	-	-	\$5,685.72	-	-
76	Field Trips	-	\$16,736.88	-	-	\$16,736.88	-	-	\$16,736.88	-	-	\$16,736.88	-	-
77	Transportation (student)	-	\$4,593.95	-	-	\$4,593.95	-	-	\$4,593.95	-	-	\$4,593.95	-	-
78	Student Services - other	-	\$21,755.04	-	-	\$21,755.04	-	-	\$21,755.04	-	-	\$21,755.04	-	-
79	Office Expense	-	\$30,330.75	-	-	\$30,330.75	-	-	\$30,330.75	-	-	\$30,330.75	-	-
80	Staff Development	-	\$30,707.23	-	-	\$30,707.23	-	-	\$30,707.23	-	-	\$30,707.23	-	-
81	Staff Recruitment	-	\$10,515.88	-	-	\$10,515.88	-	-	\$10,515.88	-	-	\$10,515.88	-	-
82	Student Recruitment / Marketing	-	\$18,929.25	-	-	\$18,929.25	-	-	\$18,929.25	-	-	\$18,929.25	-	-
83	School Meals / Lunch	-	\$79,966.10	-	-	\$79,966.10	-	-	\$79,966.10	-	-	\$79,966.10	-	-
84	Travel (Staff)	-	\$3,907.67	-	-	\$3,907.67	-	-	\$3,907.67	-	-	\$3,907.67	-	-
85	Fundraising	-	-	-	-	-	-	-	-	-	-	-	-	-
86	Other	-	\$23,948.85	-	-	\$23,948.85	-	-	\$23,948.85	-	-	\$23,948.85	-	-
87	TOTAL SCHOOL OPERATIONS	-	382,225	-	-	382,225	-	-	382,225	-	-	382,225	-	-
88														
89	<b>FACILITY OPERATION &amp; MAINTENANCE</b>													
90	Insurance	-	\$9,677.42	-	-	\$9,677.42	-	-	\$9,677.42	-	-	\$9,677.42	-	-
91	Janitorial	-	-	-	-	-	-	-	-	-	-	-	-	-
92	Building and Land Rent / Lease	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-
93	Repairs & Maintenance	-	\$6,591.18	-	-	\$6,591.18	-	-	\$6,591.18	-	-	\$6,591.18	-	-
94	Equipment / Furniture	-	\$16,743.75	-	-	\$16,743.75	-	-	\$16,743.75	-	-	\$16,743.75	-	-
95	Security	-	-	-	-	-	-	-	-	-	-	-	-	-
96	Utilities	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-	\$0.00	-	-
97	TOTAL FACILITY OPERATION & MAINTENANCE	-	33,012	-	-	33,012	-	-	33,012	-	-	33,012	-	-
98														
99	<b>DEPRECIATION &amp; AMORTIZATION</b>	-	\$51,901.11	-	-	\$51,901.11	-	-	\$51,901.11	-	-	\$51,901.11	-	-
100	<b>RESERVES / CONTINGENCY</b>	-	-	-	-	-	-	-	-	-	-	-	-	-
101														
102	<b>TOTAL EXPENSES</b>	-	1,716,064	-	-	1,716,064	-	-	1,716,064	-	-	1,716,064	-	-
103														
104	<b>NET INCOME</b>	-	(20,488)	-	-	(20,488)	-	-	(20,488)	-	-	(20,488)	-	-

**Success Academy - Upper West  
Budget / Operating Plan  
2014-15**

6	Total Revenue	-	1,884,688	-	-	1,884,688	-	-	1,884,688	-	-	1,884,688	-	-
7	Total Expenses	-	1,716,064	-	-	1,716,064	-	-	1,716,064	-	-	1,716,064	-	-
8	Net Income	-	(20,488)	-	-	(20,488)	-	-	(20,488)	-	-	(20,488)	-	-
9	Actual Student Enrollment	-	444	-	-	444	-	-	444	-	-	444	-	-
10	Total Paid Student Enrollment	-	444	-	-	444	-	-	444	-	-	444	-	-
11														
12		Prior Year Actual	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter - 1/1 - 3/31			4th Quarter - 4/1 - 6/30		
13		2013-14	Original	Current	Variance	Original	Current	Variance	Original	Current	Variance	Original	Current	Variance
14														
150	<b>ENROLLMENT - *School Districts Are Linked To Above Entries*</b>													
161	New York City	-	461	-	-	461	-	-	461	-	-	461	-	-
162	School District 2 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
163	School District 3 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
164	School District 4 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
165	School District 5 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
166	School District 6 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
167	School District 7 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
168	School District 8 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
169	School District 9 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
170	School District 10 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
171	School District 11 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
172	School District 12 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
173	School District 13 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
174	School District 14 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
175	School District 15 (Enter Name)	-	-	-	-	-	-	-	-	-	-	-	-	-
176	School District - ALL OTHER	-	-	-	-	-	-	-	-	-	-	-	-	-
177	TOTAL ENROLLMENT	-	461	-	-	461	-	-	461	-	-	461	-	-
178	REVENUE PER PUPIL	-	3,673	-	-	3,673	-	-	3,673	-	-	3,673	-	-
180														
181	EXPENSES PER PUPIL	-	3,718	-	-	3,718	-	-	3,718	-	-	3,718	-	-



Success Academy - Upper West Budget / Operating Plan 2014-15						DESCRIPTION OF ASSUMPTIONS
6	Total Revenue	6,778,284	6,778,284	-	6,778,284	6,778,284
7	Total Expenses	6,880,218	6,880,218	-	(6,880,218)	(6,880,218)
8	Net Income	(81,961)	(81,961)	-	(81,961)	(81,961)
9	Actual Student Enrollment					
10	Total Paid Student Enrollment					
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						
21						
22						
23						
24						
25						
26						
27						
28						
29						
30						
31						
32						
33						
34						
35						
36						
37						
38						
39						
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
56						
57						
58						
59						
60						
61						
62						
63						
64						
65						
66						
67						
68						
69						
70						
71						
72						
73						
74						
75						
76						
77						
78						
79						
80						
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						
101						
102						
103						
104						
105						
106						
107						
108						
109						
110						
111						
112						
113						
114						
115						
116						
117						
118						
119						
120						
121						
122						
123						
124						
125						
126						
127						
128						
129						
130						
131						
132						
133						
134						
135						
136						
137						
138						
139						
140						
141						
142						
143						
144						
145						
146						
147						
148						
149						
150						
151						
152						
153						
154						
155						
156						
157						
158						



# Appendix E: Disclosure of Financial Interest Form

Created Monday, July 28, 2014  
Updated Thursday, July 31, 2014

---

## Page 1

310300861008 UPPER WEST SUCCESS ACAD CS

An Appendix E: Disclosure of Financial Interest Form must be completed for each active Trustee who served on the charter school's Board of Trustees during the 2013-14 school year. Trustees are at times difficult to track down in the summer months. Trustees may complete and submit at their leisure (but before the deadline) their individual form at:

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/>. Trustees may download and/or email their forms to you upon completion.

Trustees who are technologically advanced may complete the survey using their smartphones or other mobile devices by downloading the this bar code link to the survey <https://fluidsurveys.com/account/surveys/540612/publish/qrcode/>. (Make sure you have the bar code application reader on your phone).

If a Trustee is unable to complete the form by the deadline (i.e, out of the country), the school is responsible for submitting the information required on the form for that individual trustee.

Just send the links via email today to your Trustees requesting that they each complete their form as soon as possible.  
Thank you.

Yes, each member of the school's Board of Trustees has received a link to the Disclosure of Financial Interest Form.

Yes

Thank you.

# Appendix F: BOT Membership Table

Created Tuesday, July 29, 2014

Updated Friday, August 01, 2014

## Page 1

310300861008 UPPER WEST SUCCESS ACAD CS

### 1. Current Board Member Information

	Full Name of Individual Trustees	Position on Board (Officer or Rep).	Voting Member	Area of Expertise &/or Additional Role	Terms Served & Length (include date of election and expiration)	Committee affiliations
1	Andrew Feldstein	Chair/President	Yes		Date appointed: 2/28/11 Terms served: 1	
2	Jarrett Posner	Treasurer	Yes		Date appointed: 2/28/11 Terms served: 1	
3	Scott Gaynor		Yes		Date appointed: 2/28/11 Terms served: 1	
4	Elena Dillon		Yes		Date appointed: 2/28/11 Terms served: 1	
5	Orlando Muyschondt		Yes		Date appointed: 2/28/11 Terms served: 1	
6	Lisa Lippman		Yes		Date appointed: 5/16/11 Terms served: 1	
7	Lorenzo Smith		Yes		Date appointed: 2/28/11 Terms served: 1	
8	Andrew Stone		Yes		Date appointed: 5/16/11 Terms served: 1	
9	Mark Zurack		Yes		Date appointed: 5/16/11 Terms served: 1	
10	Andrew Glaze		Yes		Date appointed: 2/28/11 Terms served: 1	
11	Jose Santiago		No		Date appointed: 11/14/12 Terms served: 1	

### 2. Total Number of Members Joining Board during the 2013-14 school year

(No response)

### 3. Total Number of Members Departing the Board during the 2013-14 school year

(No response)

### 4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

Maximum in accordance with New York law



5. How many times did the Board meet during the 2013-14 school year?

Monthly

6. How many times will the Board meet during the 2014-15 school year?

Monthly

Thank you.

## Appendix H: Enrollment and Retention Efforts

Success Academy Charter Schools undertake numerous strategies for recruiting and retaining students eligible for the Free and Reduced Price Lunch program (“FRPL”), English Language Learners (“ELL”), and students with special education needs. For the 2013-2014 school year, strategies included extensive outreach, including but not limited to:

- Mailings and distributions to residents of the CSD of a school’s location (“in-district residents”), including residents in low-income in-district communities;
- Bilingual flyers dropped in public housing complexes, supermarkets, preschools, and community centers;
- Advertisements and marketing materials (including bilingual advertisements and materials) posted in local newspapers, supermarkets, preschools, community centers, and public housing apartment complexes;
- Tours of existing Success Academy schools; and/or
- Information sessions hosted at public and private venues frequented by families of young children, including daycare and nursery schools.

Success Academy Charter Schools will continue these extensive outreach efforts for the 2014-2015 school year, with a focus on reaching typically underserved families within the community, including those targeted by the enrollment and retention targets. Success Academy Charter Schools also work to ensure student retention through strong programs that serve special education, ELL, and FRPL students at a very high level. Further information about our programs and outreach efforts is available in our charter.

# Appendix I: Teacher and Administrator Attrition

Created Friday, August 01, 2014

---

## Page 1

Charter School Name: 310300861008 UPPER WEST SUCCESS ACAD CS

Instructions for completing the Teacher and Administrator Attrition Tables  
ALL charter schools should provide, for teachers and administrators only, the full time equivalent (FTE) of staff on June 30, 2013, the FTE for added staff from July 1, 2013 through June 30, 2014, and the FTE for any departed staff from July 1, 2013 through June 30, 2014 using the two tables provided.

### 2013-14 Teacher Attrition Table

FTE Teachers on June 30, 2013	FTE Teachers Additions 7/1/13 – 6/30/14	FTE Teacher Departures 7/1/13 – 6/30/14
34	2	3 (left Success Academy)

### 2013-14 Administrator Position Attrition Table

FTE Administrator Positions On 6/30/2013	FTE Administrator Additions 7/1/13 – 6/30/14	FTE Administrator Departures 7/1/13 – 6/30/14
3	1	1 (left Success Academy)

Thank you